



Division of Behavioral Health Services

# Individuals in the Comprehensive Medical and Dental Program (CMDP)

State Fiscal Year 2016, Quarter 1

July 1<sup>st</sup> through September 30<sup>th</sup>, 2015

Submitted Pursuant to Laws 2013 Chapter 220

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The Arizona Department of Health Services, Division of Behavioral Health Services (ADHS/DBHS) has reviewed information for members enrolled for the Comprehensive Medical and Dental Program (CMDP) in accordance with the requisites outlined in Laws 2013 Chapter 220. CMDP enrolled members are determined by the 834 Enrollment file. CMDP is a health plan established to provide medical and dental care, inpatient, outpatient, and other services for individuals in foster care through agreements between the Arizona Department of Economic Security (ADES), the Arizona Health Care Cost Containment System (AHCCCS - the State's Medicaid Authority) and ADHS/DBHS.

This report will be produced on a quarterly basis as required by statute and includes the following information:

- The number and percent of individuals who are CMDP-enrolled and have received behavioral health services through a Regional Behavioral Health Authority (RBHA);
- The number of new cases opened each month;
- The total number of open cases, including current and previous months;
- The number of closed cases each month;
- The types of services clients received;
- The cost of each service type received;
- The number of notices of action, reasons, and outcomes;
- The number of appeals filed and their subsequent outcomes

Certain terms will be used throughout this report; the definitions have been provided in Attachment A located on page 8.

**Purpose of Fiscal Year 2016, Quarter 1 (FY 2016, Q1) Report<sup>1</sup>:**

Data issues which impacted our ability to accurately report on open episodes of care and encounter activity have been identified and addressed. Marked improvement in penetration rates for episodes of care is evident in this report, which will include FY 2016, Q1 data, as well as restatements of previous quarters.

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<sup>1</sup> Restatements are provided for data on: enrolled and/or served individuals; open, closed, and continued cases; and service types and costs.

**Individuals Enrolled and Served**

Chart 1.1 (see right) reflects the percent of CMDP-enrolled members served by a RBHA during the quarter, along with the statewide average, as a percent of the total number of individuals enrolled for CMDP. During state fiscal year 2016 (FY 2016), quarter 1 (Q1) (July 1st – September 30th, 2015), 67.7 percent of the 18,805 CMDP statewide enrolled members received services, as indicated in the attached Table 1.1a (see page 9). Quarterly, service penetration ranged from 59.8 percent to 84.0 percent across the six Geographic Service Areas (GSAs).<sup>2,3</sup> For restated data of previous quarters, see attached Table 1.1b.

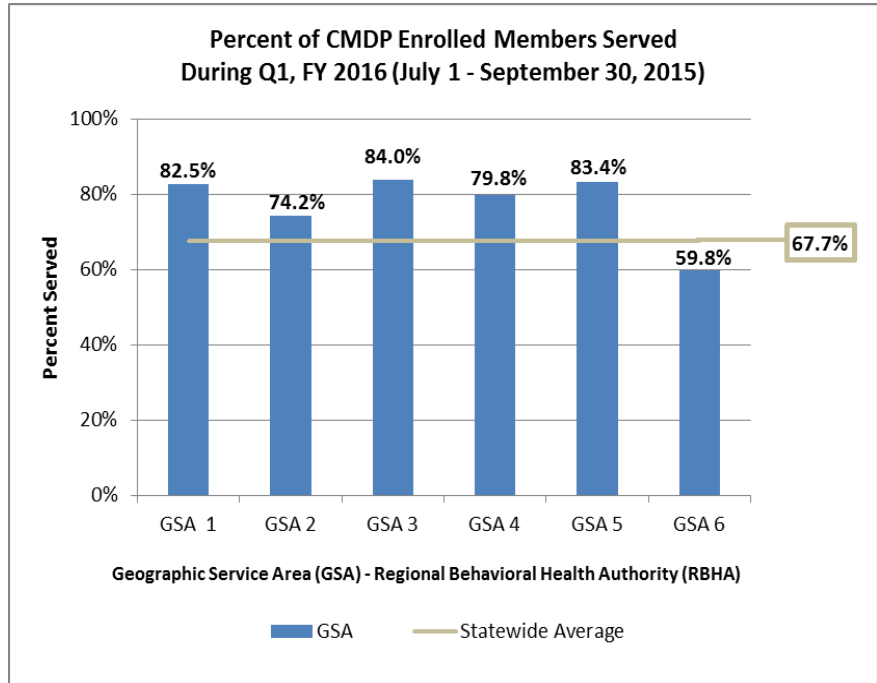


Chart 1.1

Table 1.2a (see below) displays the cumulative number of unique CMDP-enrolled members served during the State Fiscal Year (starting on July 1st, 2015) by each GSA.<sup>4, 5</sup> For the first quarterly report of the Fiscal Year, the cumulative numbers in Table 1.2a reflect the information displayed in Chart 1.1.

Table 1.2a

| Year-to-Date Summary, FY 2016 |                              |                                     |                                         |
|-------------------------------|------------------------------|-------------------------------------|-----------------------------------------|
| GSA                           | Number CMDP Members Enrolled | Number CMDP Enrolled Members Served | Percent of CMDP Enrolled Members Served |
| GSA 1                         | 1,300                        | 1,073                               | 82.5%                                   |
| GSA 2                         | 357                          | 265                                 | 74.2%                                   |
| GSA 3                         | 412                          | 346                                 | 84.0%                                   |
| GSA 4                         | 1,188                        | 948                                 | 79.8%                                   |
| GSA 5                         | 3,415                        | 2,848                               | 83.4%                                   |
| GSA 6                         | 12,133                       | 7,255                               | 59.8%                                   |
| Statewide                     | 18,805                       | 12,735                              | 67.7%                                   |

Year-to-Date includes data starting from July 1, 2015 to the current reporting period. Data is restated each reporting period.

<sup>2</sup> Please see Table 1.1a for the number of unique members enrolled and served during each month during the reporting period by GSA.

<sup>3</sup> Please note, “served” is determined by at least one encounter through the Client Information System (CIS) system in the reporting quarter. RBHAs have up to 240 days to submit an encounter. Additionally, there was a change in the encounter submission process; As of January 2014, RBHAs began submitting encounters directly to AHCCCS. DBHS then receives the encounters from AHCCCS after being processed. These delays of encounter submissions into CIS may have an effect on the percent served in the reporting quarter compared to previous quarters.

<sup>4</sup> Please note, figures are based on available encounter submissions as of the time of reporting.

<sup>5</sup> See Table 1.2b and 1.2c for the cumulative number of unique CMDP-enrolled members served during FY 2015 and FY 2014 by GSA.

**Open, Continuing, and Closed Cases**

Chart 2.1 (see below) shows monthly statewide changes in the number of new and continuing cases from July 1st – September 30th, 2015. Cases are defined as individuals having an open episode of care (EOC) during that month, even if the individual did not receive a service during the month. On average, 460 new cases were opened each month during Q1, FY 2016, while an average of 10,487 cases continued from the prior month. By GSA, GSA 1 managed 8.3 percent of the statewide open cases, GSAs 2, 3, and 4 managed 13.2 percent of the statewide open cases, GSA 5 managed 26.1 percent of the statewide open cases, and GSA 6 managed 52.5 percent of the statewide open cases. Please see the attachments Tables 2.1a, 2.1b, and 2.1c for GSA-specific data on new and continuing cases (Q1 FY 2016, FY 2015 restatement, and FY 2014 restatement, respectively).

Please note that the number of new and continuing cases will not equal the number of members served due to reporting differences for service encounters and episode of care information. Additionally, members receive services as deemed medically or clinically necessary, which may not occur monthly.

Chart 2.1

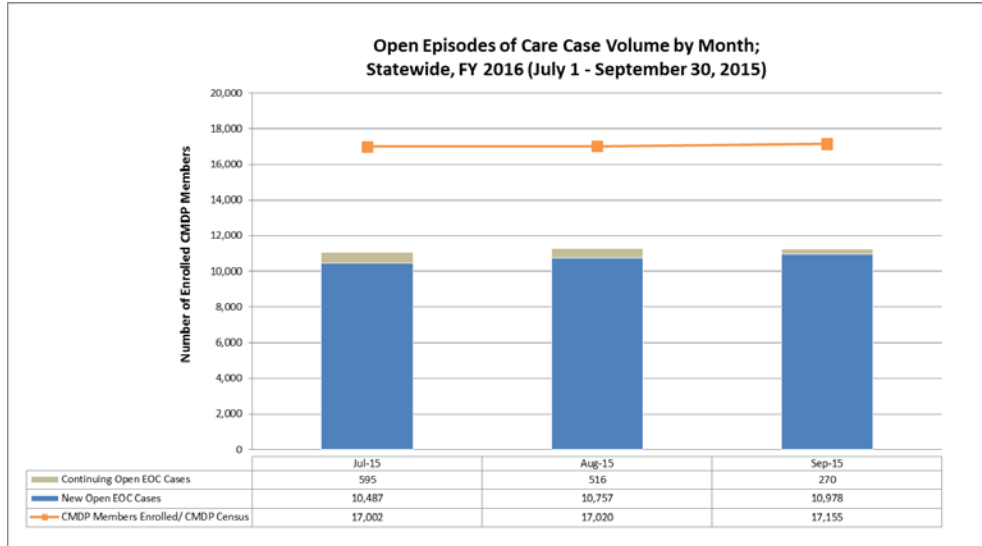


Chart 2.2 (see page 5) displays the statewide EOC penetration rate for enrolled CMDP members. Overall, the percent of CMDP members with open EOCs<sup>6</sup> (out of the total number of CMDP enrolled members) increased during the first quarter of FY 2016. Please see attachment Table 2.2, which provides the number of open EOC cases and number of CMDP members enrolled each month, along with the EOC penetration rates.

<sup>6</sup> “Open EOCs” include both new open EOC cases and continuing open EOC cases. Restatement of numbers captures retroactive Open EOCs and as a result numbers may change in comparison to previous reports.

Chart 2.2

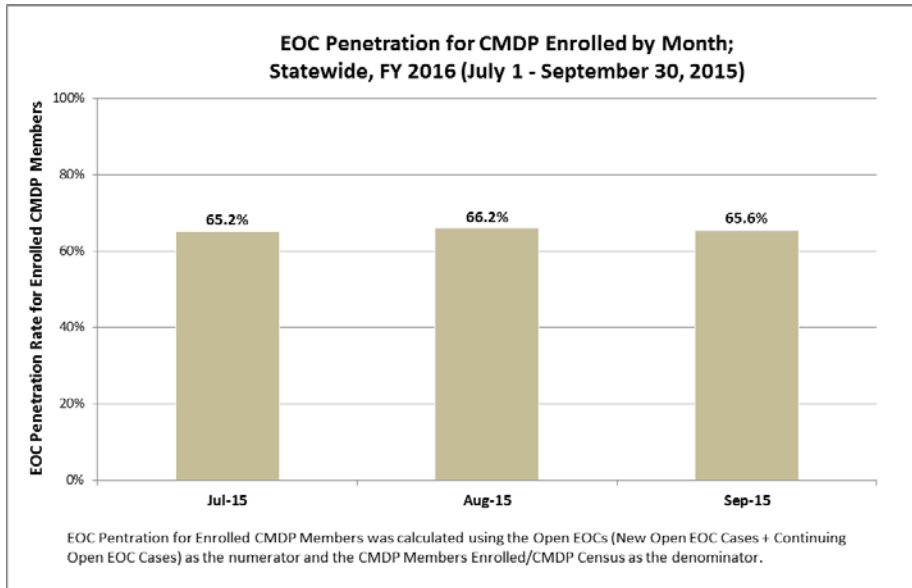
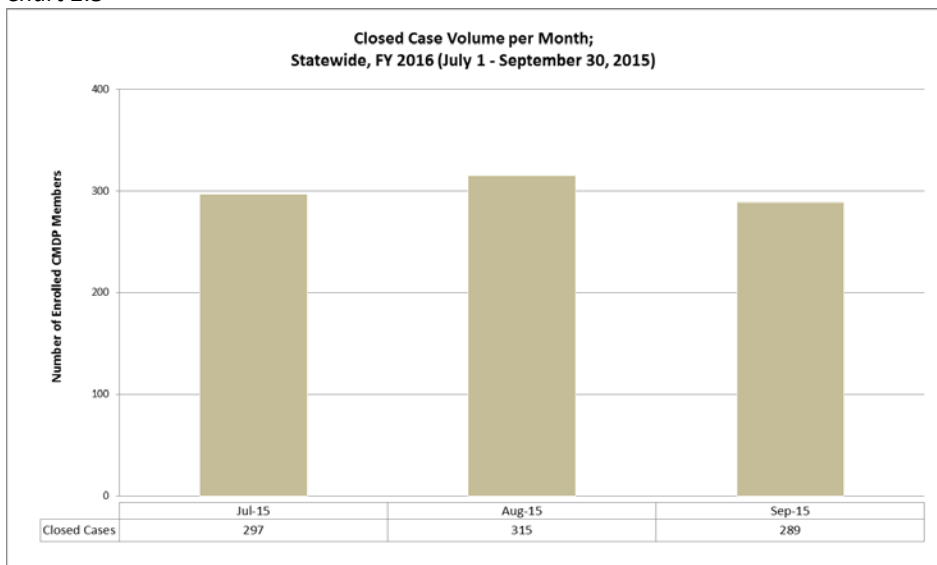


Chart 2.3 (see below) shows the number of cases closed in each month from July 1st – September 30th, 2015. On average, 300 cases were closed each month during Q1, FY 2016. Percentage of statewide case closures varied by GSA; GSA 1 accounted for 12.0 percent of the state’s closed cases, GSAs 2, 3, and 4 accounted for 16.5 percent of the state’s closed cases, GSA 5 accounted for 13.1 percent of the state’s closed cases, and GSA 6 accounted for 58.4 percent of the state’s closed cases. Please see the attachment Tables 2.3a, 2.3b, and 2.3c for monthly GSA-specific data on closed cases (Q1 FY 2016, restatement of FY 2015 quarters, and FY 2014 restatement, respectively).

Please note, closed cases can be a subset of new cases or continuing cases (e.g. a member may have a short EOC that has a start and end date in the same reporting month and would be considered both a new and closed case).

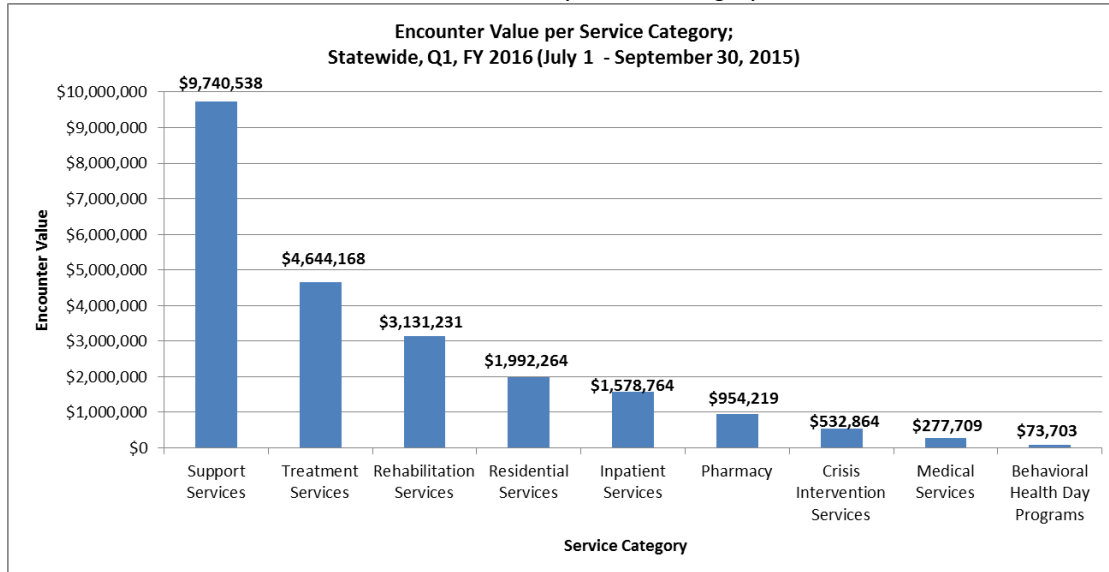
Chart 2.3



**Service Category (Type) and Cost**

During Q1, FY 2016, 18,805 CMDP enrolled members were served statewide and \$22,925,485.98<sup>7</sup> was encountered (\$1,800.20 per capita). As indicated in the attachment Table 3.1, 98.1 percent of members who were served received Support Services, including case management, self-help/peer support services, and/or transportation. Less than one half percent of those served received Behavioral Health Day Program services.

*Chart 3.1: Statewide FY 2016, Q1 Encounter Value by Service Category*



Please see the attachment Tables 3.2a and 3.2b (FY 2015 data) and Tables 3.3a and 3.3b (FY 2014 data) for the number of unique members served, percentages of services received, and total encounter values by GSA. Table 3.4 (see below) includes a description for each service category.

*Table 3.4: Service Category Descriptions*

| Service Category               | Description                                                                                                                                                                                                                                     |
|--------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Treatment Services             | Individual and group counseling, therapy, assessment, evaluation, screening, and other professional services                                                                                                                                    |
| Rehabilitation Services        | Living skills training, cognitive rehabilitation, health promotion, and ongoing support to maintain employment                                                                                                                                  |
| Medical and Pharmacy           | Medications which relieve symptoms of addiction and/or promote or enhance recovery from addiction                                                                                                                                               |
| Support Services               | Case management, self-help/peer support services and transportation                                                                                                                                                                             |
| Crisis Intervention            | Stabilization services provided in the community, hospitals and residential treatment facilities.                                                                                                                                               |
| Inpatient Services             | Inpatient detoxification and treatment services delivered in hospitals and sub-acute facilities, including Level I residential treatment centers that provide 24-hour supervision, an intensive treatment program, and on-site medical services |
| Residential Services           | Residential treatment with 24-hour supervision                                                                                                                                                                                                  |
| Behavioral Health Day Programs | Skills training and ongoing support to improve the individual’s ability to function within the community; Specialized outpatient substance abuse programs provided to a person, group of persons and/or families in a variety of settings       |

<sup>7</sup> Please note, RBHAs have up to 240 days to submit an encounter. Additionally, there was a change in the encounter submission process; As of January 2014, RBHAs began submitting encounters directly to AHCCCS. DBHS then receives the encounters from AHCCCS after being processed. These delays of encounter submissions into the client information system may have an effect on the total encounter value in the reporting quarter compared to previous quarters.

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## Notices of Action

ADHS/DBHS and/or RBHAs require prior authorization before accessing certain non-emergency services. Following a decision (by the RBHA Medical Director or physician designee) for non-approval of services requested by persons who are Title XIX/XXI eligible (via a prior authorization request), the provider or RBHA must provide the person(s) requesting services with a Notice of Action.

Between July 1st – September 30th, 2015, there were a total of 198 CMDP prior authorization requests statewide, of which 23 requests were denied. Reasons for denials varied: the service was deemed not medically necessary (17 denials), or the service was not a covered benefit or the benefit was exhausted (six denials). Percentage of CMDP service denials out of the total number of CMDP prior authorization requests among the GSAs ranged from 0 percent (GSAs 2, 3 and 5) to 20 percent (GSA 4), while the statewide denial rate was 11.6 percent. Please note, the number of prior authorization request varied greatly between RBHAs; for example, GSA 5 received 16 prior authorization requests, compared to GSA 4 which received five prior authorization requests. Please see attachment Tables 4.1 and 4.2 for more information on service denials and outcomes for prior authorization requests by GSA.

Pharmacy was the most frequently denied service for CMDP prior authorization requests (12 denials) in Q1, FY 2016. There were seven prior authorization request denials for Level 1 RTC, two denials for Behavioral Health Residential Facilities, and two denials for HCTC.

## Appeals

When a prior authorization is not approved (and a notice of action has been issued), the member has the option of exercising their right to appeal the decision.<sup>8, 9</sup>

Between July 1st – September 30th, 2015, two appeals were filed on behalf of CMDP enrolled members statewide. Both appeals were filed due to the denial of service. One appeal was filed in GSA 5, and one appeal was filed in GSA 6. Please see Attachment Table 5.1 for appeal reasons by GSA. Outcomes of those appeals are displayed in Attachment Table 5.2. The decision was overturned for one appeal and the other appeal was withdrawn (all of which were settled at the RBHA level).

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<sup>8</sup> Not all notices of action result in an appeal.

<sup>9</sup> Please note, a member has 60 days to file an appeal, and it takes additional time to go through the process for a resolution. (The number of days for the appeal process depends on the level the final resolution is made and if the appeal was standard, extended, or expedited). Thus, outcomes for notices of action and appeals may not occur in the same month or quarter.



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## Appendix / Attachments

### Attachment A: Glossary

#### **CMDP Enrolled Member**

A member who is determined to be enrolled in CMDP per the 834 Enrollment file.

#### **Episode of Care (EOC)**

The EOC is defined as the period between the beginning of treatment and the ending of behavioral health services for an individual. Within an episode of care, a person may transfer to a different service, facility, program or location. The beginning and end of an episode of care is marked with a demographic file submission (EOC start date and EOC end date). Over time, an individual may have multiple Episodes of Care.

#### **Received a service**

At least one encounter was submitted through the CIS system for a member during the reporting period.

#### **New "open EOC" Case**

When a CMDP member has an EOC start date that begins at any time in the reporting month (with the possibility that the member could have an end date in the reporting month).

#### **Continuing "open EOC" Case**

When a CMDP member has an EOC start date that began prior to the reporting month (with the possibility that the member could have an end date in the reporting month).

#### **Open EOC**

When a CMDP member has an EOC start date that either began prior to the reporting month or within the reporting month (with the possibility that the member could have an end date in the reporting month).

#### **Closed Case**

A closed case occurs when a CMDP member has an EOC end date at any time in the reporting month.

CMDP Report  
Quarter 1, FY 2016

**Attachment: Table 1.1a –Individuals Enrolled-Served, Monthly with Quarter Averages, Q1 FY 2016**

Table 1.1a identifies the number of unique CMDP members, by GSA, enrolled and who have received a service during each month in the reporting quarter, as well as the percent served.

| <b>GSA</b>       | <b>Month/<br/>Quarter</b> | <b>Number CMDP<br/>Members Enrolled</b> | <b>Number CMDP<br/>Enrolled Members<br/>Served</b> | <b>Percent of CMDP<br/>Enrolled Members<br/>Served</b> |
|------------------|---------------------------|-----------------------------------------|----------------------------------------------------|--------------------------------------------------------|
| <b>GSA 1</b>     | Jul 2015                  | 1,166                                   | 891                                                | 76.4%                                                  |
|                  | Aug 2015                  | 1,195                                   | 922                                                | 77.2%                                                  |
|                  | Sep 2015                  | 1,186                                   | 825                                                | 69.6%                                                  |
|                  | <i>Q1 FY 2016</i>         | <i>1,300</i>                            | <i>1,073</i>                                       | <i>82.5%</i>                                           |
| <b>GSA 2</b>     | Jul 2015                  | 338                                     | 236                                                | 69.8%                                                  |
|                  | Aug 2015                  | 338                                     | 214                                                | 63.3%                                                  |
|                  | Sep 2015                  | 325                                     | 208                                                | 64.0%                                                  |
|                  | <i>Q1 FY 2016</i>         | <i>357</i>                              | <i>265</i>                                         | <i>74.2%</i>                                           |
| <b>GSA 3</b>     | Jul 2015                  | 371                                     | 296                                                | 79.8%                                                  |
|                  | Aug 2015                  | 360                                     | 282                                                | 78.3%                                                  |
|                  | Sep 2015                  | 357                                     | 288                                                | 80.7%                                                  |
|                  | <i>Q1 FY 2016</i>         | <i>412</i>                              | <i>346</i>                                         | <i>84.0%</i>                                           |
| <b>GSA 4</b>     | Jul 2015                  | 1,090                                   | 737                                                | 67.6%                                                  |
|                  | Aug 2015                  | 1,082                                   | 709                                                | 65.5%                                                  |
|                  | Sep 2015                  | 1,113                                   | 737                                                | 66.2%                                                  |
|                  | <i>Q1 FY 2016</i>         | <i>1,188</i>                            | <i>948</i>                                         | <i>79.8%</i>                                           |
| <b>GSA 5</b>     | Jul 2015                  | 3,120                                   | 2,392                                              | 76.7%                                                  |
|                  | Aug 2015                  | 3,063                                   | 2,381                                              | 77.7%                                                  |
|                  | Sep 2015                  | 3,020                                   | 2,295                                              | 76.0%                                                  |
|                  | <i>Q1 FY 2016</i>         | <i>3,415</i>                            | <i>2,848</i>                                       | <i>83.4%</i>                                           |
| <b>GSA 6</b>     | Jul 2015                  | 10,917                                  | 5,647                                              | 51.7%                                                  |
|                  | Aug 2015                  | 10,982                                  | 5,687                                              | 51.8%                                                  |
|                  | Sep 2015                  | 11,154                                  | 5,663                                              | 50.8%                                                  |
|                  | <i>Q1 FY 2016</i>         | <i>12,133</i>                           | <i>7,255</i>                                       | <i>59.8%</i>                                           |
| <b>Statewide</b> | Jul 2015                  | 17,002                                  | 10,199                                             | 60.0%                                                  |
|                  | Aug 2015                  | 17,020                                  | 10,195                                             | 59.9%                                                  |
|                  | Sep 2015                  | 17,155                                  | 10,016                                             | 58.4%                                                  |
|                  | <i>Q1 FY 2016</i>         | <i>18,805</i>                           | <i>12,735</i>                                      | <i>67.7%</i>                                           |

CMDP Report  
Quarter 1, FY 2016

**Attachment: Table 1.1b – Individuals Enrolled-Served, Monthly with Quarter Averages, Restatement of Prior Quarters**

Table 1.1b provides the restated data for the individuals enrolled and served, from the previously reported quarters.

| <b>GSA</b>   | <b>Month/<br/>Quarter</b> | <b>Number CMDP<br/>Members Enrolled</b> | <b>Number CMDP<br/>Enrolled Members<br/>Served</b> | <b>Percent of CMDP<br/>Enrolled Members<br/>Served</b> |
|--------------|---------------------------|-----------------------------------------|----------------------------------------------------|--------------------------------------------------------|
| <b>GSA 1</b> | Jul 2013                  | 841                                     | 635                                                | 75.5%                                                  |
|              | Aug 2013                  | 864                                     | 639                                                | 74.0%                                                  |
|              | Sep 2013                  | 841                                     | 615                                                | 73.1%                                                  |
|              | Q1 FY 2014                | 959                                     | 758                                                | 79.0%                                                  |
|              | Oct 2013                  | 834                                     | 626                                                | 75.1%                                                  |
|              | Nov 2013                  | 837                                     | 599                                                | 71.6%                                                  |
|              | Dec 2013                  | 838                                     | 583                                                | 69.6%                                                  |
|              | Q2 FY 2014                | 958                                     | 750                                                | 78.3%                                                  |
|              | Jan 2014                  | 849                                     | 612                                                | 72.1%                                                  |
|              | Feb 2014                  | 846                                     | 596                                                | 70.4%                                                  |
|              | Mar 2014                  | 870                                     | 606                                                | 69.7%                                                  |
|              | Q3 FY 2014                | 955                                     | 746                                                | 78.1%                                                  |
|              | Apr 2014                  | 907                                     | 527                                                | 58.1%                                                  |
|              | May 2014                  | 932                                     | 635                                                | 68.1%                                                  |
|              | Jun 2014                  | 978                                     | 702                                                | 71.8%                                                  |
|              | Q4 FY 2014                | 1,060                                   | 824                                                | 77.7%                                                  |
|              | Jul 2014                  | 1,006                                   | 707                                                | 70.3%                                                  |
|              | Aug 2014                  | 1,034                                   | 585                                                | 56.6%                                                  |
|              | Sep 2014                  | 1,050                                   | 803                                                | 76.5%                                                  |
|              | Q1 FY 2015                | 1,155                                   | 924                                                | 80.0%                                                  |
|              | Oct 2014                  | 1,084                                   | 841                                                | 77.6%                                                  |
|              | Nov 2014                  | 1,082                                   | 840                                                | 77.6%                                                  |
|              | Dec 2014                  | 1,089                                   | 867                                                | 79.6%                                                  |
|              | Q2 FY 2015                | 1,218                                   | 1,006                                              | 82.6%                                                  |
|              | Jan 2015                  | 1,083                                   | 867                                                | 80.1%                                                  |
|              | Feb 2015                  | 1,092                                   | 862                                                | 78.9%                                                  |
|              | Mar 2015                  | 1,121                                   | 883                                                | 78.8%                                                  |
|              | Q3 FY 2015                | 1,220                                   | 1,021                                              | 83.7%                                                  |
|              | Apr 2015                  | 1,163                                   | 915                                                | 78.7%                                                  |
|              | May 2015                  | 1,140                                   | 884                                                | 77.5%                                                  |
|              | Jun 2015                  | 1,163                                   | 900                                                | 77.4%                                                  |
|              | Q4 FY 2015                | 1,302                                   | 1,064                                              | 81.7%                                                  |

**(Continued - Attachment: Table 1.1b – Individuals Enrolled-Served, Monthly with Quarter Averages, Restatement of Prior Quarters)**

| <b>GSA</b>   | <b>Month/<br/>Quarter</b> | <b>Number CMDP<br/>Members Enrolled</b> | <b>Number CMDP<br/>Enrolled Members<br/>Served</b> | <b>Percent of CMDP<br/>Enrolled Members<br/>Served</b> |
|--------------|---------------------------|-----------------------------------------|----------------------------------------------------|--------------------------------------------------------|
| <b>GSA 2</b> | Jul 2013                  | 294                                     | 243                                                | 82.7%                                                  |
|              | Aug 2013                  | 307                                     | 258                                                | 84.0%                                                  |
|              | Sep 2013                  | 299                                     | 244                                                | 81.6%                                                  |
|              | <i>Q1 FY 2014</i>         | <i>337</i>                              | <i>314</i>                                         | <i>93.2%</i>                                           |
|              | Oct 2013                  | 297                                     | 256                                                | 86.2%                                                  |
|              | Nov 2013                  | 282                                     | 228                                                | 80.9%                                                  |
|              | Dec 2013                  | 288                                     | 243                                                | 84.4%                                                  |
|              | <i>Q2 FY 2014</i>         | <i>325</i>                              | <i>294</i>                                         | <i>90.5%</i>                                           |
|              | Jan 2014                  | 295                                     | 235                                                | 79.7%                                                  |
|              | Feb 2014                  | 283                                     | 234                                                | 82.7%                                                  |
|              | Mar 2014                  | 283                                     | 221                                                | 78.1%                                                  |
|              | <i>Q3 FY 2014</i>         | <i>318</i>                              | <i>282</i>                                         | <i>88.7%</i>                                           |
|              | Apr 2014                  | 293                                     | 187                                                | 63.8%                                                  |
|              | May 2014                  | 294                                     | 219                                                | 74.5%                                                  |
|              | Jun 2014                  | 300                                     | 220                                                | 73.3%                                                  |
|              | <i>Q4 FY 2014</i>         | <i>335</i>                              | <i>269</i>                                         | <i>80.3%</i>                                           |
|              | Jul 2014                  | 294                                     | 206                                                | 70.1%                                                  |
|              | Aug 2014                  | 280                                     | 207                                                | 73.9%                                                  |
|              | Sep 2014                  | 286                                     | 215                                                | 75.2%                                                  |
|              | <i>Q1 FY 2015</i>         | <i>341</i>                              | <i>265</i>                                         | <i>77.7%</i>                                           |
|              | Oct 2014                  | 281                                     | 133                                                | 47.3%                                                  |
|              | Nov 2014                  | 284                                     | 194                                                | 68.3%                                                  |
|              | Dec 2014                  | 299                                     | 205                                                | 68.6%                                                  |
|              | <i>Q2 FY 2015</i>         | <i>318</i>                              | <i>238</i>                                         | <i>74.8%</i>                                           |
|              | Jan 2015                  | 302                                     | 219                                                | 72.5%                                                  |
|              | Feb 2015                  | 317                                     | 231                                                | 72.9%                                                  |
|              | Mar 2015                  | 331                                     | 223                                                | 67.4%                                                  |
|              | <i>Q3 FY 2015</i>         | <i>341</i>                              | <i>264</i>                                         | <i>77.4%</i>                                           |
|              | Apr 2015                  | 329                                     | 230                                                | 69.9%                                                  |
|              | May 2015                  | 322                                     | 217                                                | 67.4%                                                  |
|              | Jun 2015                  | 329                                     | 224                                                | 68.1%                                                  |
|              | <i>Q4 FY 2015</i>         | <i>355</i>                              | <i>264</i>                                         | <i>74.4%</i>                                           |

**(Continued - Attachment: Table 1.1b – Individuals Enrolled-Served, Monthly with Quarter Averages, Restatement of Prior Quarters)**

| <b>GSA</b>   | <b>Month/<br/>Quarter</b> | <b>Number CMDP<br/>Members Enrolled</b> | <b>Number CMDP<br/>Enrolled Members<br/>Served</b> | <b>Percent of CMDP<br/>Enrolled Members<br/>Served</b> |
|--------------|---------------------------|-----------------------------------------|----------------------------------------------------|--------------------------------------------------------|
| <b>GSA 3</b> | Jul 2013                  | 348                                     | 295                                                | 84.8%                                                  |
|              | Aug 2013                  | 349                                     | 297                                                | 85.1%                                                  |
|              | Sep 2013                  | 361                                     | 306                                                | 84.8%                                                  |
|              | Q1 FY 2014                | 411                                     | 360                                                | 87.6%                                                  |
|              | Oct 2013                  | 372                                     | 313                                                | 84.1%                                                  |
|              | Nov 2013                  | 375                                     | 299                                                | 79.7%                                                  |
|              | Dec 2013                  | 383                                     | 317                                                | 82.8%                                                  |
|              | Q2 FY 2014                | 403                                     | 346                                                | 85.9%                                                  |
|              | Jan 2014                  | 386                                     | 323                                                | 83.7%                                                  |
|              | Feb 2014                  | 379                                     | 317                                                | 83.6%                                                  |
|              | Mar 2014                  | 381                                     | 313                                                | 82.2%                                                  |
|              | Q3 FY 2014                | 423                                     | 363                                                | 85.8%                                                  |
|              | Apr 2014                  | 400                                     | 269                                                | 67.3%                                                  |
|              | May 2014                  | 401                                     | 321                                                | 80.0%                                                  |
|              | Jun 2014                  | 423                                     | 338                                                | 79.9%                                                  |
|              | Q4 FY 2014                | 452                                     | 388                                                | 85.8%                                                  |
|              | Jul 2014                  | 426                                     | 345                                                | 81.0%                                                  |
|              | Aug 2014                  | 415                                     | 325                                                | 78.3%                                                  |
|              | Sep 2014                  | 414                                     | 334                                                | 80.7%                                                  |
|              | Q1 FY 2015                | 467                                     | 394                                                | 84.4%                                                  |
|              | Oct 2014                  | 410                                     | 311                                                | 75.9%                                                  |
|              | Nov 2014                  | 407                                     | 331                                                | 81.3%                                                  |
|              | Dec 2014                  | 401                                     | 337                                                | 84.0%                                                  |
|              | Q2 FY 2015                | 438                                     | 374                                                | 85.4%                                                  |
|              | Jan 2015                  | 400                                     | 333                                                | 83.3%                                                  |
|              | Feb 2015                  | 393                                     | 317                                                | 80.7%                                                  |
|              | Mar 2015                  | 388                                     | 328                                                | 84.5%                                                  |
|              | Q3 FY 2015                | 433                                     | 382                                                | 88.2%                                                  |
|              | Apr 2015                  | 393                                     | 329                                                | 83.7%                                                  |
|              | May 2015                  | 391                                     | 314                                                | 80.3%                                                  |
|              | Jun 2015                  | 382                                     | 305                                                | 79.8%                                                  |
|              | Q4 FY 2015                | 431                                     | 377                                                | 87.5%                                                  |

**(Continued - Attachment: Table 1.1b – Individuals Enrolled-Served, Monthly with Quarter Averages, Restatement of Prior Quarters)**

| <b>GSA</b>   | <b>Month/<br/>Quarter</b> | <b>Number CMDP<br/>Members Enrolled</b> | <b>Number CMDP<br/>Enrolled Members<br/>Served</b> | <b>Percent of CMDP<br/>Enrolled Members<br/>Served</b> |
|--------------|---------------------------|-----------------------------------------|----------------------------------------------------|--------------------------------------------------------|
| <b>GSA 4</b> | Jul 2013                  | 770                                     | 567                                                | 73.6%                                                  |
|              | Aug 2013                  | 781                                     | 579                                                | 74.1%                                                  |
|              | Sep 2013                  | 780                                     | 542                                                | 69.5%                                                  |
|              | Q1 FY 2014                | 876                                     | 734                                                | 83.8%                                                  |
|              | Oct 2013                  | 777                                     | 586                                                | 75.4%                                                  |
|              | Nov 2013                  | 793                                     | 556                                                | 70.1%                                                  |
|              | Dec 2013                  | 772                                     | 542                                                | 70.2%                                                  |
|              | Q2 FY 2014                | 869                                     | 736                                                | 84.7%                                                  |
|              | Jan 2014                  | 783                                     | 573                                                | 73.2%                                                  |
|              | Feb 2014                  | 787                                     | 560                                                | 71.2%                                                  |
|              | Mar 2014                  | 817                                     | 588                                                | 72.0%                                                  |
|              | Q3 FY 2014                | 908                                     | 750                                                | 82.6%                                                  |
|              | Apr 2014                  | 840                                     | 392                                                | 46.7%                                                  |
|              | May 2014                  | 878                                     | 631                                                | 71.9%                                                  |
|              | Jun 2014                  | 884                                     | 641                                                | 72.5%                                                  |
|              | Q4 FY 2014                | 960                                     | 783                                                | 81.6%                                                  |
|              | Jul 2014                  | 896                                     | 621                                                | 69.3%                                                  |
|              | Aug 2014                  | 932                                     | 608                                                | 65.2%                                                  |
|              | Sep 2014                  | 969                                     | 689                                                | 71.1%                                                  |
|              | Q1 FY 2015                | 1,049                                   | 850                                                | 81.0%                                                  |
|              | Oct 2014                  | 1,019                                   | 487                                                | 47.8%                                                  |
|              | Nov 2014                  | 1,030                                   | 693                                                | 67.3%                                                  |
|              | Dec 2014                  | 1,033                                   | 703                                                | 68.1%                                                  |
|              | Q2 FY 2015                | 1,131                                   | 869                                                | 76.8%                                                  |
|              | Jan 2015                  | 1,072                                   | 744                                                | 69.4%                                                  |
|              | Feb 2015                  | 1,096                                   | 705                                                | 64.3%                                                  |
|              | Mar 2015                  | 1,103                                   | 800                                                | 72.5%                                                  |
|              | Q3 FY 2015                | 1,194                                   | 996                                                | 83.4%                                                  |
|              | Apr 2015                  | 1,111                                   | 778                                                | 70.0%                                                  |
|              | May 2015                  | 1,085                                   | 768                                                | 70.8%                                                  |
|              | Jun 2015                  | 1,102                                   | 749                                                | 68.0%                                                  |
|              | Q4 FY 2015                | 1,217                                   | 990                                                | 81.3%                                                  |

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Quarter 1, FY 2016**(Continued - Attachment: Table 1.1b – Individuals Enrolled-Served, Monthly with Quarter Averages, Restatement of Prior Quarters)**

| <b>GSA</b>   | <b>Month/<br/>Quarter</b> | <b>Number CMDP<br/>Members Enrolled</b> | <b>Number CMDP<br/>Enrolled Members<br/>Served</b> | <b>Percent of CMDP<br/>Enrolled Members<br/>Served</b> |
|--------------|---------------------------|-----------------------------------------|----------------------------------------------------|--------------------------------------------------------|
| <b>GSA 5</b> | Jul 2013                  | 3,297                                   | 2,248                                              | 68.2%                                                  |
|              | Aug 2013                  | 3,309                                   | 2,210                                              | 66.8%                                                  |
|              | Sep 2013                  | 3,274                                   | 2,040                                              | 62.3%                                                  |
|              | Q1 FY 2014                | 3,683                                   | 2,698                                              | 73.3%                                                  |
|              | Oct 2013                  | 3,211                                   | 2,144                                              | 66.8%                                                  |
|              | Nov 2013                  | 3,192                                   | 2,090                                              | 65.5%                                                  |
|              | Dec 2013                  | 3,154                                   | 2,052                                              | 65.1%                                                  |
|              | Q2 FY 2014                | 3,513                                   | 2,537                                              | 72.2%                                                  |
|              | Jan 2014                  | 3,131                                   | 2,036                                              | 65.0%                                                  |
|              | Feb 2014                  | 3,125                                   | 2,040                                              | 65.3%                                                  |
|              | Mar 2014                  | 3,140                                   | 1,734                                              | 55.2%                                                  |
|              | Q3 FY 2014                | 3,516                                   | 2,509                                              | 71.4%                                                  |
|              | Apr 2014                  | 3,167                                   | 1,662                                              | 52.5%                                                  |
|              | May 2014                  | 3,174                                   | 2,306                                              | 72.7%                                                  |
|              | Jun 2014                  | 3,179                                   | 2,334                                              | 73.4%                                                  |
|              | Q4 FY 2014                | 3,509                                   | 2,686                                              | 76.5%                                                  |
|              | Jul 2014                  | 3,144                                   | 2,339                                              | 74.4%                                                  |
|              | Aug 2014                  | 3,148                                   | 2,527                                              | 80.3%                                                  |
|              | Sep 2014                  | 3,160                                   | 2,521                                              | 79.8%                                                  |
|              | Q1 FY 2015                | 3,529                                   | 2,910                                              | 82.5%                                                  |
|              | Oct 2014                  | 3,164                                   | 2,602                                              | 82.2%                                                  |
|              | Nov 2014                  | 3,158                                   | 2,570                                              | 81.4%                                                  |
|              | Dec 2014                  | 3,094                                   | 2,552                                              | 82.5%                                                  |
|              | Q2 FY 2015                | 3,457                                   | 2,988                                              | 86.4%                                                  |
|              | Jan 2015                  | 3,134                                   | 2,555                                              | 81.5%                                                  |
|              | Feb 2015                  | 3,100                                   | 2,454                                              | 79.2%                                                  |
|              | Mar 2015                  | 3,195                                   | 2,486                                              | 77.8%                                                  |
|              | Q3 FY 2015                | 3,490                                   | 2,972                                              | 85.2%                                                  |
|              | Apr 2015                  | 3,196                                   | 2,457                                              | 76.9%                                                  |
|              | May 2015                  | 3,117                                   | 2,384                                              | 76.5%                                                  |
|              | Jun 2015                  | 3,136                                   | 2,399                                              | 76.5%                                                  |
|              | Q4 FY 2015                | 3,529                                   | 2,890                                              | 81.9%                                                  |

**(Continued - Attachment: Table 1.1b – Individuals Enrolled-Served, Monthly with Quarter Averages, Restatement of Prior Quarters)**

| <b>GSA</b>   | <b>Month/<br/>Quarter</b> | <b>Number CMDP<br/>Members Enrolled</b> | <b>Number CMDP<br/>Enrolled Members<br/>Served</b> | <b>Percent of CMDP<br/>Enrolled Members<br/>Served</b> |
|--------------|---------------------------|-----------------------------------------|----------------------------------------------------|--------------------------------------------------------|
| <b>GSA 6</b> | Jul 2013                  | 5,006                                   | 2,853                                              | 57.0%                                                  |
|              | Aug 2013                  | 5,396                                   | 3,054                                              | 56.6%                                                  |
|              | Sep 2013                  | 5,715                                   | 3,126                                              | 54.7%                                                  |
|              | Q1 FY 2014                | 5,799                                   | 3,912                                              | 67.5%                                                  |
|              | Oct 2013                  | 6,158                                   | 3,487                                              | 56.6%                                                  |
|              | Nov 2013                  | 6,581                                   | 3,530                                              | 53.6%                                                  |
|              | Dec 2013                  | 6,966                                   | 3,602                                              | 51.7%                                                  |
|              | Q2 FY 2014                | 7,048                                   | 4,614                                              | 65.5%                                                  |
|              | Jan 2014                  | 7,468                                   | 3,812                                              | 51.0%                                                  |
|              | Feb 2014                  | 7,947                                   | 3,978                                              | 50.1%                                                  |
|              | Mar 2014                  | 8,497                                   | 4,221                                              | 49.7%                                                  |
|              | Q3 FY 2014                | 8,595                                   | 5,218                                              | 60.7%                                                  |
|              | Apr 2014                  | 9,081                                   | 4,583                                              | 50.5%                                                  |
|              | May 2014                  | 9,315                                   | 4,746                                              | 51.0%                                                  |
|              | Jun 2014                  | 9,460                                   | 4,875                                              | 51.5%                                                  |
|              | Q4 FY 2014                | 10,188                                  | 6,241                                              | 61.3%                                                  |
|              | Jul 2014                  | 9,571                                   | 4,958                                              | 51.8%                                                  |
|              | Aug 2014                  | 9,780                                   | 4,975                                              | 50.9%                                                  |
|              | Sep 2014                  | 9,766                                   | 4,972                                              | 50.9%                                                  |
|              | Q1 FY 2015                | 10,712                                  | 6,557                                              | 61.2%                                                  |
|              | Oct 2014                  | 9,855                                   | 5,022                                              | 51.0%                                                  |
|              | Nov 2014                  | 9,961                                   | 4,833                                              | 48.5%                                                  |
|              | Dec 2014                  | 10,094                                  | 5,051                                              | 50.0%                                                  |
|              | Q2 FY 2015                | 11,023                                  | 6,545                                              | 59.4%                                                  |
|              | Jan 2015                  | 10,163                                  | 5,078                                              | 50.0%                                                  |
|              | Feb 2015                  | 10,212                                  | 5,029                                              | 49.2%                                                  |
|              | Mar 2015                  | 10,480                                  | 5,281                                              | 50.4%                                                  |
|              | Q3 FY 2015                | 11,215                                  | 6,716                                              | 59.9%                                                  |
|              | Apr 2015                  | 10,656                                  | 5,281                                              | 49.6%                                                  |
|              | May 2015                  | 10,577                                  | 5,009                                              | 47.4%                                                  |
|              | Jun 2015                  | 10,728                                  | 5,385                                              | 50.2%                                                  |
|              | Q4 FY 2015                | 11,788                                  | 6,857                                              | 58.2%                                                  |



**(Continued - Attachment: Table 1.1b – Individuals Enrolled-Served, Monthly with Quarter Averages, Restatement of Prior Quarters)**

| <b>GSA</b>       | <b>Month/<br/>Quarter</b> | <b>Number CMDP<br/>Members Enrolled</b> | <b>Number CMDP<br/>Enrolled Members<br/>Served</b> | <b>Percent of CMDP<br/>Enrolled Members<br/>Served</b> |
|------------------|---------------------------|-----------------------------------------|----------------------------------------------------|--------------------------------------------------------|
| <b>Statewide</b> | Jul 2013                  | 10,556                                  | 6,841                                              | 64.8%                                                  |
|                  | Aug 2013                  | 11,006                                  | 7,037                                              | 63.9%                                                  |
|                  | Sep 2013                  | 11,270                                  | 6,873                                              | 61.0%                                                  |
|                  | <i>Q1 FY 2014</i>         | <i>12,065</i>                           | <i>8,776</i>                                       | <i>72.7%</i>                                           |
|                  | Oct 2013                  | 11,649                                  | 7,412                                              | 63.6%                                                  |
|                  | Nov 2013                  | 12,060                                  | 7,302                                              | 60.5%                                                  |
|                  | Dec 2013                  | 12,401                                  | 7,339                                              | 59.2%                                                  |
|                  | <i>Q2 FY 2014</i>         | <i>13,116</i>                           | <i>9,277</i>                                       | <i>70.7%</i>                                           |
|                  | Jan 2014                  | 12,912                                  | 7,591                                              | 58.8%                                                  |
|                  | Feb 2014                  | 13,367                                  | 7,725                                              | 57.8%                                                  |
|                  | Mar 2014                  | 13,988                                  | 7,683                                              | 54.9%                                                  |
|                  | <i>Q3 FY 2014</i>         | <i>14,715</i>                           | <i>9,868</i>                                       | <i>67.1%</i>                                           |
|                  | Apr 2014                  | 14,688                                  | 7,620                                              | 51.9%                                                  |
|                  | May 2014                  | 14,994                                  | 8,858                                              | 59.1%                                                  |
|                  | Jun 2014                  | 15,224                                  | 9,110                                              | 59.8%                                                  |
|                  | <i>Q4 FY 2014</i>         | <i>16,504</i>                           | <i>11,191</i>                                      | <i>67.8%</i>                                           |
|                  | Jul 2014                  | 15,337                                  | 9,176                                              | 59.8%                                                  |
|                  | Aug 2014                  | 15,589                                  | 9,227                                              | 59.2%                                                  |
|                  | Sep 2014                  | 15,645                                  | 9,534                                              | 60.9%                                                  |
|                  | <i>Q1 FY 2015</i>         | <i>17,253</i>                           | <i>11,900</i>                                      | <i>69.0%</i>                                           |
|                  | Oct 2014                  | 15,813                                  | 9,396                                              | 59.4%                                                  |
|                  | Nov 2014                  | 15,922                                  | 9,461                                              | 59.4%                                                  |
|                  | Dec 2014                  | 16,010                                  | 9,715                                              | 60.7%                                                  |
|                  | <i>Q2 FY 2015</i>         | <i>17,585</i>                           | <i>12,020</i>                                      | <i>68.4%</i>                                           |
|                  | Jan 2015                  | 16,154                                  | 9,796                                              | 60.6%                                                  |
|                  | Feb 2015                  | 16,210                                  | 9,598                                              | 59.2%                                                  |
|                  | Mar 2015                  | 16,618                                  | 10,001                                             | 60.2%                                                  |
|                  | <i>Q3 FY 2015</i>         | <i>17,893</i>                           | <i>12,351</i>                                      | <i>69.0%</i>                                           |
|                  | Apr 2015                  | 16,848                                  | 9,990                                              | 59.3%                                                  |
|                  | May 2015                  | 16,632                                  | 9,576                                              | 57.6%                                                  |
|                  | Jun 2015                  | 16,840                                  | 9,962                                              | 59.2%                                                  |
|                  | <i>Q4 FY 2015</i>         | <i>18,622</i>                           | <i>12,442</i>                                      | <i>66.8%</i>                                           |

**Attachment: Table 1.2a – FY 2016 Year-to-Date Summary**

Table 1.2a displays the cumulative number of unique CMDP-enrolled members served during the State Fiscal Year (beginning July 1, 2015) by each GSA.

| GSA              | Year-to-Date Summary, FY 2016 |                                     |                                         |
|------------------|-------------------------------|-------------------------------------|-----------------------------------------|
|                  | Number CMDP Members Enrolled  | Number CMDP Enrolled Members Served | Percent of CMDP Enrolled Members Served |
| <b>GSA 1</b>     | 1,300                         | 1,073                               | 82.5%                                   |
| <b>GSA 2</b>     | 357                           | 265                                 | 74.2%                                   |
| <b>GSA 3</b>     | 412                           | 346                                 | 84.0%                                   |
| <b>GSA 4</b>     | 1,188                         | 948                                 | 79.8%                                   |
| <b>GSA 5</b>     | 3,415                         | 2,848                               | 83.4%                                   |
| <b>GSA 6</b>     | 12,133                        | 7,255                               | 59.8%                                   |
| <b>Statewide</b> | 18,805                        | 12,735                              | 67.7%                                   |

Year-to-Date includes data starting from July 1, 2015 to the current reporting period. Data is restated each reporting period.

**Attachment: Table 1.2b – FY 2015 Year-to-Date Summary**

Table 1.2b displays the cumulative number of unique CMDP-enrolled members served during the State Fiscal Year (beginning July 1, 2014) by each GSA.

| GSA              | Year-to-Date Summary, FY 2015 |                                     |                                         |
|------------------|-------------------------------|-------------------------------------|-----------------------------------------|
|                  | Number CMDP Members Enrolled  | Number CMDP Enrolled Members Served | Percent of CMDP Enrolled Members Served |
| <b>GSA 1</b>     | 1,746                         | 1,504                               | 86.1%                                   |
| <b>GSA 2</b>     | 475                           | 401                                 | 84.4%                                   |
| <b>GSA 3</b>     | 613                           | 553                                 | 90.2%                                   |
| <b>GSA 4</b>     | 1,566                         | 1,391                               | 88.8%                                   |
| <b>GSA 5</b>     | 5,000                         | 4,335                               | 86.7%                                   |
| <b>GSA 6</b>     | 15,588                        | 11,480                              | 73.6%                                   |
| <b>Statewide</b> | 24,988                        | 19,664                              | 78.7%                                   |

Year-to-Date includes data starting from July 1, 2014 to the current reporting period. Data is restated each reporting period.

**Attachment: Table 1.2c – Restatement FY 2014 Year-to-Date Summary**

Table 1.2c displays the cumulative number of unique CMDP-enrolled members served during the FY 2014 (July 1st, 2013 – June 30th, 2014) by each GSA.

| GSA              | Year-to-Date Summary, FY 2014 |                                     |                                         |
|------------------|-------------------------------|-------------------------------------|-----------------------------------------|
|                  | Number CMDP Members Enrolled  | Number CMDP Enrolled Members Served | Percent of CMDP Enrolled Members Served |
| <b>GSA 1</b>     | 1,544                         | 1,265                               | 81.9%                                   |
| <b>GSA 2</b>     | 490                           | 461                                 | 94.1%                                   |
| <b>GSA 3</b>     | 602                           | 536                                 | 89.0%                                   |
| <b>GSA 4</b>     | 1,362                         | 1,221                               | 89.6%                                   |
| <b>GSA 5</b>     | 5,217                         | 4,250                               | 81.5%                                   |
| <b>GSA 6</b>     | 10,418                        | 8,508                               | 81.7%                                   |
| <b>Statewide</b> | 19,633                        | 16,241                              | 82.7%                                   |

Year-to-Date includes data starting from July 1, 2013 to the current reporting period. Data is restated each reporting period.

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**Attachment: Table 2.1a – New and Continuing Open Episodes of Care Case Volume by Month with Quarter Averages, Q1 FY 2016**

Table 2.1a provides data for the new and continuing open episodes of care cases, by GSA, from Q1, FY 2016.

|                  |                               | Jul 2015 | Aug 2015 | Sep 2015 | Q1, FY 2016<br>Average |
|------------------|-------------------------------|----------|----------|----------|------------------------|
| <b>GSA 1</b>     | New Cases <sup>1</sup>        | 58       | 43       | 4        | 35                     |
|                  | Continuing Cases <sup>2</sup> | 881      | 899      | 894      | 891                    |
| <b>GSA 2</b>     | New Cases <sup>1</sup>        | 18       | 12       | 3        | 11                     |
|                  | Continuing Cases <sup>2</sup> | 228      | 233      | 235      | 232                    |
| <b>GSA 3</b>     | New Cases <sup>1</sup>        | 8        | 14       | 1        | 8                      |
|                  | Continuing Cases <sup>2</sup> | 295      | 294      | 296      | 295                    |
| <b>GSA 4</b>     | New Cases <sup>1</sup>        | 18       | 44       | 2        | 21                     |
|                  | Continuing Cases <sup>2</sup> | 899      | 902      | 920      | 907                    |
| <b>GSA 5</b>     | New Cases <sup>1</sup>        | 159      | 112      | 37       | 103                    |
|                  | Continuing Cases <sup>2</sup> | 2,719    | 2,833    | 2,905    | 2,819                  |
| <b>GSA 6</b>     | New Cases <sup>1</sup>        | 334      | 291      | 223      | 283                    |
|                  | Continuing Cases <sup>2</sup> | 5,465    | 5,596    | 5,728    | 5,596                  |
| <b>Statewide</b> | New Cases <sup>1</sup>        | 595      | 516      | 270      | 460                    |
|                  | Continuing Cases <sup>2</sup> | 10,487   | 10,757   | 10,978   | 10,741                 |

<sup>1</sup> New Cases is defined as members who had an EOC start date that started in the reporting month. Please note, if a member's EOC start date and EOC end date both occurred in same month, the member was considered a New Case AND a Closed Case.

<sup>2</sup> Continuing Cases is defined as members who had an open EOC during the reporting month (i.e. the member had an EOC start date prior to the reporting month). Please note, if a member continued to receive services and had an EOC end date in the same month, the member was considered a Continuing Case AND a Closed Case.

**Attachment: Table 2.1b – New and Continuing Open Episodes of Care Case Volume by Month with Quarter Averages, FY 2015 Restatement**

Table 2.1b provides the restated data for the new and continuing open episodes of care cases, by GSA, from the previously reported FY 2015 quarters.

|                  |                               | Jul 2014 | Aug 2014 | Sep 2014 | Q1, FY 2015 Average | Oct 2014 | Nov 2014 | Dec 2014 | Q2, FY 2015 Average | Jan 2015 | Feb 2015 | Mar 2015 | Q3, FY 2015 Average | Apr 2015 | May 2015 | Jun 2015 | Q4, FY 2015 Average |
|------------------|-------------------------------|----------|----------|----------|---------------------|----------|----------|----------|---------------------|----------|----------|----------|---------------------|----------|----------|----------|---------------------|
| <b>GSA 1</b>     | New Cases <sup>1</sup>        | 68       | 72       | 101      | 80                  | 92       | 53       | 84       | 76                  | 92       | 53       | 84       | 76                  | 81       | 46       | 51       | 59                  |
|                  | Continuing Cases <sup>2</sup> | 840      | 846      | 884      | 857                 | 920      | 962      | 958      | 947                 | 920      | 962      | 958      | 947                 | 1,006    | 1,032    | 1,020    | 1,019               |
| <b>GSA 2</b>     | New Cases <sup>1</sup>        | 7        | 34       | 16       | 19                  | 12       | 17       | 15       | 15                  | 12       | 17       | 15       | 15                  | 8        | 11       | 15       | 11                  |
|                  | Continuing Cases <sup>2</sup> | 238      | 223      | 241      | 234                 | 244      | 242      | 251      | 246                 | 244      | 242      | 251      | 246                 | 263      | 264      | 259      | 262                 |
| <b>GSA 3</b>     | New Cases <sup>1</sup>        | 30       | 24       | 32       | 29                  | 32       | 19       | 12       | 21                  | 32       | 19       | 12       | 21                  | 22       | 18       | 13       | 18                  |
|                  | Continuing Cases <sup>2</sup> | 342      | 351      | 361      | 351                 | 371      | 383      | 377      | 377                 | 371      | 383      | 377      | 377                 | 388      | 389      | 378      | 385                 |
| <b>GSA 4</b>     | New Cases <sup>1</sup>        | 68       | 102      | 84       | 85                  | 62       | 65       | 80       | 69                  | 62       | 65       | 80       | 69                  | 45       | 52       | 31       | 43                  |
|                  | Continuing Cases <sup>2</sup> | 786      | 824      | 884      | 831                 | 919      | 958      | 979      | 952                 | 919      | 958      | 979      | 952                 | 1,069    | 1,075    | 1,098    | 1,081               |
| <b>GSA 5</b>     | New Cases <sup>1</sup>        | 152      | 234      | 217      | 201                 | 166      | 146      | 132      | 148                 | 166      | 146      | 132      | 148                 | 158      | 108      | 121      | 129                 |
|                  | Continuing Cases <sup>2</sup> | 2,686    | 2,761    | 2,901    | 2,783               | 3,010    | 3,086    | 3,095    | 3,064               | 3,010    | 3,086    | 3,095    | 3,064               | 3,278    | 3,350    | 3,350    | 3,326               |
| <b>GSA 6</b>     | New Cases <sup>1</sup>        | 517      | 400      | 350      | 422                 | 402      | 324      | 372      | 366                 | 402      | 324      | 372      | 366                 | 435      | 333      | 400      | 389                 |
|                  | Continuing Cases <sup>2</sup> | 5,036    | 5,325    | 5,514    | 5,292               | 5,626    | 5,817    | 5,919    | 5,787               | 5,626    | 5,817    | 5,919    | 5,787               | 6,613    | 6,840    | 6,989    | 6,814               |
| <b>Statewide</b> | New Cases <sup>1</sup>        | 842      | 866      | 800      | 836                 | 766      | 624      | 695      | 695                 | 766      | 624      | 695      | 695                 | 749      | 568      | 631      | 649                 |
|                  | Continuing Cases <sup>2</sup> | 9,928    | 10,330   | 10,785   | 10,348              | 11,090   | 11,448   | 11,579   | 11,372              | 11,090   | 11,448   | 11,579   | 11,372              | 12,617   | 12,950   | 13,094   | 12,887              |

<sup>1</sup> New Cases is defined as members who had an EOC start date that started in the reporting month. Please note, if a member's EOC start date and EOC end date both occurred in same month, the member was considered a New Case AND a Closed Case.

<sup>2</sup> Continuing Cases is defined as members who had an open EOC during the reporting month (i.e. the member had an EOC start date prior to the reporting month). Please note, if a member continued to receive services and had an EOC end date in the same month, the member was considered a Continuing Case AND a Closed Case.

**Attachment: Table 2.1c – New and Continuing Open Episodes of Care Case Volume by Month with Quarter Averages, FY 2014 Restatement <sup>10</sup>**

Table 2.1c provides the restated data for the new and continuing open episodes of care cases, by GSA, from the previously reported FY 2014 quarters.

|                  |                               | Jul 2013 | Aug 2013 | Sep 2013 | Q1, FY 2014 Average | Oct 2013 | Nov 2013 | Dec 2013 | Q2, FY 2014 Average | Jan 2014 | Feb 2014 | Mar 2014 | Q3, FY 2014 Average | Apr 2014 | May 2014 | Jun 2014 | Q4, FY 2014 Average |
|------------------|-------------------------------|----------|----------|----------|---------------------|----------|----------|----------|---------------------|----------|----------|----------|---------------------|----------|----------|----------|---------------------|
| <b>GSA 1</b>     | New Cases <sup>1</sup>        | 82       | 66       | 70       | 73                  | 86       | 61       | 65       | 71                  | 71       | 60       | 60       | 64                  | 86       | 70       | 64       | 73                  |
|                  | Continuing Cases <sup>2</sup> | 667      | 695      | 725      | 696                 | 717      | 751      | 756      | 741                 | 756      | 771      | 787      | 771                 | 795      | 827      | 843      | 822                 |
| <b>GSA 2</b>     | New Cases <sup>1</sup>        | 15       | 21       | 19       | 18                  | 27       | 21       | 21       | 23                  | 13       | 14       | 14       | 14                  | 31       | 28       | 13       | 24                  |
|                  | Continuing Cases <sup>2</sup> | 294      | 291      | 295      | 293                 | 288      | 297      | 293      | 293                 | 293      | 289      | 275      | 286                 | 253      | 255      | 270      | 259                 |
| <b>GSA 3</b>     | New Cases <sup>1</sup>        | 23       | 29       | 38       | 30                  | 21       | 16       | 23       | 20                  | 20       | 16       | 23       | 20                  | 31       | 39       | 38       | 36                  |
|                  | Continuing Cases <sup>2</sup> | 307      | 308      | 326      | 314                 | 331      | 345      | 341      | 339                 | 350      | 355      | 368      | 358                 | 371      | 357      | 367      | 365                 |
| <b>GSA 4</b>     | New Cases <sup>1</sup>        | 33       | 44       | 62       | 46                  | 41       | 66       | 46       | 51                  | 48       | 54       | 71       | 58                  | 72       | 78       | 77       | 76                  |
|                  | Continuing Cases <sup>2</sup> | 712      | 701      | 718      | 710                 | 734      | 729      | 740      | 734                 | 724      | 757      | 782      | 754                 | 793      | 804      | 809      | 802                 |
| <b>GSA 5</b>     | New Cases <sup>1</sup>        | 181      | 224      | 181      | 195                 | 179      | 159      | 155      | 164                 | 198      | 186      | 182      | 189                 | 197      | 201      | 113      | 170                 |
|                  | Continuing Cases <sup>2</sup> | 2,422    | 2,432    | 2,516    | 2,457               | 2,465    | 2,497    | 2,518    | 2,493               | 2,552    | 2,616    | 2,699    | 2,622               | 2,782    | 2,877    | 2,961    | 2,873               |
| <b>GSA 6</b>     | New Cases <sup>1</sup>        | 406      | 521      | 403      | 443                 | 558      | 526      | 464      | 516                 | 542      | 494      | 443      | 493                 | 525      | 428      | 452      | 468                 |
|                  | Continuing Cases <sup>2</sup> | 2,767    | 2,932    | 3,138    | 2,946               | 3,235    | 3,455    | 3,581    | 3,424               | 3,673    | 3,840    | 3,985    | 3,833               | 4,198    | 4,434    | 4,647    | 4,426               |
| <b>Statewide</b> | New Cases <sup>1</sup>        | 740      | 905      | 773      | 806                 | 912      | 849      | 774      | 845                 | 892      | 824      | 793      | 836                 | 942      | 844      | 757      | 848                 |
|                  | Continuing Cases <sup>2</sup> | 7,169    | 7,359    | 7,718    | 7,415               | 7,770    | 8,074    | 8,229    | 8,024               | 8,348    | 8,628    | 8,896    | 8,624               | 9,192    | 9,554    | 9,897    | 9,548               |

<sup>1</sup> New Cases is defined as members who had an EOC start date that started in the reporting month. Please note, if a member's EOC start date and EOC end date both occurred in same month, the member was considered a New Case AND a Closed Case.

<sup>2</sup> Continuing Cases is defined as members who had an open EOC during the reporting month (i.e. the member had an EOC start date prior to the reporting month). Please note, if a member continued to receive services and had an EOC end date in the same month, the member was considered a Continuing Case AND a Closed Case.

<sup>10</sup> Restatement of numbers captures retroactive member counts and as a result numbers may increase in comparison to previous reports.

**Attachment: Table 2.2 – Statewide Open EOC Penetration Rates by Month, FY 2014, FY 2015, and FY 2016**

Table 2.2 provides the number of open EOC cases and number of CMDP members enrolled each month, with the EOC penetration rates.

| GSA       | Month-Year | Number of Open EOC Cases <sup>1</sup> | Number CMDP Members Enrolled/ CMDP Census | EOC Penetration Rate |
|-----------|------------|---------------------------------------|-------------------------------------------|----------------------|
| Statewide | Jul-13     | 7,909                                 | 10,556                                    | 74.9%                |
|           | Aug-13     | 8,264                                 | 11,006                                    | 75.1%                |
|           | Sep-13     | 8,491                                 | 11,270                                    | 75.3%                |
|           | Oct-13     | 8,682                                 | 11,649                                    | 74.5%                |
|           | Nov-13     | 8,923                                 | 12,060                                    | 74.0%                |
|           | Dec-13     | 9,003                                 | 12,401                                    | 72.6%                |
|           | Jan-14     | 9,240                                 | 12,912                                    | 71.6%                |
|           | Feb-14     | 9,452                                 | 13,367                                    | 70.7%                |
|           | Mar-14     | 9,689                                 | 13,988                                    | 69.3%                |
|           | Apr-14     | 10,134                                | 14,688                                    | 69.0%                |
|           | May-14     | 10,398                                | 14,994                                    | 69.3%                |
|           | Jun-14     | 10,654                                | 15,224                                    | 70.0%                |
|           | Jul-14     | 10,770                                | 15,337                                    | 70.2%                |
|           | Aug-14     | 11,196                                | 15,589                                    | 71.8%                |
|           | Sep-14     | 11,585                                | 15,645                                    | 74.0%                |
|           | Oct-14     | 11,856                                | 15,813                                    | 75.0%                |
|           | Nov-14     | 12,072                                | 15,922                                    | 75.8%                |
|           | Dec-14     | 12,274                                | 16,010                                    | 76.7%                |
|           | Jan-15     | 11,856                                | 16,154                                    | 73.4%                |
|           | Feb-15     | 12,072                                | 16,210                                    | 74.5%                |
|           | Mar-15     | 12,274                                | 16,618                                    | 73.9%                |
|           | Apr-15     | 12,617                                | 16,848                                    | 74.9%                |
|           | May-15     | 13,518                                | 16,632                                    | 81.3%                |
|           | Jun-15     | 13,725                                | 16,840                                    | 81.5%                |
|           | Jul-15     | 11,082                                | 17,002                                    | 65.2%                |
|           | Aug-15     | 11,273                                | 17,020                                    | 66.2%                |
|           | Sep-15     | 11,248                                | 17,155                                    | 65.6%                |



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**Attachment: Table 2.3a – Closed Case Volume by Month with Quarter Averages, Q1 FY 2016**

Table 2.3a provides data for closed episodes of care cases, by GSA, from Quarter 1, FY 2016.

|                  |                           | Jul 2015 | Aug 2015 | Sep 2015 | Q1, FY 2016<br>Average |
|------------------|---------------------------|----------|----------|----------|------------------------|
| <b>GSA 1</b>     | Closed Cases <sup>1</sup> | 33       | 39       | 36       | 36                     |
| <b>GSA 2</b>     | Closed Cases <sup>1</sup> | 17       | 15       | 8        | 13                     |
| <b>GSA 3</b>     | Closed Cases <sup>1</sup> | 8        | 11       | 10       | 10                     |
| <b>GSA 4</b>     | Closed Cases <sup>1</sup> | 24       | 20       | 36       | 27                     |
| <b>GSA 5</b>     | Closed Cases <sup>1</sup> | 48       | 39       | 31       | 39                     |
| <b>GSA 6</b>     | Closed Cases <sup>1</sup> | 167      | 191      | 168      | 175                    |
| <b>Statewide</b> | Closed Cases <sup>1</sup> | 297      | 315      | 289      | 300                    |

<sup>1</sup> Closed Cases are defined as members who had an EOC end date that ended in the reporting month. Please note, if a member's EOC start date and EOC end date both occurred in same month, the member was considered a New Case AND a Closed Case. Additionally, if a member continued to receive services and had an EOC end date in the same month, the member was considered a Continuing Case AND a Closed Case.

**Attachment: Table 2.3b – Closed Case Volume by Month with Quarter Averages, FY 2015 Restatement**

Table 2.3b provides the restated data for closed episodes of care cases, by GSA, from the previously reported FY 2015 quarters.

|                  |                           | Jul 2014 | Aug 2014 | Sep 2014 | Q1, FY 2015 Average | Oct 2014 | Nov 2014 | Dec 2014 | Q2, FY 2015 Average | Jan 2015 | Feb 2015 | Mar 2015 | Q3, FY 2015 Average | Apr 2015 | May 2015 | Jun 2015 | Q4, FY 2015 Average |
|------------------|---------------------------|----------|----------|----------|---------------------|----------|----------|----------|---------------------|----------|----------|----------|---------------------|----------|----------|----------|---------------------|
| <b>GSA 1</b>     | Closed Cases <sup>1</sup> | 38       | 59       | 44       | 47                  | 64       | 44       | 68       | 59                  | 45       | 64       | 61       | 57                  | 48       | 50       | 68       | 55                  |
| <b>GSA 2</b>     | Closed Cases <sup>1</sup> | 22       | 15       | 15       | 17                  | 15       | 14       | 8        | 12                  | 17       | 20       | 19       | 19                  | 19       | 4        | 17       | 13                  |
| <b>GSA 3</b>     | Closed Cases <sup>1</sup> | 27       | 21       | 13       | 20                  | 34       | 15       | 23       | 24                  | 22       | 9        | 22       | 18                  | 18       | 28       | 23       | 23                  |
| <b>GSA 4</b>     | Closed Cases <sup>1</sup> | 35       | 51       | 35       | 40                  | 30       | 44       | 35       | 36                  | 48       | 37       | 38       | 41                  | 31       | 44       | 28       | 34                  |
| <b>GSA 5</b>     | Closed Cases <sup>1</sup> | 62       | 87       | 102      | 84                  | 89       | 109      | 123      | 107                 | 115      | 108      | 110      | 111                 | 91       | 79       | 117      | 96                  |
| <b>GSA 6</b>     | Closed Cases <sup>1</sup> | 205      | 197      | 191      | 198                 | 252      | 200      | 232      | 228                 | 217      | 231      | 269      | 239                 | 169      | 192      | 203      | 188                 |
| <b>Statewide</b> | Closed Cases <sup>1</sup> | 389      | 430      | 400      | 406                 | 484      | 426      | 489      | 466                 | 464      | 469      | 519      | 484                 | 376      | 397      | 456      | 410                 |

<sup>1</sup> Closed Cases is defined as members who had an EOC end date that ended in the reporting month. Please note, if a member's EOC start date and EOC end date both occurred in same month, the member was considered a New Case AND a Closed Case. Additionally, if a member continued to receive services and had an EOC end date in the same month, the member was considered a Continuing Case AND a Closed Case.

**Attachment: Table 2.3c – Closed Case Volume by Month with Quarter Averages, FY 2014 Restatement <sup>11</sup>**

Table 2.3c provides the restated data for closed episodes of care cases, by GSA, from the previously reported FY 2014 quarters.

|                  |                           | Jul 2013 | Aug 2013 | Sep 2013 | Q1, FY 2014 Average | Oct 2013 | Nov 2013 | Dec 2013 | Q2, FY 2014 Average | Jan 2014 | Feb 2014 | Mar 2014 | Q3, FY 2014 Average | Apr 2014 | May 2014 | Jun 2014 | Q4, FY 2014 Average |
|------------------|---------------------------|----------|----------|----------|---------------------|----------|----------|----------|---------------------|----------|----------|----------|---------------------|----------|----------|----------|---------------------|
| <b>GSA 1</b>     | Closed Cases <sup>1</sup> | 45       | 55       | 59       | 53                  | 62       | 46       | 74       | 61                  | 48       | 53       | 48       | 50                  | 53       | 52       | 66       | 57                  |
| <b>GSA 2</b>     | Closed Cases <sup>1</sup> | 12       | 16       | 21       | 16                  | 26       | 16       | 27       | 23                  | 14       | 16       | 36       | 22                  | 37       | 18       | 16       | 24                  |
| <b>GSA 3</b>     | Closed Cases <sup>1</sup> | 12       | 17       | 23       | 17                  | 24       | 7        | 20       | 17                  | 12       | 11       | 13       | 12                  | 24       | 36       | 44       | 35                  |
| <b>GSA 4</b>     | Closed Cases <sup>1</sup> | 28       | 42       | 50       | 40                  | 26       | 61       | 43       | 43                  | 49       | 24       | 50       | 41                  | 57       | 64       | 63       | 61                  |
| <b>GSA 5</b>     | Closed Cases <sup>1</sup> | 159      | 169      | 217      | 182                 | 153      | 164      | 110      | 142                 | 125      | 144      | 96       | 122                 | 106      | 109      | 107      | 107                 |
| <b>GSA 6</b>     | Closed Cases <sup>1</sup> | 272      | 251      | 290      | 271                 | 334      | 357      | 382      | 358                 | 382      | 410      | 368      | 387                 | 204      | 214      | 201      | 206                 |
| <b>Statewide</b> | Closed Cases <sup>1</sup> | 528      | 550      | 660      | 579                 | 625      | 651      | 656      | 644                 | 630      | 658      | 611      | 633                 | 481      | 493      | 497      | 490                 |

<sup>1</sup> Closed Cases is defined as members who had an EOC end date that ended in the reporting month. Please note, if a member's EOC start date and EOC end date both occurred in same month, the member was considered a New Case AND a Closed Case. Additionally, if a member continued to receive services and had an EOC end date in the same month, the member was considered a Continuing Case AND a Closed Case.

<sup>11</sup> Restatement of numbers captures retroactive member counts and as a result numbers may increase in comparison to previous reports.

**Attachment: Table 3.1 – Service Type and Cost, Q1 FY 2016**

Table 3.1 provides data for encounter values and utilized service categories, by GSA, from Quarter 1, FY 2016.

|              |                                     | Q1, FY 2016                  |                            |                                                   |                       |
|--------------|-------------------------------------|------------------------------|----------------------------|---------------------------------------------------|-----------------------|
| GSA          | Service Category                    | Unique CMDP Members Enrolled | Unique CMDP Members Served | Percentage Received Service Category <sup>1</sup> | Total Encounter Value |
| <b>GSA 1</b> | A-Treatment Services                |                              | 626                        | 58.3%                                             | \$364,894.77          |
|              | <i>Sub-Category Assessment</i>      |                              | 266                        | 24.8%                                             | \$86,403.55           |
|              | B-Rehabilitation Services           |                              | 390                        | 36.3%                                             | \$468,343.86          |
|              | C-Medical Services                  |                              | 140                        | 13.0%                                             | \$20,062.09           |
|              | D-Support Services                  |                              | 1,055                      | 98.3%                                             | \$1,439,198.10        |
|              | <i>Sub-Category Case Management</i> |                              | 1,045                      | 97.4%                                             | \$668,491.00          |
|              | E-Crisis Intervention Services      |                              | 12                         | 1.1%                                              | \$3,670.80            |
|              | F-Inpatient Services                |                              | 23                         | 2.1%                                              | \$6,224.59            |
|              | G-Residential Services              |                              | 21                         | 2.0%                                              | \$269,724.05          |
|              | H-Behavioral Health Day Programs    |                              | 1                          | 0.1%                                              | \$994.74              |
|              | P-Pharmacy                          |                              | 160                        | 14.9%                                             | \$53,895.97           |
|              | <b>All Services</b>                 | <b>1,300</b>                 | <b>1,073</b>               |                                                   | <b>\$2,627,008.97</b> |
| <b>GSA 2</b> | A-Treatment Services                |                              | 195                        | 73.6%                                             | \$113,355.00          |
|              | <i>Sub-Category Assessment</i>      |                              | 120                        | 45.3%                                             | \$50,088.88           |
|              | B-Rehabilitation Services           |                              | 96                         | 36.2%                                             | \$68,392.28           |
|              | C-Medical Services                  |                              | 19                         | 7.2%                                              | \$3,293.27            |
|              | D-Support Services                  |                              | 263                        | 99.2%                                             | \$221,989.32          |
|              | <i>Sub-Category Case Management</i> |                              | 263                        | 99.2%                                             | \$127,640.66          |
|              | E-Crisis Intervention Services      |                              | 8                          | 3.0%                                              | \$5,601.76            |
|              | F-Inpatient Services                |                              | 5                          | 1.9%                                              | \$8,410.96            |
|              | G-Residential Services              |                              | 4                          | 1.5%                                              | \$131,000.52          |
|              | H-Behavioral Health Day Programs    |                              | 0                          | 0.0%                                              | \$0.00                |
|              | P-Pharmacy                          |                              | 23                         | 8.7%                                              | \$7,723.69            |
|              | <b>All Services</b>                 | <b>357</b>                   | <b>265</b>                 |                                                   | <b>\$559,766.80</b>   |
| <b>GSA 3</b> | A-Treatment Services                |                              | 293                        | 84.7%                                             | \$230,598.26          |
|              | <i>Sub-Category Assessment</i>      |                              | 200                        | 57.8%                                             | \$79,904.98           |
|              | B-Rehabilitation Services           |                              | 99                         | 28.6%                                             | \$67,188.76           |
|              | C-Medical Services                  |                              | 20                         | 5.8%                                              | \$3,749.56            |
|              | D-Support Services                  |                              | 340                        | 98.3%                                             | \$313,936.41          |
|              | <i>Sub-Category Case Management</i> |                              | 340                        | 98.3%                                             | \$191,587.29          |
|              | E-Crisis Intervention Services      |                              | 5                          | 1.4%                                              | \$3,943.38            |
|              | F-Inpatient Services                |                              | 5                          | 1.4%                                              | \$16,761.31           |
|              | G-Residential Services              |                              | 4                          | 1.2%                                              | \$104,184.85          |
|              | H-Behavioral Health Day Programs    |                              | 0                          | 0.0%                                              | \$0.00                |
|              | P-Pharmacy                          |                              | 25                         | 7.2%                                              | \$7,592.56            |
|              | <b>All Services</b>                 | <b>412</b>                   | <b>346</b>                 |                                                   | <b>\$747,955.09</b>   |
| <b>GSA 4</b> | A-Treatment Services                |                              | 695                        | 73.3%                                             | \$447,862.93          |
|              | <i>Sub-Category Assessment</i>      |                              | 507                        | 53.5%                                             | \$172,183.81          |
|              | B-Rehabilitation Services           |                              | 175                        | 18.5%                                             | \$159,498.16          |
|              | C-Medical Services                  |                              | 67                         | 7.1%                                              | \$11,598.22           |
|              | D-Support Services                  |                              | 935                        | 98.6%                                             | \$487,111.32          |
|              | <i>Sub-Category Case Management</i> |                              | 935                        | 98.6%                                             | \$329,083.62          |
|              | E-Crisis Intervention Services      |                              | 15                         | 1.6%                                              | \$11,111.42           |
|              | F-Inpatient Services                |                              | 14                         | 1.5%                                              | \$68,419.73           |
|              | G-Residential Services              |                              | 11                         | 1.2%                                              | \$172,707.15          |
|              | H-Behavioral Health Day Programs    |                              | 0                          | 0.0%                                              | \$0.00                |
|              | P-Pharmacy                          |                              | 109                        | 11.5%                                             | \$38,063.75           |
|              | <b>All Services</b>                 | <b>1,188</b>                 | <b>948</b>                 | <b>0.0%</b>                                       | <b>\$1,396,372.68</b> |

**(Continued - Attachment: Table 3.1 – Service Type and Cost, Q1 FY 2016)**

| GSA                 | Service Category                    | Q1, FY 2016                  |                            |                                                   |                       |
|---------------------|-------------------------------------|------------------------------|----------------------------|---------------------------------------------------|-----------------------|
|                     |                                     | Unique CMDP Members Enrolled | Unique CMDP Members Served | Percentage Received Service Category <sup>1</sup> | Total Encounter Value |
| GSA 5               | A-Treatment Services                |                              | 2,119                      | 74.4%                                             | \$1,405,501.55        |
|                     | <i>Sub-Category Assessment</i>      |                              | 1,620                      | 56.9%                                             | \$568,219.74          |
|                     | B-Rehabilitation Services           |                              | 536                        | 18.8%                                             | \$352,289.70          |
|                     | C-Medical Services                  |                              | 284                        | 10.0%                                             | \$88,434.64           |
|                     | D-Support Services                  |                              | 2,807                      | 98.6%                                             | \$2,453,236.81        |
|                     | <i>Sub-Category Case Management</i> |                              | 2,803                      | 98.4%                                             | \$1,649,292.46        |
|                     | E-Crisis Intervention Services      |                              | 147                        | 5.2%                                              | \$125,726.05          |
|                     | F-Inpatient Services                |                              | 70                         | 2.5%                                              | \$837,101.03          |
|                     | G-Residential Services              |                              | 34                         | 1.2%                                              | \$310,573.76          |
|                     | H-Behavioral Health Day Programs    |                              | 24                         | 0.8%                                              | \$46,923.84           |
|                     | P-Pharmacy                          |                              | 361                        | 12.7%                                             | \$161,697.84          |
| <i>All Services</i> | <b>3,415</b>                        | <b>2,848</b>                 |                            | <b>\$5,781,485.22</b>                             |                       |
| GSA 6               | A-Treatment Services                |                              | 4,989                      | 68.8%                                             | \$2,081,955.21        |
|                     | <i>Sub-Category Assessment</i>      |                              | 2,474                      | 34.1%                                             | \$645,317.60          |
|                     | B-Rehabilitation Services           |                              | 844                        | 11.6%                                             | \$2,015,518.49        |
|                     | C-Medical Services                  |                              | 1,071                      | 14.8%                                             | \$150,571.28          |
|                     | D-Support Services                  |                              | 7,093                      | 97.8%                                             | \$4,825,065.67        |
|                     | <i>Sub-Category Case Management</i> |                              | 7,064                      | 97.4%                                             | \$3,070,741.88        |
|                     | E-Crisis Intervention Services      |                              | 365                        | 5.0%                                              | \$382,810.61          |
|                     | F-Inpatient Services                |                              | 94                         | 1.3%                                              | \$641,846.24          |
|                     | G-Residential Services              |                              | 81                         | 1.1%                                              | \$1,004,074.07        |
|                     | H-Behavioral Health Day Programs    |                              | 17                         | 0.2%                                              | \$25,784.70           |
|                     | P-Pharmacy                          |                              | 1,322                      | 18.2%                                             | \$685,245.60          |
| <i>All Services</i> | <b>12,133</b>                       | <b>7,255</b>                 |                            | <b>\$11,812,897.22</b>                            |                       |
| Statewide           | A-Treatment Services                |                              | 8,917                      | 70.0%                                             | \$4,644,167.72        |
|                     | <i>Sub-Category Assessment</i>      |                              | 5,187                      | 40.7%                                             | \$1,602,118.56        |
|                     | B-Rehabilitation Services           |                              | 2,140                      | 16.8%                                             | \$3,131,231.25        |
|                     | C-Medical Services                  |                              | 1,601                      | 12.6%                                             | \$277,709.06          |
|                     | D-Support Services                  |                              | 12,493                     | 98.1%                                             | \$9,740,537.63        |
|                     | <i>Sub-Category Case Management</i> |                              | 12,450                     | 97.8%                                             | \$6,036,836.91        |
|                     | E-Crisis Intervention Services      |                              | 552                        | 4.3%                                              | \$532,864.02          |
|                     | F-Inpatient Services                |                              | 211                        | 1.7%                                              | \$1,578,763.86        |
|                     | G-Residential Services              |                              | 155                        | 1.2%                                              | \$1,992,264.40        |
|                     | H-Behavioral Health Day Programs    |                              | 42                         | 0.3%                                              | \$73,703.28           |
|                     | P-Pharmacy                          |                              | 2,000                      | 15.7%                                             | \$954,219.41          |
| <i>All Services</i> | <b>18,805</b>                       | <b>12,735</b>                |                            | <b>\$22,925,485.98</b>                            |                       |

<sup>1</sup> Percentage Received Service Category is defined as the percent of CMDP enrolled and served members who received a service in the stated service category. For example, statewide, 70.0% of all the CMDP members served in Q1 FY 16 received service(s) in Treatment Services.

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Attachment: Table 3.2a – Service Type and Cost, FY 2015 Restatement <sup>12</sup>

Table 3.2a provides the restated data for encounter values and utilized service categories, by GSA, from the previously reported FY 2015 quarters.

| GSA   | Service Category                 | Q1, FY 2015                  |                            |                                                   |                       | Q2, FY 2015                  |                            |                                                   |                       | Q3, FY 2015                  |                            |                                                   |                       | Q4 FY 2015              |                       |                                      |                       |
|-------|----------------------------------|------------------------------|----------------------------|---------------------------------------------------|-----------------------|------------------------------|----------------------------|---------------------------------------------------|-----------------------|------------------------------|----------------------------|---------------------------------------------------|-----------------------|-------------------------|-----------------------|--------------------------------------|-----------------------|
|       |                                  | Unique CMDP Members Enrolled | Unique CMDP Members Served | Percentage Received Service Category <sup>1</sup> | Total Encounter Value | Unique CMDP Members Enrolled | Unique CMDP Members Served | Percentage Received Service Category <sup>1</sup> | Total Encounter Value | Unique CMDP Members Enrolled | Unique CMDP Members Served | Percentage Received Service Category <sup>1</sup> | Total Encounter Value | Unique Members Enrolled | Unique Members Served | Percentage Received Service Category | Total Encounter Value |
| GSA 1 | A-Treatment Services             | 539                          | 58.3%                      | \$292,628.29                                      | 642                   | 63.8%                        | \$389,205.21               | 636                                               | 62.3%                 | \$392,918.62                 | 667                        | 62.7%                                             | \$403,685.99          |                         |                       |                                      |                       |
|       | Sub-Category Assessment          | 187                          | 20.2%                      | \$58,183.80                                       | 270                   | 26.8%                        | \$87,207.00                | 258                                               | 25.3%                 | \$78,095.35                  | 282                        | 26.5%                                             | \$89,305.38           |                         |                       |                                      |                       |
|       | B-Rehabilitation Services        | 346                          | 37.4%                      | \$239,846.76                                      | 418                   | 41.6%                        | \$366,888.27               | 431                                               | 42.2%                 | \$422,443.61                 | 429                        | 40.3%                                             | \$462,138.16          |                         |                       |                                      |                       |
|       | C-Medical Services               | 106                          | 11.5%                      | \$16,612.00                                       | 133                   | 13.2%                        | \$20,403.15                | 155                                               | 15.2%                 | \$26,064.48                  | 148                        | 13.9%                                             | \$25,891.46           |                         |                       |                                      |                       |
|       | D-Support Services               | 908                          | 98.3%                      | \$1,104,752.04                                    | 985                   | 97.9%                        | \$1,537,297.90             | 1,000                                             | 97.9%                 | \$1,540,325.67               | 1,038                      | 97.6%                                             | \$1,439,074.24        |                         |                       |                                      |                       |
|       | Sub-Category Case Management     | 905                          | 97.9%                      | \$505,149.82                                      | 978                   | 97.2%                        | \$660,893.53               | 998                                               | 97.7%                 | \$693,562.46                 | 1,029                      | 96.7%                                             | \$705,788.25          |                         |                       |                                      |                       |
|       | E-Crisis Intervention Services   | 17                           | 1.8%                       | \$34,433.76                                       | 11                    | 1.1%                         | \$4,196.70                 | 8                                                 | 0.8%                  | \$2,505.27                   | 28                         | 2.6%                                              | \$9,130.59            |                         |                       |                                      |                       |
|       | F-Inpatient Services             | 21                           | 2.3%                       | \$3,634.73                                        | 28                    | 2.8%                         | \$1,343.07                 | 29                                                | 2.8%                  | \$12,675.71                  | 33                         | 3.1%                                              | \$9,656.39            |                         |                       |                                      |                       |
|       | G-Residential Services           | 19                           | 2.1%                       | \$195,962.49                                      | 22                    | 2.2%                         | \$256,573.72               | 23                                                | 2.3%                  | \$341,728.33                 | 22                         | 2.1%                                              | \$254,179.62          |                         |                       |                                      |                       |
|       | H-Behavioral Health Day Programs | 0                            | 0.0%                       | \$0.00                                            | 0                     | 0.0%                         | \$0.00                     | 0                                                 | 0.0%                  | \$0.00                       | 0                          | 0.0%                                              | \$0.00                |                         |                       |                                      |                       |
|       | P-Pharmacy                       | 156                          | 16.9%                      | \$68,941.11                                       | 167                   | 16.6%                        | \$77,439.09                | 165                                               | 16.2%                 | \$70,706.58                  | 176                        | 16.5%                                             | \$69,903.30           |                         |                       |                                      |                       |
|       | All Services                     | 1,155                        | 924                        | \$1,956,811.18                                    | 1,218                 | 1,006                        | \$2,653,347.11             | 1,220                                             | 1,021                 | \$2,809,368.27               | 1,302                      | 1,064                                             | \$2,673,659.75        |                         |                       |                                      |                       |
| GSA 2 | A-Treatment Services             | 193                          | 72.8%                      | \$126,547.51                                      | 168                   | 70.6%                        | \$101,582.41               | 207                                               | 78.4%                 | \$206,501.85                 | 214                        | 81.1%                                             | \$160,076.26          |                         |                       |                                      |                       |
|       | Sub-Category Assessment          | 139                          | 52.5%                      | \$50,224.15                                       | 114                   | 47.9%                        | \$37,977.61                | 160                                               | 60.6%                 | \$121,657.49                 | 138                        | 52.3%                                             | \$76,582.97           |                         |                       |                                      |                       |
|       | B-Rehabilitation Services        | 55                           | 20.8%                      | \$49,667.21                                       | 46                    | 19.3%                        | \$51,879.43                | 39                                                | 14.8%                 | \$28,989.17                  | 73                         | 27.7%                                             | \$63,602.97           |                         |                       |                                      |                       |
|       | C-Medical Services               | 26                           | 9.8%                       | \$4,221.20                                        | 15                    | 6.3%                         | \$2,061.00                 | 17                                                | 6.4%                  | \$3,652.87                   | 23                         | 8.7%                                              | \$3,345.04            |                         |                       |                                      |                       |
|       | D-Support Services               | 261                          | 98.5%                      | \$306,994.39                                      | 236                   | 99.2%                        | \$205,165.41               | 262                                               | 99.2%                 | \$305,360.72                 | 263                        | 99.6%                                             | \$299,657.31          |                         |                       |                                      |                       |
|       | Sub-Category Case Management     | 267                          | 98.5%                      | \$163,427.14                                      | 234                   | 98.3%                        | \$105,905.96               | 267                                               | 98.9%                 | \$160,681.31                 | 263                        | 99.6%                                             | \$159,551.56          |                         |                       |                                      |                       |
|       | E-Crisis Intervention Services   | 10                           | 3.8%                       | \$16,495.99                                       | 6                     | 2.5%                         | \$5,238.77                 | 6                                                 | 2.3%                  | \$5,699.80                   | 5                          | 1.9%                                              | \$6,124.17            |                         |                       |                                      |                       |
|       | F-Inpatient Services             | 1                            | 0.4%                       | \$65.49                                           | 0                     | 0.0%                         | \$0.00                     | 2                                                 | 0.8%                  | \$12,283.88                  | 4                          | 1.5%                                              | \$836.00              |                         |                       |                                      |                       |
|       | G-Residential Services           | 2                            | 0.8%                       | \$79,263.76                                       | 3                     | 1.3%                         | \$102,235.00               | 3                                                 | 1.1%                  | \$110,643.50                 | 4                          | 1.5%                                              | \$119,450.40          |                         |                       |                                      |                       |
|       | H-Behavioral Health Day Programs | 0                            | 0.0%                       | \$0.00                                            | 0                     | 0.0%                         | \$0.00                     | 0                                                 | 0.0%                  | \$0.00                       | 0                          | 0.0%                                              | \$0.00                |                         |                       |                                      |                       |
|       | P-Pharmacy                       | 27                           | 10.2%                      | \$10,107.05                                       | 20                    | 8.4%                         | \$8,393.43                 | 22                                                | 8.3%                  | \$8,993.93                   | 22                         | 8.3%                                              | \$8,140.38            |                         |                       |                                      |                       |
|       | All Services                     | 341                          | 265                        | \$593,362.60                                      | 318                   | 238                          | \$476,555.45               | 341                                               | 264                   | \$682,125.72                 | 355                        | 264                                               | \$661,232.53          |                         |                       |                                      |                       |
| GSA 3 | A-Treatment Services             | 349                          | 88.6%                      | \$262,676.15                                      | 339                   | 90.6%                        | \$234,563.64               | 322                                               | 84.3%                 | \$318,673.70                 | 339                        | 89.9%                                             | \$313,968.63          |                         |                       |                                      |                       |
|       | Sub-Category Assessment          | 272                          | 69.0%                      | \$102,035.99                                      | 235                   | 62.8%                        | \$94,371.10                | 246                                               | 64.4%                 | \$110,495.90                 | 256                        | 67.9%                                             | \$120,398.50          |                         |                       |                                      |                       |
|       | B-Rehabilitation Services        | 137                          | 34.8%                      | \$123,581.72                                      | 118                   | 31.6%                        | \$50,143.55                | 98                                                | 25.7%                 | \$50,646.63                  | 108                        | 28.6%                                             | \$83,757.25           |                         |                       |                                      |                       |
|       | C-Medical Services               | 34                           | 8.6%                       | \$6,227.67                                        | 26                    | 7.0%                         | \$3,997.37                 | 35                                                | 9.2%                  | \$6,217.32                   | 23                         | 6.1%                                              | \$4,125.38            |                         |                       |                                      |                       |
|       | D-Support Services               | 393                          | 99.7%                      | \$508,967.44                                      | 368                   | 98.4%                        | \$405,695.48               | 377                                               | 98.7%                 | \$432,446.42                 | 371                        | 98.4%                                             | \$385,659.31          |                         |                       |                                      |                       |
|       | Sub-Category Case Management     | 392                          | 99.5%                      | \$167,576.93                                      | 367                   | 98.1%                        | \$164,369.18               | 377                                               | 98.7%                 | \$208,695.21                 | 368                        | 97.6%                                             | \$197,494.82          |                         |                       |                                      |                       |
|       | E-Crisis Intervention Services   | 8                            | 2.0%                       | \$4,322.68                                        | 5                     | 1.3%                         | \$2,690.74                 | 7                                                 | 1.8%                  | \$4,228.13                   | 4                          | 1.1%                                              | \$2,298.47            |                         |                       |                                      |                       |
|       | F-Inpatient Services             | 5                            | 1.3%                       | \$6,385.88                                        | 4                     | 1.1%                         | \$662.49                   | 7                                                 | 1.8%                  | \$32,182.46                  | 9                          | 2.4%                                              | \$30,599.79           |                         |                       |                                      |                       |
|       | G-Residential Services           | 3                            | 0.8%                       | \$102,497.38                                      | 2                     | 0.5%                         | \$42,483.54                | 3                                                 | 0.8%                  | \$16,809.64                  | 5                          | 1.3%                                              | \$81,023.32           |                         |                       |                                      |                       |
|       | H-Behavioral Health Day Programs | 0                            | 0.0%                       | \$0.00                                            | 0                     | 0.0%                         | \$0.00                     | 0                                                 | 0.0%                  | \$0.00                       | 0                          | 0.0%                                              | \$0.00                |                         |                       |                                      |                       |
|       | P-Pharmacy                       | 40                           | 10.2%                      | \$15,924.64                                       | 33                    | 8.8%                         | \$16,714.72                | 36                                                | 9.4%                  | \$13,086.01                  | 32                         | 8.5%                                              | \$7,860.33            |                         |                       |                                      |                       |
|       | All Services                     | 467                          | 394                        | \$1,030,583.56                                    | 438                   | 374                          | \$756,951.53               | 433                                               | 382                   | \$874,290.31                 | 431                        | 377                                               | \$909,292.48          |                         |                       |                                      |                       |

<sup>12</sup> Restatement of numbers captures retroactive encounters and as a result numbers may increase in comparison to previous reports.

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(Continued - Attachment: Table 3.2a – Service Type and Cost, FY 2015 Restatement)

| GSA                              | Service Category                 | Q1, FY 2015                  |                            |                                                   |                       | Q2, FY 2015                  |                            |                                                   |                       | Q3, FY 2015                  |                            |                                                   |                       | Q4 FY 2015              |                       |                                      |                       |
|----------------------------------|----------------------------------|------------------------------|----------------------------|---------------------------------------------------|-----------------------|------------------------------|----------------------------|---------------------------------------------------|-----------------------|------------------------------|----------------------------|---------------------------------------------------|-----------------------|-------------------------|-----------------------|--------------------------------------|-----------------------|
|                                  |                                  | Unique CMDP Members Enrolled | Unique CMDP Members Served | Percentage Received Service Category <sup>1</sup> | Total Encounter Value | Unique CMDP Members Enrolled | Unique CMDP Members Served | Percentage Received Service Category <sup>1</sup> | Total Encounter Value | Unique CMDP Members Enrolled | Unique CMDP Members Served | Percentage Received Service Category <sup>1</sup> | Total Encounter Value | Unique Members Enrolled | Unique Members Served | Percentage Received Service Category | Total Encounter Value |
| GSA 4                            | A-Treatment Services             | 607                          | 71.4%                      | \$348,607.86                                      | 613                   | 70.5%                        | \$295,784.88               | 733                                               | 73.6%                 | \$532,726.50                 | 707                        | 71.4%                                             | \$474,955.48          |                         |                       |                                      |                       |
|                                  | Sub-Category Assessment          | 406                          | 47.8%                      | \$127,143.13                                      | 446                   | 51.3%                        | \$126,069.98               | 556                                               | 55.8%                 | \$257,220.44                 | 523                        | 52.8%                                             | \$199,831.45          |                         |                       |                                      |                       |
|                                  | B-Rehabilitation Services        | 135                          | 15.9%                      | \$94,283.59                                       | 128                   | 14.7%                        | \$79,093.91                | 133                                               | 13.4%                 | \$137,109.21                 | 154                        | 15.6%                                             | \$96,278.24           |                         |                       |                                      |                       |
|                                  | C-Medical Services               | 71                           | 8.4%                       | \$8,835.30                                        | 42                    | 4.8%                         | \$4,997.06                 | 84                                                | 8.4%                  | \$14,006.16                  | 83                         | 8.4%                                              | \$16,911.87           |                         |                       |                                      |                       |
|                                  | D-Support Services               | 832                          | 97.9%                      | \$443,602.36                                      | 826                   | 95.1%                        | \$351,079.26               | 964                                               | 96.8%                 | \$503,574.44                 | 973                        | 98.3%                                             | \$479,166.38          |                         |                       |                                      |                       |
|                                  | Sub-Category Case Management     | 832                          | 97.9%                      | \$246,500.53                                      | 823                   | 94.7%                        | \$208,719.38               | 964                                               | 96.8%                 | \$322,569.50                 | 973                        | 98.3%                                             | \$329,206.57          |                         |                       |                                      |                       |
|                                  | E-Crisis Intervention Services   | 10                           | 1.2%                       | \$8,464.42                                        | 16                    | 1.8%                         | \$7,975.33                 | 22                                                | 2.2%                  | \$11,261.17                  | 21                         | 2.1%                                              | \$16,951.60           |                         |                       |                                      |                       |
|                                  | F-Inpatient Services             | 10                           | 1.2%                       | \$114,043.76                                      | 11                    | 1.3%                         | \$72,872.87                | 15                                                | 1.5%                  | \$107,900.32                 | 20                         | 2.0%                                              | \$65,210.87           |                         |                       |                                      |                       |
|                                  | G-Residential Services           | 9                            | 1.1%                       | \$110,171.26                                      | 8                     | 0.9%                         | \$104,567.04               | 7                                                 | 0.7%                  | \$131,490.02                 | 6                          | 0.6%                                              | \$111,773.27          |                         |                       |                                      |                       |
|                                  | H-Behavioral Health Day Programs | 1                            | 0.1%                       | \$128.70                                          | 0                     | 0.0%                         | \$0.00                     | 0                                                 | 0.0%                  | \$0.00                       | 0                          | 0.0%                                              | \$0.00                |                         |                       |                                      |                       |
|                                  | P-Pharmacy                       | 98                           | 11.5%                      | \$36,050.12                                       | 89                    | 10.2%                        | \$32,193.69                | 103                                               | 10.3%                 | \$36,559.56                  | 100                        | 10.1%                                             | \$36,428.59           |                         |                       |                                      |                       |
|                                  | All Services                     | 1,049                        | 850                        | \$1,164,187.37                                    | 1,131                 | 869                          | \$948,564.04               | 1,194                                             | 996                   | \$1,474,627.38               | 1,217                      | 990                                               | \$1,297,676.30        |                         |                       |                                      |                       |
|                                  | GSA 5                            | A-Treatment Services         | 2,425                      | 83.3%                                             | \$1,460,510.55        | 2,382                        | 79.7%                      | \$1,513,287.70                                    | 2,336                 | 78.6%                        | \$1,551,895.65             | 2,215                                             | 76.6%                 | \$1,470,568.60          |                       |                                      |                       |
| Sub-Category Assessment          |                                  | 2,090                        | 71.8%                      | \$745,853.73                                      | 2,015                 | 67.4%                        | \$693,847.61               | 1,824                                             | 61.4%                 | \$613,578.74                 | 1,719                      | 59.5%                                             | \$591,754.22          |                         |                       |                                      |                       |
| B-Rehabilitation Services        |                                  | 597                          | 20.5%                      | \$343,844.95                                      | 586                   | 19.6%                        | \$382,743.18               | 628                                               | 21.1%                 | \$395,940.31                 | 564                        | 19.5%                                             | \$378,145.92          |                         |                       |                                      |                       |
| C-Medical Services               |                                  | 356                          | 12.2%                      | \$80,489.73                                       | 379                   | 12.7%                        | \$105,335.40               | 358                                               | 12.0%                 | \$133,520.46                 | 338                        | 11.7%                                             | \$122,214.59          |                         |                       |                                      |                       |
| D-Support Services               |                                  | 2,824                        | 97.0%                      | \$2,303,622.56                                    | 2,957                 | 99.0%                        | \$2,635,527.69             | 2,929                                             | 98.6%                 | \$2,973,729.49               | 2,841                      | 98.3%                                             | \$2,646,848.38        |                         |                       |                                      |                       |
| Sub-Category Case Management     |                                  | 2,811                        | 96.6%                      | \$1,408,088.76                                    | 2,952                 | 98.8%                        | \$1,665,571.44             | 2,920                                             | 98.3%                 | \$2,010,362.84               | 2,833                      | 98.0%                                             | \$1,741,358.70        |                         |                       |                                      |                       |
| E-Crisis Intervention Services   |                                  | 179                          | 6.2%                       | \$97,923.76                                       | 183                   | 6.1%                         | \$89,844.20                | 156                                               | 5.2%                  | \$100,327.13                 | 163                        | 5.6%                                              | \$135,522.20          |                         |                       |                                      |                       |
| F-Inpatient Services             |                                  | 67                           | 2.3%                       | \$500,758.03                                      | 71                    | 2.4%                         | \$627,733.22               | 77                                                | 2.6%                  | \$615,423.56                 | 73                         | 2.5%                                              | \$757,796.51          |                         |                       |                                      |                       |
| G-Residential Services           |                                  | 48                           | 1.6%                       | \$418,314.23                                      | 44                    | 1.5%                         | \$448,457.22               | 36                                                | 1.2%                  | \$347,446.92                 | 40                         | 1.4%                                              | \$325,872.40          |                         |                       |                                      |                       |
| H-Behavioral Health Day Programs |                                  | 46                           | 1.6%                       | \$81,319.12                                       | 25                    | 0.8%                         | \$68,929.60                | 29                                                | 1.0%                  | \$73,137.82                  | 27                         | 0.9%                                              | \$81,822.74           |                         |                       |                                      |                       |
| P-Pharmacy                       |                                  | 284                          | 9.8%                       | \$82,070.44                                       | 21                    | 0.7%                         | \$2,861.27                 | 346                                               | 11.6%                 | \$111,658.05                 | 373                        | 12.9%                                             | \$126,918.86          |                         |                       |                                      |                       |
| All Services                     |                                  | 3,529                        | 2,910                      | \$5,368,853.37                                    | 3,457                 | 2,988                        | \$5,874,719.48             | 3,490                                             | 2,972                 | \$6,303,079.39               | 3,529                      | 2,890                                             | \$6,045,710.20        |                         |                       |                                      |                       |
| GSA 6                            |                                  | A-Treatment Services         | 4,925                      | 75.1%                                             | \$2,202,676.92        | 4,774                        | 72.9%                      | \$2,003,530.09                                    | 4,978                 | 74.1%                        | \$2,063,646.08             | 5,081                                             | 74.1%                 | \$2,083,824.11          |                       |                                      |                       |
|                                  | Sub-Category Assessment          | 2,749                        | 41.9%                      | \$871,513.58                                      | 2,581                 | 39.4%                        | \$791,858.44               | 2,752                                             | 41.0%                 | \$764,285.57                 | 2,747                      | 40.1%                                             | \$718,162.22          |                         |                       |                                      |                       |
|                                  | B-Rehabilitation Services        | 668                          | 10.2%                      | \$1,371,663.52                                    | 630                   | 9.6%                         | \$1,446,588.22             | 680                                               | 10.1%                 | \$1,369,238.28               | 843                        | 12.3%                                             | \$1,506,389.24        |                         |                       |                                      |                       |
|                                  | C-Medical Services               | 1,091                        | 16.6%                      | \$167,080.61                                      | 1,064                 | 16.3%                        | \$164,506.68               | 1,093                                             | 16.3%                 | \$161,033.00                 | 1,120                      | 16.3%                                             | \$167,876.72          |                         |                       |                                      |                       |
|                                  | D-Support Services               | 6,373                        | 97.2%                      | \$4,498,086.56                                    | 6,351                 | 97.0%                        | \$4,383,647.71             | 6,547                                             | 97.5%                 | \$4,367,871.56               | 6,676                      | 97.4%                                             | \$4,401,375.02        |                         |                       |                                      |                       |
|                                  | Sub-Category Case Management     | 6,352                        | 96.9%                      | \$2,943,178.25                                    | 6,323                 | 96.6%                        | \$2,841,742.37             | 6,536                                             | 97.3%                 | \$2,808,993.92               | 6,659                      | 97.1%                                             | \$2,806,888.48        |                         |                       |                                      |                       |
|                                  | E-Crisis Intervention Services   | 382                          | 5.8%                       | \$471,492.22                                      | 415                   | 6.3%                         | \$465,247.58               | 393                                               | 5.9%                  | \$393,065.74                 | 395                        | 5.8%                                              | \$394,294.55          |                         |                       |                                      |                       |
|                                  | F-Inpatient Services             | 99                           | 1.5%                       | \$973,872.84                                      | 98                    | 1.5%                         | \$921,256.30               | 100                                               | 1.5%                  | \$1,008,709.29               | 102                        | 1.5%                                              | \$692,814.15          |                         |                       |                                      |                       |
|                                  | G-Residential Services           | 72                           | 1.1%                       | \$702,522.74                                      | 84                    | 1.3%                         | \$777,444.42               | 77                                                | 1.1%                  | \$881,296.34                 | 93                         | 1.4%                                              | \$881,990.40          |                         |                       |                                      |                       |
|                                  | H-Behavioral Health Day Programs | 15                           | 0.2%                       | \$24,038.86                                       | 18                    | 0.3%                         | \$41,637.40                | 14                                                | 0.2%                  | \$20,284.22                  | 19                         | 0.3%                                              | \$30,297.78           |                         |                       |                                      |                       |
|                                  | P-Pharmacy                       | 1,229                        | 18.7%                      | \$463,457.45                                      | 1,291                 | 19.7%                        | \$546,428.38               | 1,263                                             | 18.8%                 | \$501,907.25                 | 1,330                      | 19.4%                                             | \$597,684.19          |                         |                       |                                      |                       |
|                                  | All Services                     | 10,712                       | 6,557                      | \$10,875,426.32                                   | 11,023                | 6,545                        | \$10,750,286.78            | 11,215                                            | 6,716                 | \$10,767,051.76              | 11,788                     | 6,857                                             | \$10,756,546.16       |                         |                       |                                      |                       |

**(Continued - Attachment: Table 3.2a – Service Type and Cost, FY 2015 Restatement)**

| GSA       | Service Category                 | Q1, FY 2015                  |                            |                                                   |                       | Q2, FY 2015                  |                            |                                                   |                       | Q3, FY 2015                  |                            |                                                   |                       | Q4 FY 2015              |                       |                                      |                       |  |
|-----------|----------------------------------|------------------------------|----------------------------|---------------------------------------------------|-----------------------|------------------------------|----------------------------|---------------------------------------------------|-----------------------|------------------------------|----------------------------|---------------------------------------------------|-----------------------|-------------------------|-----------------------|--------------------------------------|-----------------------|--|
|           |                                  | Unique CMDP Members Enrolled | Unique CMDP Members Served | Percentage Received Service Category <sup>1</sup> | Total Encounter Value | Unique CMDP Members Enrolled | Unique CMDP Members Served | Percentage Received Service Category <sup>1</sup> | Total Encounter Value | Unique CMDP Members Enrolled | Unique CMDP Members Served | Percentage Received Service Category <sup>1</sup> | Total Encounter Value | Unique Members Enrolled | Unique Members Served | Percentage Received Service Category | Total Encounter Value |  |
| Statewide | A-Treatment Services             | 9,038                        | 75.9%                      | \$4,693,647.28                                    | 8,918                 | 74.2%                        | \$4,537,953.93             | 9,212                                             | 74.6%                 | \$5,066,362.40               | 9,223                      | 74.1%                                             | \$4,907,079.07        |                         |                       |                                      |                       |  |
|           | Sub-Category: Assessment         | 5,843                        | 49.1%                      | \$1,954,954.08                                    | 5,661                 | 47.1%                        | \$1,821,331.74             | 5,796                                             | 46.9%                 | \$1,945,333.49               | 5,665                      | 45.5%                                             | \$1,796,034.74        |                         |                       |                                      |                       |  |
|           | B-Rehabilitation Services        | 1,938                        | 16.3%                      | \$2,222,887.75                                    | 1,928                 | 16.0%                        | \$2,377,336.56             | 2,009                                             | 16.3%                 | \$2,404,367.21               | 2,171                      | 17.4%                                             | \$2,590,311.78        |                         |                       |                                      |                       |  |
|           | C-Medical Services               | 1,684                        | 14.2%                      | \$283,466.51                                      | 1,659                 | 13.8%                        | \$301,300.66               | 1,742                                             | 14.1%                 | \$344,494.29                 |                            | 1,735                                             | 13.9%                 | \$340,365.06            |                       |                                      |                       |  |
|           | D-Support Services               | 11,591                       | 97.4%                      | \$9,166,025.35                                    | 11,723                | 97.5%                        | \$9,518,413.45             | 12,079                                            | 97.8%                 | \$10,123,308.30              | 12,162                     | 97.7%                                             | \$9,651,780.64        |                         |                       |                                      |                       |  |
|           | Sub-Category: Case Management    | 11,553                       | 97.1%                      | \$5,433,921.43                                    | 11,677                | 97.1%                        | \$5,647,201.86             | 12,056                                            | 97.6%                 | \$6,204,865.24               | 12,125                     | 97.5%                                             | \$5,940,288.38        |                         |                       |                                      |                       |  |
|           | E-Crisis Intervention Services   | 606                          | 5.1%                       | \$633,132.83                                      | 636                   | 5.3%                         | \$575,193.32               | 592                                               | 4.8%                  | \$517,067.24                 | 616                        | 5.0%                                              | \$564,321.58          |                         |                       |                                      |                       |  |
|           | F-Inpatient Services             | 203                          | 1.7%                       | \$1,598,760.73                                    | 212                   | 1.8%                         | \$1,623,867.95             | 230                                               | 1.9%                  | \$1,769,175.22               | 241                        | 1.9%                                              | \$1,556,913.71        |                         |                       |                                      |                       |  |
|           | G-Residential Services           | 153                          | 1.3%                       | \$1,608,731.66                                    | 163                   | 1.4%                         | \$1,731,760.94             | 149                                               | 1.2%                  | \$1,829,414.75               | 170                        | 1.4%                                              | \$1,774,269.41        |                         |                       |                                      |                       |  |
|           | H-Behavioral Health Day Programs | 62                           | 0.5%                       | \$105,486.68                                      | 43                    | 0.4%                         | \$110,567.00               | 43                                                | 0.3%                  | \$93,422.04                  | 46                         | 0.4%                                              | \$112,120.52          |                         |                       |                                      |                       |  |
|           | P-Pharmacy                       | 1,834                        | 15.4%                      | \$676,550.81                                      | 1,621                 | 13.5%                        | \$684,030.58               | 1,935                                             | 15.7%                 | \$742,911.38                 | 2,033                      | 16.3%                                             | \$846,935.65          |                         |                       |                                      |                       |  |
|           | All Services                     | 17,253                       | 11,900                     | \$20,989,224.40                                   | 17,585                | 12,020                       | \$21,460,424.39            | 17,893                                            | 12,351                | \$22,910,542.83              | 18,622                     | 12,442                                            | \$22,344,117.42       |                         |                       |                                      |                       |  |

<sup>1</sup> Percentage Received Service Category is defined as the percent of CMDP enrolled and served members who received a service in the stated service category. For example, statewide, 75.9% of all the CMDP members served in Q1 FY15 received service(s) in Treatment Services.



**Attachment: Table 3.2b – Service Type and Cost, FY 2015 Year-to-Date<sup>13</sup>**

| GSA                 | Service Category                    | Year-to-Date, FY 2015        |                            |                                                   |                        |
|---------------------|-------------------------------------|------------------------------|----------------------------|---------------------------------------------------|------------------------|
|                     |                                     | Unique CMDP Members Enrolled | Unique CMDP Members Served | Percentage Received Service Category <sup>1</sup> | Total Encounter Value  |
| GSA 1               | A-Treatment Services                |                              | 1170                       | 77.8%                                             | \$1,478,438.11         |
|                     | <i>Sub-Category Assessment</i>      |                              | 781                        | 51.9%                                             | \$312,791.23           |
|                     | B-Rehabilitation Services           |                              | 771                        | 51.3%                                             | \$1,491,316.80         |
|                     | C-Medical Services                  |                              | 272                        | 18.1%                                             | \$88,971.09            |
|                     | D-Support Services                  |                              | 1,474                      | 98.0%                                             | \$5,621,449.85         |
|                     | <i>Sub-Category Case Management</i> |                              | 1,469                      | 97.7%                                             | \$2,565,394.06         |
|                     | E-Crisis Intervention Services      |                              | 58                         | 3.9%                                              | \$50,266.32            |
|                     | F-Inpatient Services                |                              | 60                         | 4.0%                                              | \$27,309.90            |
|                     | G-Residential Services              |                              | 38                         | 2.5%                                              | \$1,048,444.16         |
|                     | H-Behavioral Health Day Programs    |                              | 0                          | 0.0%                                              | \$0.00                 |
|                     | P-Pharmacy                          |                              | 260                        | 17.3%                                             | \$286,990.08           |
| <i>All Services</i> |                                     | <b>1,746</b>                 | <b>1,504</b>               |                                                   | <b>\$10,093,186.31</b> |
| GSA 2               | A-Treatment Services                |                              | 340                        | 84.8%                                             | \$594,708.03           |
|                     | <i>Sub-Category Assessment</i>      |                              | 292                        | 72.8%                                             | \$286,442.22           |
|                     | B-Rehabilitation Services           |                              | 125                        | 31.2%                                             | \$194,138.78           |
|                     | C-Medical Services                  |                              | 43                         | 10.7%                                             | \$13,280.11            |
|                     | D-Support Services                  |                              | 400                        | 99.8%                                             | \$1,117,177.83         |
|                     | <i>Sub-Category Case Management</i> |                              | 400                        | 99.8%                                             | \$589,565.97           |
|                     | E-Crisis Intervention Services      |                              | 20                         | 5.0%                                              | \$33,558.73            |
|                     | F-Inpatient Services                |                              | 6                          | 1.5%                                              | \$13,185.37            |
|                     | G-Residential Services              |                              | 5                          | 1.2%                                              | \$411,592.66           |
|                     | H-Behavioral Health Day Programs    |                              | 0                          | 0.0%                                              | \$0.00                 |
|                     | P-Pharmacy                          |                              | 41                         | 10.2%                                             | \$35,634.79            |
| <i>All Services</i> |                                     | <b>475</b>                   | <b>401</b>                 |                                                   | <b>\$2,413,276.30</b>  |
| GSA 3               | A-Treatment Services                |                              | 526                        | 95.1%                                             | \$1,129,882.12         |
|                     | <i>Sub-Category Assessment</i>      |                              | 486                        | 87.9%                                             | \$417,301.49           |
|                     | B-Rehabilitation Services           |                              | 229                        | 41.4%                                             | \$308,129.15           |
|                     | C-Medical Services                  |                              | 59                         | 10.7%                                             | \$20,567.74            |
|                     | D-Support Services                  |                              | 550                        | 99.5%                                             | \$1,732,768.65         |
|                     | <i>Sub-Category Case Management</i> |                              | 549                        | 99.3%                                             | \$738,136.14           |
|                     | E-Crisis Intervention Services      |                              | 20                         | 3.6%                                              | \$13,540.02            |
|                     | F-Inpatient Services                |                              | 18                         | 3.3%                                              | \$69,830.62            |
|                     | G-Residential Services              |                              | 9                          | 1.6%                                              | \$242,813.88           |
|                     | H-Behavioral Health Day Programs    |                              | 0                          | 0.0%                                              | \$0.00                 |
|                     | P-Pharmacy                          |                              | 59                         | 10.7%                                             | \$53,585.70            |
| <i>All Services</i> |                                     | <b>613</b>                   | <b>553</b>                 |                                                   | <b>\$3,571,117.88</b>  |
| GSA 4               | A-Treatment Services                |                              | 1,170                      | 84.1%                                             | \$1,652,074.72         |
|                     | <i>Sub-Category Assessment</i>      |                              | 1,066                      | 76.6%                                             | \$710,265.00           |
|                     | B-Rehabilitation Services           |                              | 279                        | 20.1%                                             | \$406,764.95           |
|                     | C-Medical Services                  |                              | 152                        | 10.9%                                             | \$44,750.39            |
|                     | D-Support Services                  |                              | 1,378                      | 99.1%                                             | \$1,777,422.44         |
|                     | <i>Sub-Category Case Management</i> |                              | 1,378                      | 99.1%                                             | \$1,106,995.98         |
|                     | E-Crisis Intervention Services      |                              | 56                         | 4.0%                                              | \$44,652.52            |
|                     | F-Inpatient Services                |                              | 39                         | 2.8%                                              | \$360,027.82           |
|                     | G-Residential Services              |                              | 16                         | 1.2%                                              | \$458,001.59           |
|                     | H-Behavioral Health Day Programs    |                              | 1                          | 0.1%                                              | \$128.70               |
|                     | P-Pharmacy                          |                              | 162                        | 11.6%                                             | \$141,231.96           |
| <i>All Services</i> |                                     | <b>1,566</b>                 | <b>1,391</b>               |                                                   | <b>\$4,885,055.09</b>  |

<sup>13</sup> Restatement of numbers captures retroactive encounters and as a result numbers may increase in comparison to previous reports.

**(Continued - Attachment: Table 3.2b – Service Type and Cost, FY 2015 Year-to-Date)**

| GSA                 | Service Category                    | Year-to-Date, FY 2015        |                            |                                                   |                       |
|---------------------|-------------------------------------|------------------------------|----------------------------|---------------------------------------------------|-----------------------|
|                     |                                     | Unique CMDP Members Enrolled | Unique CMDP Members Served | Percentage Received Service Category <sup>1</sup> | Total Encounter Value |
| <b>GSA 5</b>        | A-Treatment Services                |                              | 3,919                      | 90.4%                                             | \$5,996,262.50        |
|                     | <i>Sub-Category Assessment</i>      |                              | 3,601                      | 83.1%                                             | \$2,645,034.30        |
|                     | B-Rehabilitation Services           |                              | 1,362                      | 31.4%                                             | \$1,500,674.36        |
|                     | C-Medical Services                  |                              | 636                        | 14.7%                                             | \$441,560.18          |
|                     | D-Support Services                  |                              | 4,261                      | 98.3%                                             | \$10,559,728.12       |
|                     | <i>Sub-Category Case Management</i> |                              | 4,247                      | 98.0%                                             | \$6,825,381.74        |
|                     | E-Crisis Intervention Services      |                              | 616                        | 14.2%                                             | \$423,617.29          |
|                     | F-Inpatient Services                |                              | 165                        | 3.8%                                              | \$2,501,711.32        |
|                     | G-Residential Services              |                              | 96                         | 2.2%                                              | \$1,540,090.77        |
|                     | H-Behavioral Health Day Programs    |                              | 72                         | 1.7%                                              | \$305,209.28          |
|                     | P-Pharmacy                          |                              | 553                        | 12.8%                                             | \$323,508.62          |
| <i>All Services</i> | <b>5,000</b>                        | <b>4,335</b>                 |                            | <b>\$23,592,362.44</b>                            |                       |
| <b>GSA 6</b>        | A-Treatment Services                |                              | 9,986                      | 87.0%                                             | \$8,353,677.20        |
|                     | <i>Sub-Category Assessment</i>      |                              | 8,262                      | 72.0%                                             | \$3,145,819.81        |
|                     | B-Rehabilitation Services           |                              | 1,724                      | 15.0%                                             | \$5,693,879.26        |
|                     | C-Medical Services                  |                              | 2,012                      | 17.5%                                             | \$660,497.01          |
|                     | D-Support Services                  |                              | 11,287                     | 98.3%                                             | \$17,650,980.85       |
|                     | <i>Sub-Category Case Management</i> |                              | 11,273                     | 98.2%                                             | \$11,400,803.02       |
|                     | E-Crisis Intervention Services      |                              | 1,122                      | 9.8%                                              | \$1,724,100.09        |
|                     | F-Inpatient Services                |                              | 303                        | 2.6%                                              | \$3,596,652.58        |
|                     | G-Residential Services              |                              | 156                        | 1.4%                                              | \$3,243,253.90        |
|                     | H-Behavioral Health Day Programs    |                              | 44                         | 0.4%                                              | \$116,258.26          |
|                     | P-Pharmacy                          |                              | 2,084                      | 18.2%                                             | \$2,109,477.27        |
| <i>All Services</i> | <b>15,588</b>                       | <b>11,480</b>                |                            | <b>\$43,149,311.02</b>                            |                       |
| <b>Statewide</b>    | A-Treatment Services                |                              | 17,111                     | 87.0%                                             | \$19,205,042.68       |
|                     | <i>Sub-Category Assessment</i>      |                              | 14,488                     | 73.7%                                             | \$7,517,654.05        |
|                     | B-Rehabilitation Services           |                              | 4,490                      | 22.8%                                             | \$9,594,903.30        |
|                     | C-Medical Services                  |                              | 3,174                      | 16.1%                                             | \$1,269,626.52        |
|                     | D-Support Services                  |                              | 19,350                     | 98.4%                                             | \$38,459,527.74       |
|                     | <i>Sub-Category Case Management</i> |                              | 19,316                     | 98.2%                                             | \$23,226,276.91       |
|                     | E-Crisis Intervention Services      |                              | 1,892                      | 9.6%                                              | \$2,289,734.97        |
|                     | F-Inpatient Services                |                              | 591                        | 3.0%                                              | \$6,568,717.61        |
|                     | G-Residential Services              |                              | 320                        | 1.6%                                              | \$6,944,196.96        |
|                     | H-Behavioral Health Day Programs    |                              | 117                        | 0.6%                                              | \$421,596.24          |
|                     | P-Pharmacy                          |                              | 3,159                      | 16.1%                                             | \$2,950,428.42        |
| <i>All Services</i> | <b>24,988</b>                       | <b>19,664</b>                |                            | <b>\$87,704,309.04</b>                            |                       |

<sup>1</sup> Percentage Received Service Category is defined as the percent of CMDP enrolled and served members who received a service in the stated service category. For example, 87.0% of the CMDP members served Year-to-Date received service(s) in Treatment Services.

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**Attachment: Table 3.3a – Service Type and Cost, FY 2014 Restatement <sup>14</sup>**

Table 3.3a provides the restated data for encounter values and utilized service categories, by GSA, from the previously reported FY 2014 quarters.

| GSA                                 | Service Category                    | Q1, FY 2014                  |                            |                                                   |                       | Q2, FY 2014                  |                            |                                                   |                       | Q3, FY 2014                  |                            |                                                   |                       | Q4, FY 2014                  |                            |                                                   |                       |                       |
|-------------------------------------|-------------------------------------|------------------------------|----------------------------|---------------------------------------------------|-----------------------|------------------------------|----------------------------|---------------------------------------------------|-----------------------|------------------------------|----------------------------|---------------------------------------------------|-----------------------|------------------------------|----------------------------|---------------------------------------------------|-----------------------|-----------------------|
|                                     |                                     | Unique CMDP Members Enrolled | Unique CMDP Members Served | Percentage Received Service Category <sup>1</sup> | Total Encounter Value | Unique CMDP Members Enrolled | Unique CMDP Members Served | Percentage Received Service Category <sup>1</sup> | Total Encounter Value | Unique CMDP Members Enrolled | Unique CMDP Members Served | Percentage Received Service Category <sup>1</sup> | Total Encounter Value | Unique CMDP Members Enrolled | Unique CMDP Members Served | Percentage Received Service Category <sup>1</sup> | Total Encounter Value |                       |
| GSA 1                               | A-Treatment Services                |                              | 510                        | 67.3%                                             | \$295,736.13          |                              | 472                        | 62.9%                                             | \$244,433.26          |                              | 471                        | 63.1%                                             | \$244,117.80          |                              | 466                        | 56.6%                                             | \$213,919.05          |                       |
|                                     | <i>Sub-Category Assessment</i>      |                              | 212                        | 28.0%                                             | \$56,555.38           |                              | 148                        | 19.7%                                             | \$47,075.08           |                              | 165                        | 22.1%                                             | \$48,785.93           |                              | 172                        | 20.9%                                             | \$53,456.34           |                       |
|                                     | B-Rehabilitation Services           |                              | 276                        | 36.4%                                             | \$261,856.55          |                              | 253                        | 33.7%                                             | \$174,442.73          |                              | 281                        | 37.7%                                             | \$226,391.24          |                              | 291                        | 35.3%                                             | \$216,144.66          |                       |
|                                     | C-Medical Services                  |                              | 129                        | 17.0%                                             | \$25,722.62           |                              | 109                        | 14.5%                                             | \$18,643.65           |                              | 107                        | 14.3%                                             | \$17,569.92           |                              | 103                        | 12.5%                                             | \$15,121.49           |                       |
|                                     | D-Support Services                  |                              | 743                        | 98.0%                                             | \$1,367,605.45        |                              | 726                        | 96.8%                                             | \$1,080,156.76        |                              | 735                        | 98.5%                                             | \$1,164,694.50        |                              | 801                        | 97.2%                                             | \$940,886.84          |                       |
|                                     | <i>Sub-Category Case Management</i> |                              | 739                        | 97.5%                                             | \$535,940.33          |                              | 719                        | 95.9%                                             | \$440,957.22          |                              | 730                        | 97.9%                                             | \$442,859.98          |                              | 793                        | 96.2%                                             | \$372,989.53          |                       |
|                                     | E-Crisis Intervention Services      |                              | 12                         | 1.6%                                              | \$7,294.95            |                              | 12                         | 1.6%                                              | \$11,264.53           |                              | 13                         | 1.7%                                              | \$13,425.22           |                              | 5                          | 0.6%                                              | \$6,016.23            |                       |
|                                     | F-Inpatient Services                |                              | 21                         | 2.8%                                              | \$392,976.63          |                              | 17                         | 2.3%                                              | \$151,143.33          |                              | 9                          | 1.2%                                              | \$989.92              |                              | 20                         | 2.4%                                              | \$8,273.63            |                       |
|                                     | G-Residential Services              |                              | 18                         | 2.4%                                              | \$258,644.38          |                              | 18                         | 2.4%                                              | \$198,344.20          |                              | 20                         | 2.7%                                              | \$239,908.95          |                              | 21                         | 2.5%                                              | \$223,351.76          |                       |
|                                     | H-Behavioral Health Day Programs    |                              | 0                          | 0.0%                                              | \$0.00                |                              | 0                          | 0.0%                                              | \$0.00                |                              | 0                          | 0.0%                                              | \$0.00                |                              | 0                          | 0.0%                                              | \$0.00                |                       |
|                                     | P-Pharmacy                          |                              | 169                        | 22.3%                                             | \$117,688.46          |                              | 148                        | 19.7%                                             | \$64,510.01           |                              | 16                         | 2.1%                                              | \$3,189.38            |                              | 147                        | 17.8%                                             | \$41,043.71           |                       |
|                                     | <b>All Services</b>                 |                              | <b>959</b>                 | <b>758</b>                                        |                       | <b>\$2,727,525.17</b>        | <b>958</b>                 | <b>750</b>                                        |                       | <b>\$1,942,938.47</b>        | <b>955</b>                 | <b>746</b>                                        |                       | <b>\$1,910,286.93</b>        | <b>1,060</b>               | <b>824</b>                                        |                       | <b>\$1,664,757.37</b> |
|                                     | GSA 2                               | A-Treatment Services         |                            | 230                                               | 73.2%                 | \$112,544.16                 |                            | 198                                               | 67.3%                 | \$101,649.47                 |                            | 205                                               | 72.7%                 | \$116,251.03                 |                            | 204                                               | 75.8%                 | \$106,985.90          |
| <i>Sub-Category Assessment</i>      |                                     |                              | 112                        | 35.7%                                             | \$29,560.58           |                              | 110                        | 37.4%                                             | \$30,966.72           |                              | 124                        | 44.0%                                             | \$36,781.72           |                              | 133                        | 49.4%                                             | \$38,522.20           |                       |
| B-Rehabilitation Services           |                                     |                              | 70                         | 22.3%                                             | \$53,485.33           |                              | 71                         | 24.1%                                             | \$41,056.58           |                              | 61                         | 21.6%                                             | \$32,363.55           |                              | 56                         | 20.8%                                             | \$41,541.18           |                       |
| C-Medical Services                  |                                     |                              | 34                         | 10.8%                                             | \$5,392.22            |                              | 31                         | 10.5%                                             | \$4,533.60            |                              | 25                         | 8.9%                                              | \$4,165.71            |                              | 21                         | 7.8%                                              | \$2,941.98            |                       |
| D-Support Services                  |                                     |                              | 307                        | 97.8%                                             | \$364,830.91          |                              | 292                        | 99.3%                                             | \$387,451.42          |                              | 280                        | 99.3%                                             | \$401,137.13          |                              | 263                        | 97.8%                                             | \$298,828.37          |                       |
| <i>Sub-Category Case Management</i> |                                     |                              | 307                        | 97.8%                                             | \$169,284.07          |                              | 292                        | 99.3%                                             | \$159,095.09          |                              | 280                        | 99.3%                                             | \$157,339.48          |                              | 263                        | 97.8%                                             | \$143,344.19          |                       |
| E-Crisis Intervention Services      |                                     |                              | 6                          | 1.9%                                              | \$3,015.11            |                              | 11                         | 3.7%                                              | \$15,031.83           |                              | 11                         | 3.9%                                              | \$11,877.29           |                              | 8                          | 3.0%                                              | \$10,646.47           |                       |
| F-Inpatient Services                |                                     |                              | 1                          | 0.3%                                              | \$20,964.94           |                              | 3                          | 1.0%                                              | \$16,281.78           |                              | 3                          | 1.1%                                              | \$29,252.81           |                              | 4                          | 1.5%                                              | \$24,633.47           |                       |
| G-Residential Services              |                                     |                              | 3                          | 1.0%                                              | \$38,418.50           |                              | 3                          | 1.0%                                              | \$69,201.54           |                              | 3                          | 1.1%                                              | \$74,683.80           |                              | 4                          | 1.5%                                              | \$90,032.37           |                       |
| H-Behavioral Health Day Programs    |                                     |                              | 1                          | 0.3%                                              | \$254.10              |                              | 1                          | 0.3%                                              | \$128.70              |                              | 1                          | 0.4%                                              | \$125.40              |                              | 1                          | 0.4%                                              | \$128.70              |                       |
| P-Pharmacy                          |                                     |                              | 27                         | 8.6%                                              | \$10,019.77           |                              | 27                         | 9.2%                                              | \$8,276.90            |                              | 26                         | 9.2%                                              | \$11,698.39           |                              | 24                         | 8.9%                                              | \$9,875.32            |                       |
| <b>All Services</b>                 |                                     |                              | <b>337</b>                 | <b>314</b>                                        |                       | <b>\$608,925.04</b>          | <b>325</b>                 | <b>294</b>                                        |                       | <b>\$643,611.82</b>          | <b>318</b>                 | <b>282</b>                                        |                       | <b>\$681,555.11</b>          | <b>335</b>                 | <b>269</b>                                        |                       | <b>\$585,613.76</b>   |
| GSA 3                               |                                     | A-Treatment Services         |                            | 297                                               | 82.5%                 | \$259,943.62                 |                            | 304                                               | 87.9%                 | \$246,527.60                 |                            | 338                                               | 93.1%                 | \$311,753.91                 |                            | 320                                               | 82.5%                 | \$230,107.04          |
|                                     | <i>Sub-Category Assessment</i>      |                              | 248                        | 68.9%                                             | \$99,523.80           |                              | 224                        | 64.7%                                             | \$81,138.40           |                              | 273                        | 75.2%                                             | \$124,759.66          |                              | 233                        | 60.1%                                             | \$93,537.20           |                       |
|                                     | B-Rehabilitation Services           |                              | 115                        | 31.9%                                             | \$71,453.46           |                              | 108                        | 31.2%                                             | \$53,821.06           |                              | 123                        | 33.9%                                             | \$61,583.86           |                              | 140                        | 36.1%                                             | \$76,816.50           |                       |
|                                     | C-Medical Services                  |                              | 37                         | 10.3%                                             | \$5,600.36            |                              | 30                         | 8.7%                                              | \$5,302.24            |                              | 31                         | 8.5%                                              | \$5,285.02            |                              | 25                         | 6.4%                                              | \$3,701.94            |                       |
|                                     | D-Support Services                  |                              | 358                        | 99.4%                                             | \$367,728.21          |                              | 344                        | 99.4%                                             | \$322,200.47          |                              | 360                        | 99.2%                                             | \$345,780.97          |                              | 384                        | 99.0%                                             | \$362,887.41          |                       |
|                                     | <i>Sub-Category Case Management</i> |                              | 358                        | 99.4%                                             | \$167,136.85          |                              | 344                        | 99.4%                                             | \$164,708.31          |                              | 358                        | 98.6%                                             | \$169,215.71          |                              | 382                        | 98.5%                                             | \$126,885.73          |                       |
|                                     | E-Crisis Intervention Services      |                              | 3                          | 0.8%                                              | \$2,042.08            |                              | 11                         | 3.2%                                              | \$3,824.28            |                              | 6                          | 1.7%                                              | \$6,090.33            |                              | 9                          | 2.3%                                              | \$10,144.65           |                       |
|                                     | F-Inpatient Services                |                              | 6                          | 1.7%                                              | \$80,152.38           |                              | 5                          | 1.4%                                              | \$40,555.63           |                              | 5                          | 1.4%                                              | \$16,526.97           |                              | 5                          | 1.3%                                              | \$47,320.20           |                       |
|                                     | G-Residential Services              |                              | 4                          | 1.1%                                              | \$66,803.53           |                              | 3                          | 0.9%                                              | \$74,275.70           |                              | 3                          | 0.8%                                              | \$91,675.10           |                              | 4                          | 1.0%                                              | \$66,630.79           |                       |
|                                     | H-Behavioral Health Day Programs    |                              |                            |                                                   | \$0.00                |                              | 1                          | 0.3%                                              | \$656.14              |                              | 0                          | 0.0%                                              | \$0.00                |                              | 0                          | 0.0%                                              | \$0.00                |                       |
|                                     | P-Pharmacy                          |                              | 40                         | 11.1%                                             | \$19,838.26           |                              | 28                         | 8.1%                                              | \$12,282.16           |                              | 25                         | 6.9%                                              | \$14,557.72           |                              | 37                         | 9.5%                                              | \$19,698.26           |                       |
|                                     | <b>All Services</b>                 |                              | <b>411</b>                 | <b>360</b>                                        |                       | <b>\$873,561.90</b>          | <b>403</b>                 | <b>346</b>                                        |                       | <b>\$759,445.28</b>          | <b>423</b>                 | <b>363</b>                                        |                       | <b>\$853,253.88</b>          | <b>452</b>                 | <b>388</b>                                        |                       | <b>\$817,306.79</b>   |

<sup>14</sup> Restatement of numbers captures retroactive encounters and as a result numbers may increase in comparison to previous reports.

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**(Continued - Attachment: Table 3.3a – Service Type and Cost, FY 2014 Restatement)**

| GSA                 | Service Category                 | Q1, FY 2014                  |                            |                                       |                       | Q2, FY 2014                  |                            |                                       |                       | Q3, FY 2014                  |                            |                                       |                       | Q4, FY 2014                  |                            |                                       |                        |
|---------------------|----------------------------------|------------------------------|----------------------------|---------------------------------------|-----------------------|------------------------------|----------------------------|---------------------------------------|-----------------------|------------------------------|----------------------------|---------------------------------------|-----------------------|------------------------------|----------------------------|---------------------------------------|------------------------|
|                     |                                  | Unique CMDP Members Enrolled | Unique CMDP Members Served | Percentage Received Service Category1 | Total Encounter Value | Unique CMDP Members Enrolled | Unique CMDP Members Served | Percentage Received Service Category1 | Total Encounter Value | Unique CMDP Members Enrolled | Unique CMDP Members Served | Percentage Received Service Category1 | Total Encounter Value | Unique CMDP Members Enrolled | Unique CMDP Members Served | Percentage Received Service Category1 | Total Encounter Value  |
| GSA 4               | A-Treatment Services             |                              | 567                        | 77.2%                                 | \$329,417.65          |                              | 541                        | 73.5%                                 | \$306,639.79          |                              | 561                        | 74.8%                                 | \$327,335.17          |                              | 571                        | 72.9%                                 | \$283,105.24           |
|                     | Sub-Category Assessment          |                              | 425                        | 57.9%                                 | \$109,602.03          |                              | 395                        | 53.7%                                 | \$102,183.37          |                              | 387                        | 51.6%                                 | \$122,995.26          |                              | 394                        | 50.3%                                 | \$127,362.06           |
|                     | B-Rehabilitation Services        |                              | 177                        | 24.1%                                 | \$120,066.29          |                              | 159                        | 21.6%                                 | \$79,987.64           |                              | 161                        | 21.5%                                 | \$92,995.19           |                              | 163                        | 20.8%                                 | \$71,553.03            |
|                     | C-Medical Services               |                              | 85                         | 11.6%                                 | \$10,410.18           |                              | 84                         | 11.4%                                 | \$8,525.55            |                              | 81                         | 10.8%                                 | \$9,805.71            |                              | 59                         | 7.5%                                  | \$5,953.51             |
|                     | D-Support Services               |                              | 727                        | 99.0%                                 | \$581,931.40          |                              | 726                        | 98.6%                                 | \$494,478.59          |                              | 734                        | 97.9%                                 | \$500,012.66          |                              | 758                        | 96.8%                                 | \$406,081.39           |
|                     | Sub-Category Case Management     |                              | 727                        | 99.0%                                 | \$282,517.64          |                              | 726                        | 98.6%                                 | \$269,745.00          |                              | 734                        | 97.9%                                 | \$274,429.91          |                              | 755                        | 96.4%                                 | \$193,207.51           |
|                     | E-Crisis Intervention Services   |                              | 17                         | 2.3%                                  | \$10,722.88           |                              | 14                         | 1.9%                                  | \$6,941.81            |                              | 18                         | 2.4%                                  | \$12,314.66           |                              | 11                         | 1.4%                                  | \$3,371.47             |
|                     | F-Inpatient Services             |                              | 5                          | 0.7%                                  | \$25,512.99           |                              | 4                          | 0.5%                                  | \$3,105.64            |                              | 12                         | 1.6%                                  | \$41,890.69           |                              | 10                         | 1.3%                                  | \$123,960.82           |
|                     | G-Residential Services           |                              | 8                          | 1.1%                                  | \$107,862.64          |                              | 9                          | 1.2%                                  | \$141,772.52          |                              | 7                          | 0.9%                                  | \$82,405.06           |                              | 6                          | 0.8%                                  | \$41,434.44            |
|                     | H-Behavioral Health Day Programs |                              | 12                         | 1.6%                                  | \$5,997.32            |                              | 7                          | 1.0%                                  | \$2,065.80            |                              | 6                          | 0.8%                                  | \$1,290.30            |                              | 2                          | 0.3%                                  | \$382.80               |
|                     | P-Pharmacy                       |                              | 102                        | 13.9%                                 | \$29,140.53           |                              | 86                         | 11.7%                                 | \$20,251.30           |                              | 92                         | 12.3%                                 | \$38,010.11           |                              | 96                         | 12.3%                                 | \$40,083.13            |
| <b>All Services</b> |                                  | <b>876</b>                   | <b>734</b>                 |                                       | <b>\$1,221,061.88</b> | <b>869</b>                   | <b>736</b>                 |                                       | <b>\$1,063,768.64</b> | <b>908</b>                   | <b>750</b>                 |                                       | <b>\$1,106,059.55</b> | <b>960</b>                   | <b>783</b>                 |                                       | <b>\$975,925.83</b>    |
| GSA 5               | A-Treatment Services             |                              | 2,211                      | 81.9%                                 | \$1,328,932.47        |                              | 1,996                      | 78.7%                                 | \$1,236,896.81        |                              | 1,998                      | 79.6%                                 | \$1,121,369.77        |                              | 2,193                      | 81.6%                                 | \$1,203,755.07         |
|                     | Sub-Category Assessment          |                              | 1,751                      | 64.9%                                 | \$585,953.82          |                              | 1,490                      | 58.7%                                 | \$523,939.44          |                              | 1,539                      | 61.3%                                 | \$506,326.33          |                              | 1,719                      | 64.0%                                 | \$549,260.80           |
|                     | B-Rehabilitation Services        |                              | 479                        | 17.8%                                 | \$259,048.55          |                              | 412                        | 16.2%                                 | \$222,086.14          |                              | 422                        | 16.8%                                 | \$218,612.11          |                              | 472                        | 17.6%                                 | \$325,290.75           |
|                     | C-Medical Services               |                              | 371                        | 13.8%                                 | \$79,422.16           |                              | 321                        | 12.7%                                 | \$57,505.98           |                              | 299                        | 11.9%                                 | \$52,183.97           |                              | 342                        | 12.7%                                 | \$71,354.39            |
|                     | D-Support Services               |                              | 2,653                      | 98.3%                                 | \$2,386,661.50        |                              | 2,494                      | 98.3%                                 | \$2,204,898.23        |                              | 2,440                      | 97.2%                                 | \$1,805,701.11        |                              | 2,628                      | 97.8%                                 | \$1,917,992.93         |
|                     | Sub-Category Case Management     |                              | 2,644                      | 98.0%                                 | \$1,360,305.27        |                              | 2,486                      | 98.0%                                 | \$1,285,138.94        |                              | 2,427                      | 96.7%                                 | \$1,086,125.37        |                              | 2,612                      | 97.2%                                 | \$1,089,731.11         |
|                     | E-Crisis Intervention Services   |                              | 191                        | 7.1%                                  | \$121,475.46          |                              | 193                        | 7.6%                                  | \$73,990.83           |                              | 205                        | 8.2%                                  | \$73,862.42           |                              | 165                        | 6.1%                                  | \$79,182.75            |
|                     | F-Inpatient Services             |                              | 46                         | 1.7%                                  | \$753,839.99          |                              | 28                         | 1.1%                                  | \$424,133.32          |                              | 26                         | 1.0%                                  | \$405,535.86          |                              | 51                         | 1.9%                                  | \$413,599.46           |
|                     | G-Residential Services           |                              | 41                         | 1.5%                                  | \$425,107.54          |                              | 33                         | 1.3%                                  | \$386,841.26          |                              | 37                         | 1.5%                                  | \$319,317.15          |                              | 34                         | 1.3%                                  | \$307,500.36           |
|                     | H-Behavioral Health Day Programs |                              | 40                         | 1.5%                                  | \$60,043.35           |                              | 19                         | 0.7%                                  | \$37,109.48           |                              | 20                         | 0.8%                                  | \$47,561.55           |                              | 45                         | 1.7%                                  | \$89,255.10            |
|                     | P-Pharmacy                       |                              | 336                        | 12.5%                                 | \$142,727.42          |                              | 345                        | 13.6%                                 | \$144,474.97          |                              | 41                         | 1.6%                                  | \$2,772.14            |                              | 235                        | 8.7%                                  | \$44,169.66            |
| <b>All Services</b> |                                  | <b>3,683</b>                 | <b>2,698</b>               |                                       | <b>\$5,557,258.44</b> | <b>3,513</b>                 | <b>2,537</b>               |                                       | <b>\$4,787,937.02</b> | <b>3,516</b>                 | <b>2,509</b>               |                                       | <b>\$4,046,916.08</b> | <b>3,509</b>                 | <b>2,686</b>               |                                       | <b>\$4,452,100.47</b>  |
| GSA 6               | A-Treatment Services             |                              | 3,103                      | 79.3%                                 | \$1,393,170.23        |                              | 3,531                      | 76.5%                                 | \$1,559,027.94        |                              | 3,984                      | 76.4%                                 | \$1,725,682.10        |                              | 4,798                      | 76.9%                                 | \$2,280,175.33         |
|                     | Sub-Category Assessment          |                              | 2,032                      | 51.9%                                 | \$531,771.94          |                              | 2,235                      | 48.4%                                 | \$558,628.65          |                              | 2,441                      | 46.2%                                 | \$596,195.86          |                              | 2,828                      | 45.3%                                 | \$936,038.46           |
|                     | B-Rehabilitation Services        |                              | 365                        | 9.3%                                  | \$957,050.59          |                              | 379                        | 8.2%                                  | \$947,189.38          |                              | 533                        | 10.2%                                 | \$1,062,882.59        |                              | 671                        | 10.8%                                 | \$1,262,590.39         |
|                     | C-Medical Services               |                              | 657                        | 16.8%                                 | \$100,128.12          |                              | 780                        | 16.9%                                 | \$107,473.02          |                              | 877                        | 16.8%                                 | \$130,416.30          |                              | 1,027                      | 16.5%                                 | \$153,387.65           |
|                     | D-Support Services               |                              | 3,794                      | 97.0%                                 | \$2,773,710.16        |                              | 4,447                      | 96.4%                                 | \$3,029,536.04        |                              | 4,997                      | 95.8%                                 | \$3,399,069.83        |                              | 6,051                      | 97.0%                                 | \$4,138,864.11         |
|                     | Sub-Category Case Management     |                              | 3,790                      | 96.9%                                 | \$1,890,353.10        |                              | 4,445                      | 96.3%                                 | \$2,070,479.61        |                              | 4,988                      | 95.6%                                 | \$2,302,052.91        |                              | 6,027                      | 96.6%                                 | \$2,755,474.17         |
|                     | E-Crisis Intervention Services   |                              | 263                        | 6.7%                                  | \$462,767.15          |                              | 322                        | 7.0%                                  | \$602,396.91          |                              | 362                        | 6.9%                                  | \$549,695.07          |                              | 400                        | 6.4%                                  | \$527,651.66           |
|                     | F-Inpatient Services             |                              | 83                         | 2.1%                                  | \$1,338,558.85        |                              | 77                         | 1.7%                                  | \$513,008.53          |                              | 86                         | 1.6%                                  | \$26,453.73           |                              | 122                        | 2.0%                                  | \$922,556.04           |
|                     | G-Residential Services           |                              | 55                         | 1.4%                                  | \$598,884.71          |                              | 53                         | 1.1%                                  | \$556,322.68          |                              | 52                         | 1.0%                                  | \$415,897.33          |                              | 53                         | 0.8%                                  | \$534,846.46           |
|                     | H-Behavioral Health Day Programs |                              | 3                          | 0.1%                                  | \$2,845.09            |                              | 2                          | 0.0%                                  | \$3,018.00            |                              | 4                          | 0.1%                                  | \$2,820.00            |                              | 8                          | 0.1%                                  | \$17,629.55            |
|                     | P-Pharmacy                       |                              | 765                        | 19.6%                                 | \$326,257.05          |                              | 845                        | 18.3%                                 | \$278,352.94          |                              | 1,015                      | 19.5%                                 | \$430,036.12          |                              | 1,173                      | 18.8%                                 | \$512,268.83           |
| <b>All Services</b> |                                  | <b>5,799</b>                 | <b>3,912</b>               |                                       | <b>\$7,953,371.95</b> | <b>7,048</b>                 | <b>4,614</b>               |                                       | <b>\$7,596,325.44</b> | <b>8,595</b>                 | <b>5,218</b>               |                                       | <b>\$7,742,953.07</b> | <b>10,188</b>                | <b>6,241</b>               |                                       | <b>\$10,350,030.12</b> |

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**(Continued - Attachment: Table 3.3a – Service Type and Cost, FY 2014 Restatement)**

|            |                                     | Q1, FY 2014                  |                            |                                       |                        | Q2, FY 2014                  |                            |                                       |                        | Q3, FY 2014                  |                            |                                       |                        | Q4, FY 2014                  |                            |                                       |                        |
|------------|-------------------------------------|------------------------------|----------------------------|---------------------------------------|------------------------|------------------------------|----------------------------|---------------------------------------|------------------------|------------------------------|----------------------------|---------------------------------------|------------------------|------------------------------|----------------------------|---------------------------------------|------------------------|
|            |                                     | Unique CMDP Members Enrolled | Unique CMDP Members Served | Percentage Received Service Category1 | Total Encounter Value  | Unique CMDP Members Enrolled | Unique CMDP Members Served | Percentage Received Service Category1 | Total Encounter Value  | Unique CMDP Members Enrolled | Unique CMDP Members Served | Percentage Received Service Category1 | Total Encounter Value  | Unique CMDP Members Enrolled | Unique CMDP Members Served | Percentage Received Service Category1 | Total Encounter Value  |
| State-wide | A-Treatment Services                |                              | 6,918                      | 78.8%                                 | \$3,719,744.26         |                              | 7,042                      | 75.9%                                 | \$3,695,174.87         |                              | 7,557                      | 76.6%                                 | \$3,846,509.78         |                              | 8,552                      | 76.4%                                 | \$4,318,047.63         |
|            | <i>Sub-Category Assessment</i>      |                              | 4,780                      | 54.5%                                 | \$1,412,967.51         |                              | 4,602                      | 49.6%                                 | \$1,337,931.66         |                              | 4,929                      | 49.9%                                 | \$1,435,824.76         |                              | 5,479                      | 49.0%                                 | \$1,798,177.06         |
|            | B-Rehabilitation Services           |                              | 1,482                      | 16.9%                                 | \$1,722,960.77         |                              | 1,382                      | 14.9%                                 | \$1,518,583.53         |                              | 1,581                      | 16.0%                                 | \$1,694,828.54         |                              | 1,793                      | 16.0%                                 | \$1,993,936.51         |
|            | C-Medical Services                  |                              | 1,313                      | 15.0%                                 | \$226,675.66           |                              | 1,355                      | 14.6%                                 | \$201,984.04           |                              | 1,420                      | 14.4%                                 | \$219,426.63           |                              | 1,577                      | 14.1%                                 | \$252,460.96           |
|            | D-Support Services                  |                              | 8,582                      | 97.8%                                 | \$7,842,467.63         |                              | 9,029                      | 97.3%                                 | \$7,518,721.51         |                              | 9,546                      | 96.7%                                 | \$7,616,396.20         |                              | 10,885                     | 97.3%                                 | \$8,065,541.05         |
|            | <i>Sub-Category Case Management</i> |                              | 8,565                      | 97.6%                                 | \$4,405,537.26         |                              | 9,012                      | 97.1%                                 | \$4,390,124.67         |                              | 9,517                      | 96.4%                                 | \$4,432,023.36         |                              | 10,832                     | 96.8%                                 | \$4,681,632.24         |
|            | E-Crisis Intervention Services      |                              | 492                        | 5.6%                                  | \$607,317.63           |                              | 563                        | 6.1%                                  | \$713,450.19           |                              | 615                        | 6.2%                                  | \$667,264.99           |                              | 598                        | 5.3%                                  | \$637,013.23           |
|            | F-Inpatient Services                |                              | 162                        | 1.8%                                  | \$2,612,005.78         |                              | 134                        | 1.4%                                  | \$1,148,228.23         |                              | 141                        | 1.4%                                  | \$520,649.98           |                              | 212                        | 1.9%                                  | \$1,540,343.62         |
|            | G-Residential Services              |                              | 129                        | 1.5%                                  | \$1,495,721.30         |                              | 119                        | 1.3%                                  | \$1,426,757.90         |                              | 122                        | 1.2%                                  | \$1,223,887.39         |                              | 122                        | 1.1%                                  | \$1,263,796.18         |
|            | H-Behavioral Health Day Programs    |                              | 56                         | 0.6%                                  | \$69,139.86            |                              | 30                         | 0.3%                                  | \$42,978.12            |                              | 31                         | 0.3%                                  | \$51,797.25            |                              | 56                         | 0.5%                                  | \$107,396.15           |
|            | P-Pharmacy                          |                              | 1,439                      | 16.4%                                 | \$645,671.49           |                              | 1,479                      | 15.9%                                 | \$528,148.28           |                              | 1,215                      | 12.3%                                 | \$500,263.86           |                              | 1,712                      | 15.3%                                 | \$667,138.91           |
|            | <i>All Services</i>                 | <b>12,065</b>                | <b>8,776</b>               |                                       | <b>\$18,941,704.38</b> | <b>13,116</b>                | <b>9,277</b>               |                                       | <b>\$16,794,026.67</b> | <b>14,715</b>                | <b>9,868</b>               |                                       | <b>\$16,341,024.62</b> | <b>16,504</b>                | <b>11,191</b>              |                                       | <b>\$18,845,734.34</b> |

<sup>1</sup> Percentage Received Service Category is defined as the percent of CMDP enrolled and served members who received a service in the stated service category. For example, 78.8% of the CMDP members were served in Q1 FY 2014 received service(s) in Treatment Services.

**Attachment: Table 3.3b –Service Type and Cost, FY 2014 Year-to-Date <sup>15</sup>**

| GSA                 | Service Category                    | Year-to-Date, FY 2014        |                            |                                                   |                       |
|---------------------|-------------------------------------|------------------------------|----------------------------|---------------------------------------------------|-----------------------|
|                     |                                     | Unique CMDP Members Enrolled | Unique CMDP Members Served | Percentage Received Service Category <sup>1</sup> | Total Encounter Value |
| <b>GSA 1</b>        | A-Treatment Services                |                              | 983                        | 77.7%                                             | \$998,206.24          |
|                     | <i>Sub-Category Assessment</i>      |                              | 593                        | 46.9%                                             | \$199,852.71          |
|                     | B-Rehabilitation Services           |                              | 586                        | 46.3%                                             | \$878,835.18          |
|                     | C-Medical Services                  |                              | 236                        | 18.7%                                             | \$77,057.68           |
|                     | D-Support Services                  |                              | 1,236                      | 97.7%                                             | \$4,553,343.55        |
|                     | <i>Sub-Category Case Management</i> |                              | 1,232                      | 97.4%                                             | \$1,792,747.56        |
|                     | E-Crisis Intervention Services      |                              | 39                         | 3.1%                                              | \$38,000.93           |
|                     | F-Inpatient Services                |                              | 42                         | 3.3%                                              | \$553,383.51          |
|                     | G-Residential Services              |                              | 38                         | 3.0%                                              | \$920,249.29          |
|                     | H-Behavioral Health Day Programs    |                              | 0                          | 0.0%                                              | \$0.00                |
|                     | P-Pharmacy                          |                              | 240                        | 19.0%                                             | \$226,431.56          |
| <i>All Services</i> | <b>1,544</b>                        | <b>1,265</b>                 |                            | <b>\$8,245,507.94</b>                             |                       |
| <b>GSA 2</b>        | A-Treatment Services                |                              | 401                        | 87.0%                                             | \$437,430.56          |
|                     | <i>Sub-Category Assessment</i>      |                              | 294                        | 63.8%                                             | \$135,831.20          |
|                     | B-Rehabilitation Services           |                              | 125                        | 27.1%                                             | \$168,446.64          |
|                     | C-Medical Services                  |                              | 54                         | 11.7%                                             | \$17,033.51           |
|                     | D-Support Services                  |                              | 455                        | 98.7%                                             | \$1,452,247.83        |
|                     | <i>Sub-Category Case Management</i> |                              | 455                        | 98.7%                                             | \$629,062.83          |
|                     | E-Crisis Intervention Services      |                              | 24                         | 5.2%                                              | \$40,570.70           |
|                     | F-Inpatient Services                |                              | 6                          | 1.3%                                              | \$91,133.00           |
|                     | G-Residential Services              |                              | 6                          | 1.3%                                              | \$272,336.21          |
|                     | H-Behavioral Health Day Programs    |                              | 1                          | 0.2%                                              | \$636.90              |
|                     | P-Pharmacy                          |                              | 43                         | 9.3%                                              | \$39,870.38           |
| <i>All Services</i> | <b>490</b>                          | <b>461</b>                   |                            | <b>\$2,519,705.73</b>                             |                       |
| <b>GSA 3</b>        | A-Treatment Services                |                              | 496                        | 92.5%                                             | \$1,048,332.17        |
|                     | <i>Sub-Category Assessment</i>      |                              | 473                        | 88.2%                                             | \$398,959.06          |
|                     | B-Rehabilitation Services           |                              | 247                        | 46.1%                                             | \$263,674.88          |
|                     | C-Medical Services                  |                              | 59                         | 11.0%                                             | \$19,889.56           |
|                     | D-Support Services                  |                              | 533                        | 99.4%                                             | \$1,398,597.06        |
|                     | <i>Sub-Category Case Management</i> |                              | 533                        | 99.4%                                             | \$627,946.60          |
|                     | E-Crisis Intervention Services      |                              | 22                         | 4.1%                                              | \$22,101.34           |
|                     | F-Inpatient Services                |                              | 12                         | 2.2%                                              | \$184,555.18          |
|                     | G-Residential Services              |                              | 5                          | 0.9%                                              | \$299,385.12          |
|                     | H-Behavioral Health Day Programs    |                              | 1                          | 0.2%                                              | \$656.14              |
|                     | P-Pharmacy                          |                              | 59                         | 11.0%                                             | \$66,376.40           |
| <i>All Services</i> | <b>602</b>                          | <b>536</b>                   |                            | <b>\$3,303,567.85</b>                             |                       |
| <b>GSA 4</b>        | A-Treatment Services                |                              | 1,072                      | 87.8%                                             | \$1,246,497.85        |
|                     | <i>Sub-Category Assessment</i>      |                              | 977                        | 80.0%                                             | \$462,142.72          |
|                     | B-Rehabilitation Services           |                              | 320                        | 26.2%                                             | \$364,602.15          |
|                     | C-Medical Services                  |                              | 157                        | 12.9%                                             | \$34,694.95           |
|                     | D-Support Services                  |                              | 1,215                      | 99.5%                                             | \$1,982,504.04        |
|                     | <i>Sub-Category Case Management</i> |                              | 1,215                      | 99.5%                                             | \$1,019,900.06        |
|                     | E-Crisis Intervention Services      |                              | 48                         | 3.9%                                              | \$33,350.82           |
|                     | F-Inpatient Services                |                              | 20                         | 1.6%                                              | \$194,470.14          |
|                     | G-Residential Services              |                              | 24                         | 2.0%                                              | \$373,474.66          |
|                     | H-Behavioral Health Day Programs    |                              | 18                         | 1.5%                                              | \$9,736.22            |
|                     | P-Pharmacy                          |                              | 170                        | 13.9%                                             | \$127,485.07          |
| <i>All Services</i> | <b>1,362</b>                        | <b>1,221</b>                 |                            | <b>\$4,366,815.90</b>                             |                       |

<sup>15</sup> Year-to-Date data is restated in each quarterly report. Restatement of numbers captures retroactive encounters and as a result numbers may increase in comparison to previous reports.

**(Continued - Attachment: Table 3.3b –Service Type and Cost, FY 2014 Year-to-Date)**

| GSA       | Service Category                    | Year-to-Date, FY 2014        |                            |                                       |                        |
|-----------|-------------------------------------|------------------------------|----------------------------|---------------------------------------|------------------------|
|           |                                     | Unique CMDP Members Enrolled | Unique CMDP Members Served | Percentage Received Service Category1 | Total Encounter Value  |
| GSA 5     | A-Treatment Services                |                              | 3,802                      | 89.5%                                 | \$4,890,954.12         |
|           | <i>Sub-Category Assessment</i>      |                              | 3,355                      | 78.9%                                 | \$2,165,480.39         |
|           | B-Rehabilitation Services           |                              | 1,090                      | 25.6%                                 | \$1,025,037.55         |
|           | C-Medical Services                  |                              | 640                        | 15.1%                                 | \$260,466.50           |
|           | D-Support Services                  |                              | 4,185                      | 98.5%                                 | \$8,315,253.77         |
|           | <i>Sub-Category Case Management</i> |                              | 4,176                      | 98.3%                                 | \$4,821,300.69         |
|           | E-Crisis Intervention Services      |                              | 675                        | 15.9%                                 | \$348,511.46           |
|           | F-Inpatient Services                |                              | 113                        | 2.7%                                  | \$1,997,108.63         |
|           | G-Residential Services              |                              | 84                         | 2.0%                                  | \$1,438,766.31         |
|           | H-Behavioral Health Day Programs    |                              | 83                         | 2.0%                                  | \$233,969.48           |
|           | P-Pharmacy                          |                              | 535                        | 12.6%                                 | \$334,144.19           |
|           | <i>All Services</i>                 | <b>5,217</b>                 | <b>4,250</b>               |                                       | <b>\$18,844,212.01</b> |
| GSA 6     | A-Treatment Services                |                              | 7,745                      | 91.0%                                 | \$6,958,055.60         |
|           | <i>Sub-Category Assessment</i>      |                              | 6,932                      | 81.5%                                 | \$2,622,634.91         |
|           | B-Rehabilitation Services           |                              | 1,137                      | 13.4%                                 | \$4,229,712.95         |
|           | C-Medical Services                  |                              | 1,477                      | 17.4%                                 | \$491,405.09           |
|           | D-Support Services                  |                              | 8,327                      | 97.9%                                 | \$13,341,180.14        |
|           | <i>Sub-Category Case Management</i> |                              | 8,321                      | 97.8%                                 | \$9,018,359.79         |
|           | E-Crisis Intervention Services      |                              | 937                        | 11.0%                                 | \$2,142,510.79         |
|           | F-Inpatient Services                |                              | 259                        | 3.0%                                  | \$2,800,577.15         |
|           | G-Residential Services              |                              | 111                        | 1.3%                                  | \$2,105,951.18         |
|           | H-Behavioral Health Day Programs    |                              | 12                         | 0.1%                                  | \$26,312.64            |
|           | P-Pharmacy                          |                              | 1,479                      | 17.4%                                 | \$1,546,914.94         |
|           | <i>All Services</i>                 | <b>10,418</b>                | <b>8,508</b>               |                                       | <b>\$33,642,680.58</b> |
| Statewide | A-Treatment Services                |                              | 14,499                     | 89.3%                                 | \$15,579,476.54        |
|           | <i>Sub-Category Assessment</i>      |                              | 12,624                     | 77.7%                                 | \$5,984,900.99         |
|           | B-Rehabilitation Services           |                              | 3,505                      | 21.6%                                 | \$6,930,309.35         |
|           | C-Medical Services                  |                              | 2,623                      | 16.2%                                 | \$900,547.29           |
|           | D-Support Services                  |                              | 15,951                     | 98.2%                                 | \$31,043,126.39        |
|           | <i>Sub-Category Case Management</i> |                              | 15,932                     | 98.1%                                 | \$17,909,317.53        |
|           | E-Crisis Intervention Services      |                              | 1,745                      | 10.7%                                 | \$2,625,046.04         |
|           | F-Inpatient Services                |                              | 452                        | 2.8%                                  | \$5,821,227.61         |
|           | G-Residential Services              |                              | 268                        | 1.7%                                  | \$5,410,162.77         |
|           | H-Behavioral Health Day Programs    |                              | 115                        | 0.7%                                  | \$271,311.38           |
|           | P-Pharmacy                          |                              | 2,526                      | 15.6%                                 | \$2,341,222.54         |
|           | <i>All Services</i>                 | <b>19,633</b>                | <b>16,241</b>              |                                       | <b>\$70,922,490.01</b> |

<sup>1</sup> Percentage Received Service Category is defined as the percent of CMDP enrolled and served members who received a service in the stated service category. For example, 89.3% of the CMDP members served Year-to-Date received service(s) in Treatment Services.

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**Attachment: Table 4.1 – Notices of Action: Monthly Service Denials by GSA**

Table 4.1 identifies the number of denials in each service category. Information was identified using prior authorizations requests for CMDP members during Q1, FY 2016.



| GSA   | Month    | Service Not Approved                   | No. |
|-------|----------|----------------------------------------|-----|
| GSA 1 | Jul 2015 | Level I                                | 0   |
|       |          | Level I RTC                            | 1   |
|       |          | Level I Sub-acute                      | 0   |
|       |          | Behavioral Health Residential Facility | 0   |
|       |          | HCTC                                   | 0   |
|       |          | Pharmacy                               | 0   |
|       |          | ECT                                    | 0   |
|       | Aug 2015 | Level I                                | 0   |
|       |          | Level I RTC                            | 0   |
|       |          | Level I Sub-acute                      | 0   |
|       |          | Behavioral Health Residential Facility | 0   |
|       |          | HCTC                                   | 0   |
|       |          | Pharmacy                               | 0   |
|       |          | ECT                                    | 0   |
|       | Sep 2015 | Level I                                | 0   |
|       |          | Level I RTC                            | 0   |
|       |          | Level I Sub-acute                      | 0   |
|       |          | Behavioral Health Residential Facility | 0   |
|       |          | HCTC                                   | 0   |
|       |          | Pharmacy                               | 0   |
|       |          | ECT                                    | 0   |
| GSA 2 | Jul 2015 | Level I                                | 0   |
|       |          | Level I RTC                            | 0   |
|       |          | Level I Sub-acute                      | 0   |
|       |          | Behavioral Health Residential Facility | 0   |
|       |          | HCTC                                   | 0   |
|       |          | Pharmacy                               | 0   |
|       |          | ECT                                    | 0   |
|       | Aug 2015 | Level I                                | 0   |
|       |          | Level I RTC                            | 0   |
|       |          | Level I Sub-acute                      | 0   |
|       |          | Behavioral Health Residential Facility | 0   |
|       |          | HCTC                                   | 0   |
|       |          | Pharmacy                               | 0   |
|       |          | ECT                                    | 0   |
|       | Sep 2015 | Level I                                | 0   |
|       |          | Level I RTC                            | 0   |
|       |          | Level I Sub-acute                      | 0   |
|       |          | Behavioral Health Residential Facility | 0   |
|       |          | HCTC                                   | 0   |
|       |          | Pharmacy                               | 0   |
|       |          | ECT                                    | 0   |

Footnote:  
All data was obtained using the Prior Authorization Reports.

**(Continued - Attachment: Table 4.1 – Notices of Action: Monthly Service Denials by GSA)**

| GSA   | Month    | Service Not Approved                   | No. |
|-------|----------|----------------------------------------|-----|
| GSA 3 | Jul 2015 | Level I                                | 0   |
|       |          | Level I RTC                            | 0   |
|       |          | Level I Sub-acute                      | 0   |
|       |          | Behavioral Health Residential Facility | 0   |
|       |          | HCTC                                   | 0   |
|       |          | Pharmacy                               | 0   |
|       |          | ECT                                    | 0   |
|       | Aug 2015 | Level I                                | 0   |
|       |          | Level I RTC                            | 0   |
|       |          | Level I Sub-acute                      | 0   |
|       |          | Behavioral Health Residential Facility | 0   |
|       |          | HCTC                                   | 0   |
|       |          | Pharmacy                               | 0   |
|       |          | ECT                                    | 0   |
|       | Sep 2015 | Level I                                | 0   |
|       |          | Level I RTC                            | 0   |
|       |          | Level I Sub-acute                      | 0   |
|       |          | Behavioral Health Residential Facility | 0   |
|       |          | HCTC                                   | 0   |
|       |          | Pharmacy                               | 0   |
|       |          | ECT                                    | 0   |
| GSA 4 | Jul 2015 | Level I                                | 0   |
|       |          | Level I RTC                            | 0   |
|       |          | Level I Sub-acute                      | 0   |
|       |          | Behavioral Health Residential Facility | 0   |
|       |          | HCTC                                   | 0   |
|       |          | Pharmacy                               | 0   |
|       |          | ECT                                    | 0   |
|       | Aug 2015 | Level I                                | 0   |
|       |          | Level I RTC                            | 0   |
|       |          | Level I Sub-acute                      | 0   |
|       |          | Behavioral Health Residential Facility | 1   |
|       |          | HCTC                                   | 0   |
|       |          | Pharmacy                               | 0   |
|       |          | ECT                                    | 0   |
|       | Sep 2015 | Level I                                | 0   |
|       |          | Level I RTC                            | 0   |
|       |          | Level I Sub-acute                      | 0   |
|       |          | Behavioral Health Residential Facility | 0   |
|       |          | HCTC                                   | 0   |
|       |          | Pharmacy                               | 0   |
|       |          | ECT                                    | 0   |

Footnote:  
 All data was obtained using the Prior Authorization Reports.

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**(Continued - Attachment: Table 4.1 – Notices of Action: Monthly Service Denials by GSA)**

| GSA   | Month    | Service Not Approved                   | No. |
|-------|----------|----------------------------------------|-----|
| GSA 5 | Jul 2015 | Level I                                | 0   |
|       |          | Level I RTC                            | 0   |
|       |          | Level I Sub-acute                      | 0   |
|       |          | Behavioral Health Residential Facility | 0   |
|       |          | HCTC                                   | 0   |
|       |          | Pharmacy                               | 0   |
|       |          | ECT                                    | 0   |
|       | Aug 2015 | Level I                                | 0   |
|       |          | Level I RTC                            | 0   |
|       |          | Level I Sub-acute                      | 0   |
|       |          | Behavioral Health Residential Facility | 0   |
|       |          | HCTC                                   | 0   |
|       |          | Pharmacy                               | 0   |
|       |          | ECT                                    | 0   |
|       | Sep 2015 | Level I                                | 0   |
|       |          | Level I RTC                            | 0   |
|       |          | Level I Sub-acute                      | 0   |
|       |          | Behavioral Health Residential Facility | 0   |
|       |          | HCTC                                   | 0   |
|       |          | Pharmacy                               | 0   |
|       |          | ECT                                    | 0   |
| GSA 6 | Jul 2015 | Level I                                | 0   |
|       |          | Level I RTC                            | 4   |
|       |          | Level I Sub-acute                      | 0   |
|       |          | Behavioral Health Residential Facility | 1   |
|       |          | HCTC                                   | 1   |
|       |          | Pharmacy                               | 8   |
|       |          | ECT                                    | 0   |
|       | Aug 2015 | Level I                                | 0   |
|       |          | Level I RTC                            | 1   |
|       |          | Level I Sub-acute                      | 0   |
|       |          | Behavioral Health Residential Facility | 0   |
|       |          | HCTC                                   | 1   |
|       |          | Pharmacy                               | 2   |
|       |          | ECT                                    | 0   |
|       | Sep 2015 | Level I                                | 0   |
|       |          | Level I RTC                            | 1   |
|       |          | Level I Sub-acute                      | 0   |
|       |          | Behavioral Health Residential Facility | 0   |
|       |          | HCTC                                   | 0   |
|       |          | Pharmacy                               | 2   |
|       |          | ECT                                    | 0   |

Footnote:

All data was obtained using the Prior Authorization Reports.

**(Continued - Attachment: Table 4.1 – Notices of Action: Monthly Service Denials by GSA)**

| GSA       | Month / Quarter | Service Not Approved                   | No. |
|-----------|-----------------|----------------------------------------|-----|
| Statewide | Jul 2015        | Level I                                | 0   |
|           |                 | Level I RTC                            | 5   |
|           |                 | Level I Sub-acute                      | 0   |
|           |                 | Behavioral Health Residential Facility | 1   |
|           |                 | HCTC                                   | 1   |
|           |                 | Pharmacy                               | 8   |
|           |                 | ECT                                    | 0   |
|           | Aug 2015        | Level I                                | 0   |
|           |                 | Level I RTC                            | 1   |
|           |                 | Level I Sub-acute                      | 0   |
|           |                 | Behavioral Health Residential Facility | 1   |
|           |                 | HCTC                                   | 1   |
|           |                 | Pharmacy                               | 2   |
|           |                 | ECT                                    | 0   |
|           | Sep 2015        | Level I                                | 0   |
|           |                 | Level I RTC                            | 1   |
|           |                 | Level I Sub-acute                      | 0   |
|           |                 | Behavioral Health Residential Facility | 0   |
|           |                 | HCTC                                   | 0   |
|           |                 | Pharmacy                               | 2   |
|           |                 | ECT                                    | 0   |

|           |            |                                                        |           |
|-----------|------------|--------------------------------------------------------|-----------|
| Statewide | FY2016, Q1 | Level I                                                | 0         |
|           |            | Level I RTC                                            | 7         |
|           |            | Level I Sub-acute                                      | 0         |
|           |            | Behavioral Health Residential Facility                 | 2         |
|           |            | HCTC                                                   | 2         |
|           |            | Pharmacy                                               | 12        |
|           |            | ECT                                                    | 0         |
|           |            | <i>Total CMDP Prior Authorization Requests Denied:</i> | <i>23</i> |

Footnotes:

1 - All data in this table was obtained using the Prior Authorization Reports.

2 - Number of prior authorizations do not represent a unique CMDP member count . It is possible that a member could receive more than one prior authorization responses if submitted for different services or for different days (thus, one member could potentially receive more than one NOA).

**Attachment: Table 4.2 – Notices of Action: Monthly Outcomes by GSA**

Table 4.2 identifies how many prior authorizations requests were approved and not approved (including the reasons for the denials). Information was identified in prior authorizations requests for CMDP members during Q1, FY 2016.

| GSA                                            | Month      | Action Descriptions                                     | No.                                                     |   |
|------------------------------------------------|------------|---------------------------------------------------------|---------------------------------------------------------|---|
| GSA 1                                          | Jul 2015   | Not approved: Not Medically Necessary                   | 1                                                       |   |
|                                                |            | Not approved: Not a Covered Benefit/Benefit Exhausted   | 0                                                       |   |
|                                                |            | Not approved: Out of Network Provider                   | 0                                                       |   |
|                                                |            | Not approved: Not Enough Information to Make a Decision | 0                                                       |   |
|                                                |            | Not approved: System/Program issues                     | 0                                                       |   |
|                                                |            | Approved                                                | 18                                                      |   |
|                                                |            | <i>Total CMDP Prior Authorization Requests</i>          | <i>19</i>                                               |   |
|                                                | Aug 2015   | Not approved: Not Medically Necessary                   | 0                                                       |   |
|                                                |            | Not approved: Not a Covered Benefit/Benefit Exhausted   | 0                                                       |   |
|                                                |            | Not approved: Out of Network Provider                   | 0                                                       |   |
|                                                |            | Not approved: Not Enough Information to Make a Decision | 0                                                       |   |
|                                                |            | Not approved: System/Program issues                     | 0                                                       |   |
|                                                |            | Approved                                                | 7                                                       |   |
|                                                |            | <i>Total CMDP Prior Authorization Requests</i>          | <i>7</i>                                                |   |
|                                                | Sep 2015   | Not approved: Not Medically Necessary                   | 0                                                       |   |
|                                                |            | Not approved: Not a Covered Benefit/Benefit Exhausted   | 0                                                       |   |
|                                                |            | Not approved: Out of Network Provider                   | 0                                                       |   |
|                                                |            | Not approved: Not Enough Information to Make a Decision | 0                                                       |   |
|                                                |            | Not approved: System/Program issues                     | 0                                                       |   |
|                                                |            | Approved                                                | 2                                                       |   |
|                                                |            | <i>Total CMDP Prior Authorization Requests</i>          | <i>2</i>                                                |   |
|                                                | FY2016, Q1 | <i>Total CMDP Prior Authorization Requests Denied</i>   | <i>1</i>                                                |   |
|                                                |            | <i>Total CMDP Prior Authorization Requests</i>          | <i>28</i>                                               |   |
|                                                | GSA 2      | Jul 2015                                                | Not approved: Not Medically Necessary                   | 0 |
|                                                |            |                                                         | Not approved: Not a Covered Benefit/Benefit Exhausted   | 0 |
|                                                |            |                                                         | Not approved: Out of Network Provider                   | 0 |
|                                                |            |                                                         | Not approved: Not Enough Information to Make a Decision | 0 |
|                                                |            |                                                         | Not approved: System/Program issues                     | 0 |
| Approved                                       |            |                                                         | 0                                                       |   |
| <i>Total CMDP Prior Authorization Requests</i> |            |                                                         | <i>0</i>                                                |   |
| Aug 2015                                       |            | Not approved: Not Medically Necessary                   | 0                                                       |   |
|                                                |            | Not approved: Not a Covered Benefit/Benefit Exhausted   | 0                                                       |   |
|                                                |            | Not approved: Out of Network Provider                   | 0                                                       |   |
|                                                |            | Not approved: Not Enough Information to Make a Decision | 0                                                       |   |
|                                                |            | Not approved: System/Program issues                     | 0                                                       |   |
|                                                |            | Approved                                                | 1                                                       |   |
|                                                |            | <i>Total CMDP Prior Authorization Requests</i>          | <i>1</i>                                                |   |
| Sep 2015                                       |            | Not approved: Not Medically Necessary                   | 0                                                       |   |
|                                                |            | Not approved: Not a Covered Benefit/Benefit Exhausted   | 0                                                       |   |
|                                                |            | Not approved: Out of Network Provider                   | 0                                                       |   |
|                                                |            | Not approved: Not Enough Information to Make a Decision | 0                                                       |   |
|                                                |            | Not approved: System/Program issues                     | 0                                                       |   |
|                                                |            | Approved                                                | 0                                                       |   |
|                                                |            | <i>Total CMDP Prior Authorization Requests</i>          | <i>0</i>                                                |   |
| FY2016, Q1                                     |            | <i>Total CMDP Prior Authorization Requests Denied</i>   | <i>0</i>                                                |   |
|                                                |            | <i>Total CMDP Prior Authorization Requests</i>          | <i>1</i>                                                |   |

Footnote:  
All data was obtained using the Prior Authorization Reports.

**(Continued - Attachment: Table 4.2 – Notices of Action: Monthly Outcomes by GSA)**

| GSA        | Month                                                 | Action Descriptions                                     | No.      |
|------------|-------------------------------------------------------|---------------------------------------------------------|----------|
| GSA 3      | Jul 2015                                              | Not approved: Not Medically Necessary                   | 0        |
|            |                                                       | Not approved: Not a Covered Benefit/Benefit Exhausted   | 0        |
|            |                                                       | Not approved: Out of Network Provider                   | 0        |
|            |                                                       | Not approved: Not Enough Information to Make a Decision | 0        |
|            |                                                       | Not approved: System/Program issues                     | 0        |
|            |                                                       | Approved                                                | 0        |
|            |                                                       | <i>Total CMDP Prior Authorization Requests</i>          | <i>0</i> |
|            | Aug 2015                                              | Not approved: Not Medically Necessary                   | 0        |
|            |                                                       | Not approved: Not a Covered Benefit/Benefit Exhausted   | 0        |
|            |                                                       | Not approved: Out of Network Provider                   | 0        |
|            |                                                       | Not approved: Not Enough Information to Make a Decision | 0        |
|            |                                                       | Not approved: System/Program issues                     | 0        |
|            |                                                       | Approved                                                | 2        |
|            |                                                       | <i>Total CMDP Prior Authorization Requests</i>          | <i>2</i> |
|            | Sep 2015                                              | Not approved: Not Medically Necessary                   | 0        |
|            |                                                       | Not approved: Not a Covered Benefit/Benefit Exhausted   | 0        |
|            |                                                       | Not approved: Out of Network Provider                   | 0        |
|            |                                                       | Not approved: Not Enough Information to Make a Decision | 0        |
|            |                                                       | Not approved: System/Program issues                     | 0        |
|            |                                                       | Approved                                                | 0        |
|            |                                                       | <i>Total CMDP Prior Authorization Requests</i>          | <i>0</i> |
| FY2016, Q1 | <i>Total CMDP Prior Authorization Requests Denied</i> | <i>0</i>                                                |          |
|            | <i>Total CMDP Prior Authorization Requests</i>        | <i>2</i>                                                |          |
| GSA 4      | Jul 2015                                              | Not approved: Not Medically Necessary                   | 0        |
|            |                                                       | Not approved: Not a Covered Benefit/Benefit Exhausted   | 0        |
|            |                                                       | Not approved: Out of Network Provider                   | 0        |
|            |                                                       | Not approved: Not Enough Information to Make a Decision | 0        |
|            |                                                       | Not approved: System/Program issues                     | 0        |
|            |                                                       | Approved                                                | 1        |
|            |                                                       | <i>Total CMDP Prior Authorization Requests</i>          | <i>1</i> |
|            | Aug 2015                                              | Not approved: Not Medically Necessary                   | 1        |
|            |                                                       | Not approved: Not a Covered Benefit/Benefit Exhausted   | 0        |
|            |                                                       | Not approved: Out of Network Provider                   | 0        |
|            |                                                       | Not approved: Not Enough Information to Make a Decision | 0        |
|            |                                                       | Not approved: System/Program issues                     | 0        |
|            |                                                       | Approved                                                | 2        |
|            |                                                       | <i>Total CMDP Prior Authorization Requests</i>          | <i>3</i> |
|            | Sep 2015                                              | Not approved: Not Medically Necessary                   | 0        |
|            |                                                       | Not approved: Not a Covered Benefit/Benefit Exhausted   | 0        |
|            |                                                       | Not approved: Out of Network Provider                   | 0        |
|            |                                                       | Not approved: Not Enough Information to Make a Decision | 0        |
|            |                                                       | Not approved: System/Program issues                     | 0        |
|            |                                                       | Approved                                                | 1        |
|            |                                                       | <i>Total CMDP Prior Authorization Requests</i>          | <i>1</i> |
| FY2016, Q1 | <i>Total CMDP Prior Authorization Requests Denied</i> | <i>1</i>                                                |          |
|            | <i>Total CMDP Prior Authorization Requests</i>        | <i>5</i>                                                |          |

Footnote:  
All data was obtained using the Prior Authorization Reports.

**(Continued - Attachment: Table 4.2 – Notices of Action: Monthly Outcomes by GSA)**

| GSA        | Month                                                 | Action Descriptions                                     | No. |
|------------|-------------------------------------------------------|---------------------------------------------------------|-----|
| GSA 5      | Jul 2015                                              | Not approved: Not Medically Necessary                   | 0   |
|            |                                                       | Not approved: Not a Covered Benefit/Benefit Exhausted   | 0   |
|            |                                                       | Not approved: Out of Network Provider                   | 0   |
|            |                                                       | Not approved: Not Enough Information to Make a Decision | 0   |
|            |                                                       | Not approved: System/Program issues                     | 0   |
|            |                                                       | Approved                                                | 9   |
|            |                                                       | <i>Total CMDP Prior Authorization Requests</i>          | 9   |
|            | Aug 2015                                              | Not approved: Not Medically Necessary                   | 0   |
|            |                                                       | Not approved: Not a Covered Benefit/Benefit Exhausted   | 0   |
|            |                                                       | Not approved: Out of Network Provider                   | 0   |
|            |                                                       | Not approved: Not Enough Information to Make a Decision | 0   |
|            |                                                       | Not approved: System/Program issues                     | 0   |
|            |                                                       | Approved                                                | 7   |
|            |                                                       | <i>Total CMDP Prior Authorization Requests</i>          | 7   |
|            | Sep 2015                                              | Not approved: Not Medically Necessary                   | 0   |
|            |                                                       | Not approved: Not a Covered Benefit/Benefit Exhausted   | 0   |
|            |                                                       | Not approved: Out of Network Provider                   | 0   |
|            |                                                       | Not approved: Not Enough Information to Make a Decision | 0   |
|            |                                                       | Not approved: System/Program issues                     | 0   |
|            |                                                       | Approved                                                | 0   |
|            |                                                       | <i>Total CMDP Prior Authorization Requests</i>          | 0   |
| FY2016, Q1 | <i>Total CMDP Prior Authorization Requests Denied</i> | 0                                                       |     |
|            | <i>Total CMDP Prior Authorization Requests</i>        | 16                                                      |     |
| GSA 6      | Jul 2015                                              | Not approved: Not Medically Necessary                   | 10  |
|            |                                                       | Not approved: Not a Covered Benefit/Benefit Exhausted   | 4   |
|            |                                                       | Not approved: Out of Network Provider                   | 0   |
|            |                                                       | Not approved: Not Enough Information to Make a Decision | 0   |
|            |                                                       | Not approved: System/Program issues                     | 0   |
|            |                                                       | Approved                                                | 81  |
|            |                                                       | <i>Total CMDP Prior Authorization Requests</i>          | 95  |
|            | Aug 2015                                              | Not approved: Not Medically Necessary                   | 3   |
|            |                                                       | Not approved: Not a Covered Benefit/Benefit Exhausted   | 1   |
|            |                                                       | Not approved: Out of Network Provider                   | 0   |
|            |                                                       | Not approved: Not Enough Information to Make a Decision | 0   |
|            |                                                       | Not approved: System/Program issues                     | 0   |
|            |                                                       | Approved                                                | 14  |
|            |                                                       | <i>Total CMDP Prior Authorization Requests</i>          | 18  |
|            | Sep 2015                                              | Not approved: Not Medically Necessary                   | 2   |
|            |                                                       | Not approved: Not a Covered Benefit/Benefit Exhausted   | 1   |
|            |                                                       | Not approved: Out of Network Provider                   | 0   |
|            |                                                       | Not approved: Not Enough Information to Make a Decision | 0   |
|            |                                                       | Not approved: System/Program issues                     | 0   |
|            |                                                       | Approved                                                | 30  |
|            |                                                       | <i>Total CMDP Prior Authorization Requests</i>          | 33  |
| FY2016, Q1 | <i>Total CMDP Prior Authorization Requests Denied</i> | 21                                                      |     |
|            | <i>Total CMDP Prior Authorization Requests</i>        | 146                                                     |     |

Footnote:

All data in this table was obtained using the Prior Authorization Reports.



**(Continued - Attachment: Table 4.2 – Notices of Action: Monthly Outcomes by GSA)**

| GSA       | Month / Quarter | Action Descriptions                                     | No.        |
|-----------|-----------------|---------------------------------------------------------|------------|
| Statewide | Jul 2015        | Not approved: Not Medically Necessary                   | 11         |
|           |                 | Not approved: Not a Covered Benefit/Benefit Exhausted   | 4          |
|           |                 | Not approved: Out of Network Provider                   | 0          |
|           |                 | Not approved: Not Enough Information to Make a Decision | 0          |
|           |                 | Not approved: System/Program issues                     | 0          |
|           |                 | Approved                                                | 109        |
|           |                 | <i>Total CMDP Prior Authorization Requests</i>          | <i>124</i> |
|           | Aug 2015        | Not approved: Not Medically Necessary                   | 4          |
|           |                 | Not approved: Not a Covered Benefit/Benefit Exhausted   | 1          |
|           |                 | Not approved: Out of Network Provider                   | 0          |
|           |                 | Not approved: Not Enough Information to Make a Decision | 0          |
|           |                 | Not approved: System/Program issues                     | 0          |
|           |                 | Approved                                                | 33         |
|           |                 | <i>Total CMDP Prior Authorization Requests</i>          | <i>38</i>  |
|           | Sep 2015        | Not approved: Not Medically Necessary                   | 2          |
|           |                 | Not approved: Not a Covered Benefit/Benefit Exhausted   | 1          |
|           |                 | Not approved: Out of Network Provider                   | 0          |
|           |                 | Not approved: Not Enough Information to Make a Decision | 0          |
|           |                 | Not approved: System/Program issues                     | 0          |
|           |                 | Approved                                                | 33         |
|           |                 | <i>Total CMDP Prior Authorization Requests</i>          | <i>36</i>  |
| Statewide | FY2016, Q1      | Not approved: Not Medically Necessary                   | 17         |
|           |                 | Not approved: Not a Covered Benefit/Benefit Exhausted   | 6          |
|           |                 | Not approved: Out of Network Provider                   | 0          |
|           |                 | Not approved: Not Enough Information to Make a Decision | 0          |
|           |                 | Not approved: System/Program issues                     | 0          |
|           |                 | Approved                                                | 175        |
|           |                 | <i>Total CMDP Prior Authorization Requests Denied</i>   | <i>23</i>  |
|           |                 | <i>Total CMDP Prior Authorization Requests</i>          | <i>198</i> |

Footnotes:

- 1 - All data in this table was obtained using the Prior Authorization Reports.
- 2 - Number of prior authorizations do not represent a unique CMDP member count . It is possible that a member could receive more than one prior authorization response if submitted for different services or for different days (thus, one member could potentially receive more

**Attachment: Table 5.1 – Appeals: Monthly Reasons for Appeals by GSA**

Table 5.1 identifies the reasons for appeals filed on behalf of CMDP members during Q1, FY 2016.

| GSA       | Month/Quarter     | Reason of Appeal                                 |                                                 |                       |
|-----------|-------------------|--------------------------------------------------|-------------------------------------------------|-----------------------|
|           |                   | Denial of Service                                | Reduction, Suspension or Termination of Service | Timeliness of Service |
| GSA 1     | July 2015         | 0                                                | 0                                               | 0                     |
|           | August 2015       | 0                                                | 0                                               | 0                     |
|           | September 2015    | 0                                                | 0                                               | 0                     |
| GSA 2     | July 2015         | 0                                                | 0                                               | 0                     |
|           | August 2015       | 0                                                | 0                                               | 0                     |
|           | September 2015    | 0                                                | 0                                               | 0                     |
| GSA 3     | July 2015         | 0                                                | 0                                               | 0                     |
|           | August 2015       | 0                                                | 0                                               | 0                     |
|           | September 2015    | 0                                                | 0                                               | 0                     |
| GSA 4     | July 2015         | 0                                                | 0                                               | 0                     |
|           | August 2015       | 0                                                | 0                                               | 0                     |
|           | September 2015    | 0                                                | 0                                               | 0                     |
| GSA 5     | July 2015         | 1                                                | 0                                               | 0                     |
|           | August 2015       | 0                                                | 0                                               | 0                     |
|           | September 2015    | 0                                                | 0                                               | 0                     |
| GSA 6     | July 2015         | 1                                                | 0                                               | 0                     |
|           | August 2015       | 0                                                | 0                                               | 0                     |
|           | September 2015    | 0                                                | 0                                               | 0                     |
| Statewide | July 2015         | 2                                                | 0                                               | 0                     |
|           | August 2015       | 0                                                | 0                                               | 0                     |
|           | September 2015    | 0                                                | 0                                               | 0                     |
|           | FY2016, Q1        | 2                                                | 0                                               | 0                     |
|           | <i>FY2016, Q1</i> | <i>Total Number of Appeals for CMDP Members:</i> |                                                 |                       |

Footnote:

All data was obtained from the Office of Grievance and Appeals Database.

**Attachment: Table 5.2 – Appeals: Monthly Outcomes of Appeals by GSA**

Table 5.2 identifies the outcomes of appeals filed on behalf of CMDP members during Q1, FY 2016.

| GSA                  | Month     | Outcome Descriptions                         |                                              | No. |
|----------------------|-----------|----------------------------------------------|----------------------------------------------|-----|
|                      |           | Level                                        | Decision                                     |     |
| GSA 1                | Jul 2015  | RBHA                                         | Decision Upheld                              | 0   |
|                      |           |                                              | Dismissed, Improper Filing Party             | 0   |
|                      |           |                                              | Dismissed, Not an "Action"                   | 0   |
|                      |           |                                              | Dismissed, Untimely                          | 0   |
|                      |           |                                              | Decision Overturned                          | 0   |
|                      |           |                                              | Partially Overturned                         | 0   |
|                      |           |                                              | Withdrawn                                    | 0   |
|                      |           | DBHS                                         | Administrative Review Remands Appeals        | 0   |
|                      |           |                                              | Administrative Review Supports RBHA Decision | 0   |
|                      |           |                                              | Withdrawn                                    | 0   |
|                      |           | AHCCCS                                       | Compromise                                   | 0   |
|                      |           |                                              | Decision Overturned                          | 0   |
|                      |           |                                              | Decision Upheld                              | 0   |
|                      |           |                                              | Dismissed, Failure to Appear                 | 0   |
|                      |           |                                              | Dismissed, Untimely                          | 0   |
|                      | Withdrawn |                                              | 0                                            |     |
|                      | Aug 2015  | RBHA                                         | Decision Upheld                              | 0   |
|                      |           |                                              | Dismissed, Improper Filing Party             | 0   |
|                      |           |                                              | Dismissed, Not an "Action"                   | 0   |
|                      |           |                                              | Dismissed, Untimely                          | 0   |
|                      |           |                                              | Decision Overturned                          | 0   |
|                      |           |                                              | Partially Overturned                         | 0   |
|                      |           |                                              | Withdrawn                                    | 0   |
|                      |           | DBHS                                         | Administrative Review Remands Appeals        | 0   |
|                      |           |                                              | Administrative Review Supports RBHA Decision | 0   |
|                      |           |                                              | Withdrawn                                    | 0   |
|                      |           | AHCCCS                                       | Compromise                                   | 0   |
|                      |           |                                              | Decision Overturned                          | 0   |
|                      |           |                                              | Decision Upheld                              | 0   |
|                      |           |                                              | Dismissed, Failure to Appear                 | 0   |
|                      |           |                                              | Dismissed, Untimely                          | 0   |
|                      | Withdrawn |                                              | 0                                            |     |
|                      | Sep 2015  | RBHA                                         | Decision Upheld                              | 0   |
|                      |           |                                              | Dismissed, Improper Filing Party             | 0   |
|                      |           |                                              | Dismissed, Not an "Action"                   | 0   |
|                      |           |                                              | Dismissed, Untimely                          | 0   |
| Decision Overturned  |           |                                              | 0                                            |     |
| Partially Overturned |           |                                              | 0                                            |     |
| Withdrawn            |           |                                              | 0                                            |     |
| DBHS                 |           | Administrative Review Remands Appeals        | 0                                            |     |
|                      |           | Administrative Review Supports RBHA Decision | 0                                            |     |
|                      |           | Withdrawn                                    | 0                                            |     |
| AHCCCS               |           | Compromise                                   | 0                                            |     |
|                      |           | Decision Overturned                          | 0                                            |     |
|                      |           | Decision Upheld                              | 0                                            |     |
|                      |           | Dismissed, Failure to Appear                 | 0                                            |     |
|                      |           | Dismissed, Untimely                          | 0                                            |     |
|                      | Withdrawn | 0                                            |                                              |     |

**(Continued - Attachment: Table 5.2 – Appeals: Monthly Outcomes of Appeals by GSA)**

| GSA                  | Month                      | Outcome Descriptions                         |                                              | No.                              |   |
|----------------------|----------------------------|----------------------------------------------|----------------------------------------------|----------------------------------|---|
|                      |                            | Level                                        | Decision                                     |                                  |   |
| GSA 2                | Jul 2015                   | RBHA                                         | Decision Upheld                              | 0                                |   |
|                      |                            |                                              | Dismissed, Improper Filing Party             | 0                                |   |
|                      |                            |                                              | Dismissed, Not an "Action"                   | 0                                |   |
|                      |                            |                                              | Dismissed, Untimely                          | 0                                |   |
|                      |                            |                                              | Decision Overturned                          | 0                                |   |
|                      |                            |                                              | Partially Overturned                         | 0                                |   |
|                      |                            |                                              | Withdrawn                                    | 0                                |   |
|                      |                            | DBHS                                         | Administrative Review Remands Appeals        | 0                                |   |
|                      |                            |                                              | Administrative Review Supports RBHA Decision | 0                                |   |
|                      |                            |                                              | Withdrawn                                    | 0                                |   |
|                      |                            | AHCCCS                                       | Compromise                                   | 0                                |   |
|                      |                            |                                              | Decision Overturned                          | 0                                |   |
|                      |                            |                                              | Decision Upheld                              | 0                                |   |
|                      |                            |                                              | Dismissed, Failure to Appear                 | 0                                |   |
|                      |                            |                                              | Dismissed, Untimely                          | 0                                |   |
|                      |                            |                                              | Withdrawn                                    | 0                                |   |
|                      |                            | Aug 2015                                     | RBHA                                         | Decision Upheld                  | 0 |
|                      |                            |                                              |                                              | Dismissed, Improper Filing Party | 0 |
|                      | Dismissed, Not an "Action" |                                              |                                              | 0                                |   |
|                      | Dismissed, Untimely        |                                              |                                              | 0                                |   |
|                      | Decision Overturned        |                                              |                                              | 0                                |   |
|                      | Partially Overturned       |                                              |                                              | 0                                |   |
|                      | Withdrawn                  |                                              |                                              | 0                                |   |
|                      | DBHS                       |                                              | Administrative Review Remands Appeals        | 0                                |   |
|                      |                            |                                              | Administrative Review Supports RBHA Decision | 0                                |   |
|                      |                            |                                              | Withdrawn                                    | 0                                |   |
|                      | AHCCCS                     |                                              | Compromise                                   | 0                                |   |
|                      |                            |                                              | Decision Overturned                          | 0                                |   |
|                      |                            |                                              | Decision Upheld                              | 0                                |   |
|                      |                            |                                              | Dismissed, Failure to Appear                 | 0                                |   |
|                      |                            |                                              | Dismissed, Untimely                          | 0                                |   |
|                      |                            |                                              | Withdrawn                                    | 0                                |   |
|                      | Sep 2015                   |                                              | RBHA                                         | Decision Upheld                  | 0 |
|                      |                            |                                              |                                              | Dismissed, Improper Filing Party | 0 |
|                      |                            | Dismissed, Not an "Action"                   |                                              | 0                                |   |
|                      |                            | Dismissed, Untimely                          |                                              | 0                                |   |
| Decision Overturned  |                            | 0                                            |                                              |                                  |   |
| Partially Overturned |                            | 0                                            |                                              |                                  |   |
| Withdrawn            |                            | 0                                            |                                              |                                  |   |
| DBHS                 |                            | Administrative Review Remands Appeals        | 0                                            |                                  |   |
|                      |                            | Administrative Review Supports RBHA Decision | 0                                            |                                  |   |
|                      |                            | Withdrawn                                    | 0                                            |                                  |   |
| AHCCCS               |                            | Compromise                                   | 0                                            |                                  |   |
|                      |                            | Decision Overturned                          | 0                                            |                                  |   |
|                      |                            | Decision Upheld                              | 0                                            |                                  |   |
|                      |                            | Dismissed, Failure to Appear                 | 0                                            |                                  |   |
|                      |                            | Dismissed, Untimely                          | 0                                            |                                  |   |
|                      |                            | Withdrawn                                    | 0                                            |                                  |   |

**(Continued - Attachment: Table 5.2 – Appeals: Monthly Outcomes of Appeals by GSA)**

| GSA                  | Month     | Outcome Descriptions                         |                                              | No. |
|----------------------|-----------|----------------------------------------------|----------------------------------------------|-----|
|                      |           | Level                                        | Decision                                     |     |
| GSA 3                | Jul 2015  | RBHA                                         | Decision Upheld                              | 0   |
|                      |           |                                              | Dismissed, Improper Filing Party             | 0   |
|                      |           |                                              | Dismissed, Not an "Action"                   | 0   |
|                      |           |                                              | Dismissed, Untimely                          | 0   |
|                      |           |                                              | Decision Overturned                          | 0   |
|                      |           |                                              | Partially Overturned                         | 0   |
|                      |           |                                              | Withdrawn                                    | 0   |
|                      |           | DBHS                                         | Administrative Review Remands Appeals        | 0   |
|                      |           |                                              | Administrative Review Supports RBHA Decision | 0   |
|                      |           |                                              | Withdrawn                                    | 0   |
|                      |           | AHCCCS                                       | Compromise                                   | 0   |
|                      |           |                                              | Decision Overturned                          | 0   |
|                      |           |                                              | Decision Upheld                              | 0   |
|                      |           |                                              | Dismissed, Failure to Appear                 | 0   |
|                      |           |                                              | Dismissed, Untimely                          | 0   |
|                      | Withdrawn |                                              | 0                                            |     |
|                      | Aug 2015  | RBHA                                         | Decision Upheld                              | 0   |
|                      |           |                                              | Dismissed, Improper Filing Party             | 0   |
|                      |           |                                              | Dismissed, Not an "Action"                   | 0   |
|                      |           |                                              | Dismissed, Untimely                          | 0   |
|                      |           |                                              | Decision Overturned                          | 0   |
|                      |           |                                              | Partially Overturned                         | 0   |
|                      |           |                                              | Withdrawn                                    | 0   |
|                      |           | DBHS                                         | Administrative Review Remands Appeals        | 0   |
|                      |           |                                              | Administrative Review Supports RBHA Decision | 0   |
|                      |           |                                              | Withdrawn                                    | 0   |
|                      |           | AHCCCS                                       | Compromise                                   | 0   |
|                      |           |                                              | Decision Overturned                          | 0   |
|                      |           |                                              | Decision Upheld                              | 0   |
|                      |           |                                              | Dismissed, Failure to Appear                 | 0   |
|                      |           |                                              | Dismissed, Untimely                          | 0   |
|                      | Withdrawn |                                              | 0                                            |     |
|                      | Sep 2015  | RBHA                                         | Decision Upheld                              | 0   |
|                      |           |                                              | Dismissed, Improper Filing Party             | 0   |
|                      |           |                                              | Dismissed, Not an "Action"                   | 0   |
|                      |           |                                              | Dismissed, Untimely                          | 0   |
| Decision Overturned  |           |                                              | 0                                            |     |
| Partially Overturned |           |                                              | 0                                            |     |
| Withdrawn            |           |                                              | 0                                            |     |
| DBHS                 |           | Administrative Review Remands Appeals        | 0                                            |     |
|                      |           | Administrative Review Supports RBHA Decision | 0                                            |     |
|                      |           | Withdrawn                                    | 0                                            |     |
| AHCCCS               |           | Compromise                                   | 0                                            |     |
|                      |           | Decision Overturned                          | 0                                            |     |
|                      |           | Decision Upheld                              | 0                                            |     |
|                      |           | Dismissed, Failure to Appear                 | 0                                            |     |
|                      |           | Dismissed, Untimely                          | 0                                            |     |
|                      | Withdrawn | 0                                            |                                              |     |

**(Continued - Attachment: Table 5.2 – Appeals: Monthly Outcomes of Appeals by GSA)**

| GSA                  | Month                      | Outcome Descriptions                         |                                              | No.                              |   |
|----------------------|----------------------------|----------------------------------------------|----------------------------------------------|----------------------------------|---|
|                      |                            | Level                                        | Decision                                     |                                  |   |
| GSA 4                | Jul 2015                   | RBHA                                         | Decision Upheld                              | 0                                |   |
|                      |                            |                                              | Dismissed, Improper Filing Party             | 0                                |   |
|                      |                            |                                              | Dismissed, Not an "Action"                   | 0                                |   |
|                      |                            |                                              | Dismissed, Untimely                          | 0                                |   |
|                      |                            |                                              | Decision Overturned                          | 0                                |   |
|                      |                            |                                              | Partially Overturned                         | 0                                |   |
|                      |                            |                                              | Withdrawn                                    | 0                                |   |
|                      |                            | DBHS                                         | Administrative Review Remands Appeals        | 0                                |   |
|                      |                            |                                              | Administrative Review Supports RBHA Decision | 0                                |   |
|                      |                            |                                              | Withdrawn                                    | 0                                |   |
|                      |                            | AHCCCS                                       | Compromise                                   | 0                                |   |
|                      |                            |                                              | Decision Overturned                          | 0                                |   |
|                      |                            |                                              | Decision Upheld                              | 0                                |   |
|                      |                            |                                              | Dismissed, Failure to Appear                 | 0                                |   |
|                      |                            |                                              | Dismissed, Untimely                          | 0                                |   |
|                      |                            |                                              | Withdrawn                                    | 0                                |   |
|                      |                            | Aug 2015                                     | RBHA                                         | Decision Upheld                  | 0 |
|                      |                            |                                              |                                              | Dismissed, Improper Filing Party | 0 |
|                      | Dismissed, Not an "Action" |                                              |                                              | 0                                |   |
|                      | Dismissed, Untimely        |                                              |                                              | 0                                |   |
|                      | Decision Overturned        |                                              |                                              | 0                                |   |
|                      | Partially Overturned       |                                              |                                              | 0                                |   |
|                      | Withdrawn                  |                                              |                                              | 0                                |   |
|                      | DBHS                       |                                              | Administrative Review Remands Appeals        | 0                                |   |
|                      |                            |                                              | Administrative Review Supports RBHA Decision | 0                                |   |
|                      |                            |                                              | Withdrawn                                    | 0                                |   |
|                      | AHCCCS                     |                                              | Compromise                                   | 0                                |   |
|                      |                            |                                              | Decision Overturned                          | 0                                |   |
|                      |                            |                                              | Decision Upheld                              | 0                                |   |
|                      |                            |                                              | Dismissed, Failure to Appear                 | 0                                |   |
|                      |                            |                                              | Dismissed, Untimely                          | 0                                |   |
|                      |                            |                                              | Withdrawn                                    | 0                                |   |
|                      | Sep 2015                   |                                              | RBHA                                         | Decision Upheld                  | 0 |
|                      |                            |                                              |                                              | Dismissed, Improper Filing Party | 0 |
|                      |                            | Dismissed, Not an "Action"                   |                                              | 0                                |   |
|                      |                            | Dismissed, Untimely                          |                                              | 0                                |   |
| Decision Overturned  |                            | 0                                            |                                              |                                  |   |
| Partially Overturned |                            | 0                                            |                                              |                                  |   |
| Withdrawn            |                            | 0                                            |                                              |                                  |   |
| DBHS                 |                            | Administrative Review Remands Appeals        | 0                                            |                                  |   |
|                      |                            | Administrative Review Supports RBHA Decision | 0                                            |                                  |   |
|                      |                            | Withdrawn                                    | 0                                            |                                  |   |
| AHCCCS               |                            | Compromise                                   | 0                                            |                                  |   |
|                      |                            | Decision Overturned                          | 0                                            |                                  |   |
|                      |                            | Decision Upheld                              | 0                                            |                                  |   |
|                      |                            | Dismissed, Failure to Appear                 | 0                                            |                                  |   |
|                      |                            | Dismissed, Untimely                          | 0                                            |                                  |   |
|                      |                            | Withdrawn                                    | 0                                            |                                  |   |

**(Continued - Attachment: Table 5.2 – Appeals: Monthly Outcomes of Appeals by GSA)**

| GSA                  | Month     | Outcome Descriptions                         |                                              | No. |
|----------------------|-----------|----------------------------------------------|----------------------------------------------|-----|
|                      |           | Level                                        | Decision                                     |     |
| GSA 5                | Jul 2015  | RBHA                                         | Decision Upheld                              | 0   |
|                      |           |                                              | Dismissed, Improper Filing Party             | 0   |
|                      |           |                                              | Dismissed, Not an "Action"                   | 0   |
|                      |           |                                              | Dismissed, Untimely                          | 0   |
|                      |           |                                              | Decision Overturned                          | 1   |
|                      |           |                                              | Partially Overturned                         | 0   |
|                      |           |                                              | Withdrawn                                    | 0   |
|                      |           | DBHS                                         | Administrative Review Remands Appeals        | 0   |
|                      |           |                                              | Administrative Review Supports RBHA Decision | 0   |
|                      |           |                                              | Withdrawn                                    | 0   |
|                      |           | AHCCCS                                       | Compromise                                   | 0   |
|                      |           |                                              | Decision Overturned                          | 0   |
|                      |           |                                              | Decision Upheld                              | 0   |
|                      |           |                                              | Dismissed, Failure to Appear                 | 0   |
|                      |           |                                              | Dismissed, Untimely                          | 0   |
|                      | Withdrawn |                                              | 0                                            |     |
|                      | Aug 2015  | RBHA                                         | Decision Upheld                              | 0   |
|                      |           |                                              | Dismissed, Improper Filing Party             | 0   |
|                      |           |                                              | Dismissed, Not an "Action"                   | 0   |
|                      |           |                                              | Dismissed, Untimely                          | 0   |
|                      |           |                                              | Decision Overturned                          | 0   |
|                      |           |                                              | Partially Overturned                         | 0   |
|                      |           |                                              | Withdrawn                                    | 0   |
|                      |           | DBHS                                         | Administrative Review Remands Appeals        | 0   |
|                      |           |                                              | Administrative Review Supports RBHA Decision | 0   |
|                      |           |                                              | Withdrawn                                    | 0   |
|                      |           | AHCCCS                                       | Compromise                                   | 0   |
|                      |           |                                              | Decision Overturned                          | 0   |
|                      |           |                                              | Decision Upheld                              | 0   |
|                      |           |                                              | Dismissed, Failure to Appear                 | 0   |
|                      |           |                                              | Dismissed, Untimely                          | 0   |
|                      | Withdrawn |                                              | 0                                            |     |
|                      | Sep 2015  | RBHA                                         | Decision Upheld                              | 0   |
|                      |           |                                              | Dismissed, Improper Filing Party             | 0   |
|                      |           |                                              | Dismissed, Not an "Action"                   | 0   |
|                      |           |                                              | Dismissed, Untimely                          | 0   |
| Decision Overturned  |           |                                              | 0                                            |     |
| Partially Overturned |           |                                              | 0                                            |     |
| Withdrawn            |           |                                              | 0                                            |     |
| DBHS                 |           | Administrative Review Remands Appeals        | 0                                            |     |
|                      |           | Administrative Review Supports RBHA Decision | 0                                            |     |
|                      |           | Withdrawn                                    | 0                                            |     |
| AHCCCS               |           | Compromise                                   | 0                                            |     |
|                      |           | Decision Overturned                          | 0                                            |     |
|                      |           | Decision Upheld                              | 0                                            |     |
|                      |           | Dismissed, Failure to Appear                 | 0                                            |     |
|                      |           | Dismissed, Untimely                          | 0                                            |     |
|                      | Withdrawn | 0                                            |                                              |     |

**(Continued - Attachment: Table 5.2 – Appeals: Monthly Outcomes of Appeals by GSA)**

| GSA                  | Month     | Outcome Descriptions                         |                                              | No. |
|----------------------|-----------|----------------------------------------------|----------------------------------------------|-----|
|                      |           | Level                                        | Decision                                     |     |
| GSA 6                | Jul 2015  | RBHA                                         | Decision Upheld                              | 0   |
|                      |           |                                              | Dismissed, Improper Filing Party             | 0   |
|                      |           |                                              | Dismissed, Not an "Action"                   | 0   |
|                      |           |                                              | Dismissed, Untimely                          | 0   |
|                      |           |                                              | Decision Overturned                          | 0   |
|                      |           |                                              | Partially Overturned                         | 0   |
|                      |           |                                              | Withdrawn                                    | 1   |
|                      |           | DBHS                                         | Administrative Review Remands Appeals        | 0   |
|                      |           |                                              | Administrative Review Supports RBHA Decision | 0   |
|                      |           |                                              | Withdrawn                                    | 0   |
|                      |           | AHCCCS                                       | Compromise                                   | 0   |
|                      |           |                                              | Decision Overturned                          | 0   |
|                      |           |                                              | Decision Upheld                              | 0   |
|                      |           |                                              | Dismissed, Failure to Appear                 | 0   |
|                      |           |                                              | Dismissed, Untimely                          | 0   |
|                      | Withdrawn |                                              | 0                                            |     |
|                      | Aug 2015  | RBHA                                         | Decision Upheld                              | 0   |
|                      |           |                                              | Dismissed, Improper Filing Party             | 0   |
|                      |           |                                              | Dismissed, Not an "Action"                   | 0   |
|                      |           |                                              | Dismissed, Untimely                          | 0   |
|                      |           |                                              | Decision Overturned                          | 0   |
|                      |           |                                              | Partially Overturned                         | 0   |
|                      |           |                                              | Withdrawn                                    | 0   |
|                      |           | DBHS                                         | Administrative Review Remands Appeals        | 0   |
|                      |           |                                              | Administrative Review Supports RBHA Decision | 0   |
|                      |           |                                              | Withdrawn                                    | 0   |
|                      |           | AHCCCS                                       | Compromise                                   | 0   |
|                      |           |                                              | Decision Overturned                          | 0   |
|                      |           |                                              | Decision Upheld                              | 0   |
|                      |           |                                              | Dismissed, Failure to Appear                 | 0   |
|                      |           |                                              | Dismissed, Untimely                          | 0   |
|                      | Withdrawn |                                              | 0                                            |     |
|                      | Sep 2015  | RBHA                                         | Decision Upheld                              | 0   |
|                      |           |                                              | Dismissed, Improper Filing Party             | 0   |
|                      |           |                                              | Dismissed, Not an "Action"                   | 0   |
|                      |           |                                              | Dismissed, Untimely                          | 0   |
| Decision Overturned  |           |                                              | 0                                            |     |
| Partially Overturned |           |                                              | 0                                            |     |
| Withdrawn            |           |                                              | 0                                            |     |
| DBHS                 |           | Administrative Review Remands Appeals        | 0                                            |     |
|                      |           | Administrative Review Supports RBHA Decision | 0                                            |     |
|                      |           | Withdrawn                                    | 0                                            |     |
| AHCCCS               |           | Compromise                                   | 0                                            |     |
|                      |           | Decision Overturned                          | 0                                            |     |
|                      |           | Decision Upheld                              | 0                                            |     |
|                      |           | Dismissed, Failure to Appear                 | 0                                            |     |
|                      |           | Dismissed, Untimely                          | 0                                            |     |
|                      | Withdrawn | 0                                            |                                              |     |



**(Continued - Attachment: Table 5.2 – Appeals: Monthly Outcomes of Appeals by GSA)**

| GSA                  | Month                      | Outcome Descriptions                         |                                              | No.                              |   |
|----------------------|----------------------------|----------------------------------------------|----------------------------------------------|----------------------------------|---|
|                      |                            | Level                                        | Decision                                     |                                  |   |
| Statewide            | Jul 2015                   | RBHA                                         | Decision Upheld                              | 0                                |   |
|                      |                            |                                              | Dismissed, Improper Filing Party             | 0                                |   |
|                      |                            |                                              | Dismissed, Not an "Action"                   | 0                                |   |
|                      |                            |                                              | Dismissed, Untimely                          | 0                                |   |
|                      |                            |                                              | Decision Overturned                          | 1                                |   |
|                      |                            |                                              | Partially Overturned                         | 0                                |   |
|                      |                            |                                              | Withdrawn                                    | 1                                |   |
|                      |                            | DBHS                                         | Administrative Review Remands Appeals        | 0                                |   |
|                      |                            |                                              | Administrative Review Supports RBHA Decision | 0                                |   |
|                      |                            |                                              | Withdrawn                                    | 0                                |   |
|                      |                            | AHCCCS                                       | Compromise                                   | 0                                |   |
|                      |                            |                                              | Decision Overturned                          | 0                                |   |
|                      |                            |                                              | Decision Upheld                              | 0                                |   |
|                      |                            |                                              | Dismissed, Failure to Appear                 | 0                                |   |
|                      |                            |                                              | Dismissed, Untimely                          | 0                                |   |
|                      |                            |                                              | Withdrawn                                    | 0                                |   |
|                      |                            | Aug 2015                                     | RBHA                                         | Decision Upheld                  | 0 |
|                      |                            |                                              |                                              | Dismissed, Improper Filing Party | 0 |
|                      | Dismissed, Not an "Action" |                                              |                                              | 0                                |   |
|                      | Dismissed, Untimely        |                                              |                                              | 0                                |   |
|                      | Decision Overturned        |                                              |                                              | 0                                |   |
|                      | Partially Overturned       |                                              |                                              | 0                                |   |
|                      | Withdrawn                  |                                              |                                              | 0                                |   |
|                      | DBHS                       |                                              | Administrative Review Remands Appeals        | 0                                |   |
|                      |                            |                                              | Administrative Review Supports RBHA Decision | 0                                |   |
|                      |                            |                                              | Withdrawn                                    | 0                                |   |
|                      | AHCCCS                     |                                              | Compromise                                   | 0                                |   |
|                      |                            |                                              | Decision Overturned                          | 0                                |   |
|                      |                            |                                              | Decision Upheld                              | 0                                |   |
|                      |                            |                                              | Dismissed, Failure to Appear                 | 0                                |   |
|                      |                            |                                              | Dismissed, Untimely                          | 0                                |   |
|                      |                            |                                              | Withdrawn                                    | 0                                |   |
|                      | Sep 2015                   |                                              | RBHA                                         | Decision Upheld                  | 0 |
|                      |                            |                                              |                                              | Dismissed, Improper Filing Party | 0 |
|                      |                            | Dismissed, Not an "Action"                   |                                              | 0                                |   |
|                      |                            | Dismissed, Untimely                          |                                              | 0                                |   |
| Decision Overturned  |                            | 0                                            |                                              |                                  |   |
| Partially Overturned |                            | 0                                            |                                              |                                  |   |
| Withdrawn            |                            | 0                                            |                                              |                                  |   |
| DBHS                 |                            | Administrative Review Remands Appeals        | 0                                            |                                  |   |
|                      |                            | Administrative Review Supports RBHA Decision | 0                                            |                                  |   |
|                      |                            | Withdrawn                                    | 0                                            |                                  |   |
| AHCCCS               |                            | Compromise                                   | 0                                            |                                  |   |
|                      |                            | Decision Overturned                          | 0                                            |                                  |   |
|                      |                            | Decision Upheld                              | 0                                            |                                  |   |
|                      |                            | Dismissed, Failure to Appear                 | 0                                            |                                  |   |
|                      |                            | Dismissed, Untimely                          | 0                                            |                                  |   |
|                      |                            | Withdrawn                                    | 0                                            |                                  |   |

**(Continued - Attachment: Table 5.2 – Appeals: Monthly Outcomes of Appeals by GSA)**

**Statewide Quarter Summary**

| GSA       | Month/Qtr  | Outcome Descriptions                             |                                              | No. |  |          |
|-----------|------------|--------------------------------------------------|----------------------------------------------|-----|--|----------|
|           |            | Level                                            | Decision                                     |     |  |          |
| Statewide | FY2016, Q1 | RBHA                                             | Decision Upheld                              | 0   |  |          |
|           |            |                                                  | Dismissed, Improper Filing Party             | 0   |  |          |
|           |            |                                                  | Dismissed, Not an "Action"                   | 0   |  |          |
|           |            |                                                  | Dismissed, Untimely                          | 0   |  |          |
|           |            |                                                  | Decision Overturned                          | 1   |  |          |
|           |            |                                                  | Partially Overturned                         | 0   |  |          |
|           |            |                                                  | Withdrawn                                    | 1   |  |          |
|           |            | DBHS                                             | Administrative Review Remands Appeals        | 0   |  |          |
|           |            |                                                  | Administrative Review Supports RBHA Decision | 0   |  |          |
|           |            |                                                  | Withdrawn                                    | 0   |  |          |
|           |            | AHCCCS                                           | Compromise                                   | 0   |  |          |
|           |            |                                                  | Decision Overturned                          | 0   |  |          |
|           |            |                                                  | Decision Upheld                              | 0   |  |          |
|           |            |                                                  | Dismissed, Failure to Appear                 | 0   |  |          |
|           |            |                                                  | Dismissed, Untimely                          | 0   |  |          |
|           |            |                                                  | Withdrawn                                    | 0   |  |          |
|           |            | <i>Total Number of Appeals for CMDP Members:</i> |                                              |     |  | <b>2</b> |

Footnote:

All data in this table was obtained from the Office of Grievance and Appeals Database.

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**Data Source:** Arizona Department of Health Services, Division of Behavioral Health Services, Bureau of Business Information Systems. Laws 2013 Chapter 220; February 2016.