

October 1, 2019

Joseph Gaudio  
Chief Executive Officer  
United Healthcare Community  
Acute\_CRS  
1 East Washington Street - Suite 900  
Phoenix, AZ 85004

SUBJECT: Final Results of Sanctionable Pended Encounters March 2019

Dear Mr. Gaudio:

This attached information will provide the final aged pended encounter sanctions for the quarter ending March 2019. According to the Contract (YH19-0001-06), United Healthcare Community Plan (UHC\_Acute) and United Healthcare Community Plan\_CRS (UHC\_CRS) is required to resolve all pended encounters within 120 calendar days of the processing date. If this requirement is not met, the Contractor is subject to sanctions.

The exception to the above is when the pended encounter is due to an Arizona Health Care Cost Containment System (AHCCCS) error. AHCCCS error is defined as a pended encounter, which AHCCCS acknowledges to be the result of its own error, and requires a change to the system programming, an update to the database reference table, or further research by AHCCCS. Contractors must notify AHCCCS, in writing that the resolution of a pended encounter depends on AHCCCS instead of the Contractor. Pended encounters do not qualify as AHCCCS error if AHCCCS reviews the Contractor's notification and asks the Contractor to research the issue and provide additional substantiating documentation, or if AHCCCS disagrees with the Contractor's claims of AHCCCS error.

AHCCCS distributed the preliminary sanction results, including a summary of encounters excluded from sanctions as AHCCCS error, on June 6, 2019. The Contractor was provided an opportunity to review the preliminary results, and challenge those encounters believed to be sanctioned in error. The Contractor's submitted mitigation was considered, and when appropriate, the mitigated encounters were removed from the final sanctionable encounters.

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The final sanction number pended encounters for this quarter is zero (0) for United Healthcare Community Plan (UHC\_Acute) and United Healthcare Community Plan\_CRS (UHC\_CRS). **There is no sanction amount for UHC\_Acute or UHC\_CRS for March 2019**, and the only file (Waived encounters) is posted to the SFTP server.

The path is sftp.state.medicaid.us\UHC\_Acute\OTHER\ and the file name:

- UHC\_Acute March 2019 Final Waive Sanctions.xlsx and
- UHC\_CRS March 2019 Final Waive Sanctions.xlsx

If you have any questions regarding your pended encounter sanctions, please contact Gina Aker at [Gina.Aker@azahcccs.gov](mailto:Gina.Aker@azahcccs.gov), (602) 417-4016.

Sincerely,



Meggan LaPorte, CPPO, MSW  
Chief Procurement Officer  
Division of Business and Finance  
Mail Drop #5700

cc: Matthew Isiogu  
Lori Petre  
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