

Arizona Health Care Cost Containment System
Budget Neutrality Status by Federal Fiscal Year
Total Funds - All Populations
For the Period October 1, 2011 - September 30, 2016
Approved 9/30/16

	Actual 2012 DY 1	Actual 2013 DY 2	Actual 2014 DY 3	Actual 2015 DY 4	Estimate 2016 DY 5	Total
Without Waiver Expenditure Limit Calculation						
Member Months						
TANF/SOBRA	11,705,994	11,625,194	11,801,894	12,550,433	13,072,034	60,755,549
SSI	1,957,010	1,994,523	2,070,897	2,161,363	2,179,302	10,363,095
AC	1,633,495	969,125	206,508	-	-	2,809,128
ALTCS-EPD	343,173	346,304	353,636	359,799	357,450	1,760,362
ALTCS-DD	294,483	307,446	320,971	337,018	349,744	1,609,662
Family Planning Extension	50,024	55,971	14,885	-	-	120,880
Expansion State Adults	-	-	1,825,873	3,370,318	3,719,427	8,915,618
Combined	15,984,179	15,298,563	16,594,664	18,778,931	19,677,958	86,334,295
Without Waiver PMPM						
TANF/SOBRA	585.28	615.71	647.73	681.41	716.85	651.40
SSI	885.41	938.53	994.84	1,054.53	1,117.81	1,001.65
AC	562.32	601.19	596.43	-	-	578.24
ALTCS-EPD	4,737.37	4,983.71	5,242.86	5,515.49	5,802.30	5,262.66
ALTCS-DD	4,922.38	5,217.72	5,530.78	5,862.63	6,214.39	5,577.69
Family Planning Extension	16.60	18.01	13.17	-	-	16.83
Expansion State Adults	-	-	622.04	588.94	603.75	601.90
Weighted	786.95	846.05	879.38	893.37	929.96	870.93
Without Waiver Expenditure Limit						
TANF/SOBRA	6,851,280,422	7,157,797,295	7,644,456,886	8,552,033,145	9,370,647,158	39,576,214,907
SSI	1,732,751,136	1,871,923,349	2,060,218,947	2,279,231,591	2,436,038,078	10,380,163,101
AC	918,546,622	582,623,717	123,166,560	-	-	1,624,336,899
ALTCS-EPD	1,625,736,418	1,725,878,708	1,854,065,072	1,984,468,431	2,074,028,358	9,264,176,987
ALTCS-DD	1,449,555,757	1,604,166,375	1,775,220,164	1,975,810,955	2,173,444,950	8,978,198,201
Family Planning Extension	830,631	1,008,110	195,976	-	-	2,034,717
Expansion State Adults	-	-	1,135,769,554	1,984,903,939	2,245,604,812	5,366,278,305
Total	12,578,700,986	12,943,397,553	14,593,093,159	16,776,448,061	18,299,763,356	75,191,403,116
DSH Allotment	154,369,963	161,973,765	160,613,022	160,250,974	159,658,938	796,866,663
Total Without Waiver Expenditure Limi	12,733,070,949	13,105,371,319	14,753,706,181	16,936,699,036	18,459,422,294	75,988,269,779
With Waiver Expenditures						
TANF/SOBRA	3,415,789,172	3,586,504,387	3,527,885,273	3,588,001,163	3,982,320,064	18,100,500,059
SSI	1,349,590,806	1,427,969,861	1,538,667,090	1,715,172,037	1,752,687,570	7,784,087,364
AC	918,546,622	582,623,717	123,166,560	-	-	1,624,336,899
ALTCS-EPD	1,062,183,658	1,167,575,274	1,196,152,014	1,245,896,337	1,267,554,522	5,939,361,805
ALTCS-DD	939,086,691	1,005,675,270	1,067,615,389	1,170,344,447	1,240,529,766	5,423,251,563
Family Planning Extension	830,631	1,008,110	195,976	-	-	2,034,717
Expansion State Adults	-	-	1,135,769,554	1,984,903,939	2,245,604,812	5,366,278,305
AI/AN Uncompensated Car	22,866,717	97,192,513	53,888,765	13,437,080	5,038,840	192,423,915
SNCP/DSHP	296,636,120	558,334,298	240,250,917	119,071,612	99,320,126	1,313,613,073
Expenditure Subtotal	8,005,530,417	8,426,883,430	8,883,591,538	9,836,826,615	10,593,055,700	45,745,887,700
DSH	155,762,651	163,493,529	162,262,955	160,244,372	159,658,938	801,422,445
Total With Waiver Expenditures	8,161,293,068	8,590,376,959	9,045,854,493	9,997,070,987	10,752,714,638	46,547,310,145
With Waiver Expenditure PMPMs						
TANF/SOBRA	291.80	308.51	298.93	285.89	304.64	
SSI	689.62	715.95	743.00	793.56	804.24	
AC	562.32	601.19	596.43	-	-	
ALTCS-EPD	3,095.18	3,371.53	3,382.44	3,462.76	3,546.11	
ALTCS-DD	3,188.93	3,271.06	3,326.21	3,472.65	3,546.96	
Family Planning Extension	16.60	18.01	13.17	-	-	
Expansion State Adults	-	-	622.04	588.94	603.75	
Budget Neutrality Variance	4,571,777,881	4,514,994,360	5,707,851,688	6,939,628,049	7,706,707,657	29,440,959,634
Cumulative Variance	4,571,777,881	9,086,772,241	14,794,623,929	21,734,251,978	29,440,959,634	
Variance by Waiver Group						
TANF/SOBRA	3,435,491,250	3,571,292,908	4,116,571,613	4,964,031,982	5,388,327,094	21,475,714,848
SSI	383,160,330	443,953,488	521,551,857	564,059,554	683,350,508	2,596,075,737
AC	-	-	-	-	-	-
ALTCS-EPD	563,552,760	558,303,434	657,913,058	738,572,094	806,473,836	3,324,815,182
ALTCS-DD	510,469,066	598,491,105	707,604,775	805,466,508	932,915,184	3,554,946,638
Family Planning Extension	-	-	-	-	-	-
Expansion State Adults	-	-	-	-	-	-
DSH	(1,392,688)	(1,519,764)	(1,649,933)	6,602	-	(4,555,782)
AI/AN Uncompensated Car	(22,866,717)	(97,192,513)	(53,888,765)	(13,437,080)	(5,038,840)	(192,423,915)
SNCP/DSHP	(296,636,120)	(558,334,298)	(240,250,917)	(119,071,612)	(99,320,126)	(1,313,613,073)
	4,571,777,881	4,514,994,360	5,707,851,688	6,939,628,049	7,706,707,657	29,440,959,634

**Arizona Health Care Cost Containment System
Budget Neutrality Status by Federal Fiscal Year
Total Funds - All Populations
For the Period October 1, 2016 - September 30, 2021
Approved 9/30/16**

	Estimate 2017 DY 6	Estimate 2018 DY 7	Estimate 2019 DY 8	Estimate 2020 DY 9	Estimate 2021 DY 10	Total
Without Waiver Expenditure Limit Calculation						
Member Months						
TANF/SOBRA	13,448,230	13,757,771	14,075,365	14,401,332	14,735,971	70,418,669
SSI	2,227,982	2,284,797	2,341,669	2,398,602	2,455,600	11,708,650
ALTCS-EPD	363,227	367,328	371,430	375,531	379,633	1,857,149
ALTCS-DD	362,514	377,076	391,637	406,199	420,761	1,958,186
Expansion State Adults	3,810,951	3,899,900	3,990,187	4,081,836	4,174,877	19,957,751
Combined	20,212,904	20,686,872	21,170,287	21,663,500	22,166,842	105,900,405
Without Waiver PMPM						
TANF/SOBRA	749.11	782.82	818.05	854.86	893.33	821.28
SSI	1,162.52	1,209.02	1,257.38	1,307.68	1,359.99	1,261.72
ALTCS-EPD	6,016.98	6,239.61	6,470.48	6,709.89	6,958.16	6,484.22
ALTCS-DD	6,462.96	6,721.48	6,990.34	7,269.95	7,560.75	7,021.50
Expansion State Adults	719.12	728.45	755.88	775.75	796.29	756.02
Weighted	986.17	1,024.79	1,068.28	1,111.87	1,157.17	1,071.64
Without Waiver Expenditure Limit						
TANF/SOBRA	10,074,203,794	10,769,858,328	11,514,352,024	12,311,122,550	13,164,085,141	57,833,621,837
SSI	2,590,073,439	2,762,365,191	2,944,367,596	3,136,603,670	3,339,592,116	14,773,002,012
ALTCS-EPD	2,185,529,411	2,291,985,660	2,403,328,670	2,519,772,489	2,641,543,676	12,042,159,907
ALTCS-DD	2,342,912,052	2,534,505,562	2,737,677,602	2,953,046,421	3,181,266,770	13,749,408,407
Expansion State Adults	2,740,548,519	2,840,899,689	3,016,091,797	3,166,498,610	3,324,425,764	15,088,464,380
Total	19,933,267,215	21,199,614,429	22,615,817,690	24,087,043,741	25,650,913,468	113,486,656,543
DSH Allotment	161,304,900	134,094,600	120,825,800	107,557,000	94,288,200	618,070,500
Total Without Waiver Expenditure Limit	20,094,572,115	21,333,709,029	22,736,643,490	24,194,600,741	25,745,201,668	114,104,727,043
With Waiver Expenditures						
TANF/SOBRA	4,501,846,917	4,613,275,361	4,904,903,860	5,149,582,662	5,406,495,404	24,576,104,205
SSI	1,779,663,390	1,843,573,143	1,953,812,845	2,051,335,155	2,153,733,581	9,782,118,115
ALTCS-EPD	1,350,003,200	1,391,444,506	1,461,016,732	1,534,067,568	1,610,770,947	7,347,302,953
ALTCS-DD	1,301,738,076	1,363,847,512	1,432,039,888	1,503,641,882	1,578,823,976	7,180,091,335
Expansion State Adults	2,740,548,519	2,840,899,689	3,016,091,797	3,166,498,610	3,324,425,764	15,088,464,380
ALTCS Adult Dental	15,196,200	15,196,200	15,196,200	15,196,200	15,196,200	75,981,000
AI/AN Uncompensated Care	4,000,000	4,000,000	4,000,000	4,000,000	4,000,000	20,000,000
SNCP/DSHP	90,000,000	-	-	-	-	90,000,000
Expenditure Subtotal	11,782,996,302	12,072,236,412	12,787,061,323	13,424,322,079	14,093,445,873	64,160,061,987
DSH	161,304,900	134,094,600	120,825,800	107,557,000	94,288,200	618,070,500
Total With Waiver Expenditures	11,944,301,202	12,206,331,012	12,907,887,123	13,531,879,079	14,187,734,073	64,778,132,487
With Waiver Expenditure PMPMs						
TANF/SOBRA	334.75	335.32	348.47	357.58	366.89	
SSI	798.78	806.89	834.37	855.22	877.07	
ALTCS-EPD	3,716.69	3,788.01	3,933.49	4,085.06	4,242.97	
ALTCS-DD	3,590.87	3,616.91	3,656.55	3,701.74	3,752.31	
Expansion State Adults	719.12	728.45	755.88	775.75	796.29	
DY1-DY5 BN Carry-over	29,440,959,634					
DY6-DY10 BN Variance	8,150,270,913	9,127,378,017	9,828,756,367	10,662,721,663	11,557,467,595	
Phase-Down of DY6-DY10 Variance	2,037,567,728	2,281,844,504	2,457,189,092	2,665,680,416	2,889,366,899	
Cumulative DY-DY10 Variance	31,478,527,363	33,760,371,867	36,217,560,959	38,883,241,374	41,772,608,273	41,772,608,273
Variance by Waiver Group						
TANF/SOBRA	5,572,356,876	6,156,582,968	6,609,448,163	7,161,539,888	7,757,589,737	33,257,517,632
SSI	810,410,049	918,792,047	990,554,750	1,085,268,514	1,185,858,535	4,990,883,897
ALTCS-EPD	835,526,211	900,541,153	942,311,939	985,704,921	1,030,772,730	4,694,856,955
ALTCS-DD	1,041,173,976	1,170,658,049	1,305,637,714	1,449,404,539	1,602,442,793	6,569,317,072
Expansion State Adults	-	-	-	-	-	-
ALTCS Adult Dental	(15,196,200)	(15,196,200)	(15,196,200)	(15,196,200)	(15,196,200)	(75,981,000)
AI/AN Uncompensated Care	(4,000,000)	(4,000,000)	(4,000,000)	(4,000,000)	(4,000,000)	(20,000,000)
SNCP/DSHP	(90,000,000)	-	-	-	-	(90,000,000)
	8,150,270,913	9,127,378,017	9,828,756,367	10,662,721,663	11,557,467,595	49,326,594,555

Arizona Health Care Cost Containment System
Budget Neutrality Status by Federal Fiscal Year
NEWLY ELIGIBLE ADULTS
For the Period January 1, 2014 - September 30, 2016
Approved 9/30/16

Without Waiver	Estimate 2014	Estimate 2015	Estimate 2016	
Expenditure Limit Calculation	DY 3	DY 4	DY 5	Total
Member Months				
Newly Eligible Adults	248,598	871,458	1,235,338	2,355,394
Without Waiver PMPM				
Newly Eligible Adults	578.54	605.73	634.20	617.79
Without Waiver Expenditure Limit				
Newly Eligible Adults	143,823,900	527,869,500	783,452,200	1,455,145,600
With Waiver Expenditures				
Newly Eligible Adults	96,168,214	299,658,919	486,640,974	882,468,107
With Waiver Expenditure PMPMs				
Newly Eligible Adults	386.84	343.86	393.93	
Budget Neutrality Variance	47,655,686	228,210,581	296,811,226	572,677,493
Cumulative Variance	47,655,686	275,866,267	572,677,493	

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NEWLY ELIGIBLE ADULTS
For the Period October 1, 2016 - September 30, 2021
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Without Waiver	Estimate	Estimate	Estimate	Estimate	Estimate	
Expenditure Limit Calculation	2017	2018	2019	2020	2021	
Member Months	DY 6	DY 7	DY 8	DY 9	DY 10	Total
Newly Eligible Adults	1,090,784	1,162,971	1,235,157	1,307,344	1,379,531	6,175,787
Without Waiver PMPM						
Newly Eligible Adults	655.13	676.75	699.08	722.15	745.98	702.47
Without Waiver Expenditure Limit						
Newly Eligible Adults	714,605,361	787,040,412	863,473,775	944,098,426	1,029,102,212	4,338,320,187
With Waiver Expenditures						
Newly Eligible Adults	461,279,900	496,165,279	538,104,400	591,914,839	651,106,323	2,738,570,742
With Waiver Expenditure PMPMs						
Newly Eligible Adults	422.89	426.64	435.66	452.76	471.98	
DY1-DY5 BN Carry-over		572,677,493				
DY6-DY10 BN Variance	253,325,461	290,875,133	325,369,375	352,183,587	377,995,889	
Phase-Down of DY6-DY10 Variance	63,331,365	72,718,783	81,342,344	88,045,897	94,498,972	
Cumulative DY-DY10 Variance	636,008,858	708,727,642	790,069,985	878,115,882	972,614,854	972,614,854